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## **Motion planning of multi-robot system for airplane stripping**

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## Abstract

This PHD is a part of a French project named AEROSTRIP, (a partnership between Pascal Institute, Sigma, SAPPI, and Air-France industries), it is funded by the French Government through the FUI Program (20th call). The AEROSTRIP project aims at developing the first automated system that ecologically cleans the airplanes surfaces using a process of soft projection of ecological media on the surface (corn). My PHD aims at optimizing the trajectory of the whole robotic systems in order to optimally strip the airplane. Since a large surface can not be totally covered by a single robot base placement, repositioning of the robots is necessary to ensure a complete stripping of the surface. The goal in this work is to find the optimal number of robots with their optimal positions required to totally strip the air-plane. Once found, we search for the trajectories of the robots of the multiple robotic system between those poses. Hence, we define a general framework to solve this problem having four main steps: the *pre-processing step*, the *optimization algorithm step*, the *generation of the end-effector trajectories step* and the *robot scheduling, assignment and control step*.

In my thesis, I present two contributions in two different steps of the general framework: the *pre-processing step*, the *optimization algorithm step*. The computation of the robot workspace is required in the *pre-processing step*: we proposed Interval Analysis to find this workspace since it guarantees finding solutions in a reasonable computation time. Though, our first contribution is a new inclusion function that reduces the pessimism, the overestimation of the solution, which is the main disadvantage of Interval Analysis. The proposed inclusion function is assessed on some Constraints Satisfaction Problems and Constraints Optimization problems. Furthermore, we propose an hybrid optimization algorithm in order to find the optimal number of robots with their optimal poses: it is our second contribution in the *optimization algorithm step*. To assess our hybrid optimization algorithm, we test the algorithm on regular surfaces, such as a cylinder and a hemisphere, and on a complex surface: a car.

## Résumé

Cette thèse est une partie d'un projet français qui s'appelle AEROSTRIP, un partenariat entre l'Institut Pascal, Sigma, SAPPI et Air-France industries, il est financé par le gouvernement français par le pro-

gramme FUI (20<sup>ème</sup> appel). Le projet AEROSTRIP consiste à développer le premier système automatique qui nettoie écologiquement les surfaces des avions et les pièces de rechange en utilisant un abrasif écologique projeté à grande vitesse sur la surface des avions (maïs). Ma thèse consiste à optimiser les trajectoires du système robotique total de telle façon que le décapage de l'avion soit optimal. Le déplacement des robots est nécessaire pour assurer une couverture totale de la surface à décaper parce que ces surfaces sont trop grandes et elles ne peuvent pas être décapées d'une seule position. Le but de mon travail est de trouver le nombre optimal de robots avec leur positions optimales pour décaper totalement l'avion. Une fois ce nombre est déterminé, on cherche les trajectoires des robots entre ces différentes positions. Alors, pour atteindre ce but, j'ai défini un cadre général composant de quatre étapes essentiels: l'étape *pre-processing*, l'étape *optimization algorithm*, l'étape *generation of the end-effector trajectories* et l'étape *robot scheduling, assignment and control*.

Dans ma thèse, j'ai deux contributions dans deux différentes étapes du cadre général: l'étape *pre-processing* et l'étape *optimization algorithm*. Le calcul de l'espace de travail du robot est nécessaire dans l'étape *pre-processing*: on a proposé l'Analyse par Intervalles pour trouver cet espace de travail parce qu'il garantit le fait de trouver des solutions dans un temps de calcul raisonnable. Alors, ma première contribution est une nouvelle fonction d'inclusion qui réduit le pessimisme, la surestimation des solutions qui est le principal inconvénient de l'Analyse par Intervalles. La nouvelle fonction d'inclusion est évaluée sur des problèmes de satisfaction de contraintes et des problèmes d'optimisation des contraintes. En plus, on a proposé un algorithme d'optimisation hybride pour trouver le nombre optimal de robots avec leur positions optimales: c'est notre deuxième contribution qui est dans l'étape *optimization algorithm*. Pour évaluer l'algorithme d'optimisation, on a testé cet algorithme sur des surfaces régulières, comme un cylindre et un hémisphère, et sur une surface complexe: une voiture.

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# Chapter 1

## General Introduction

### 1.1 Problematic, Motivation and Objectives

This PHD is a part of a French project named AEROSTRIP, (a partnership between Pascal Institute, Sigma, SAPPI, and Air-France industries), it is funded by the French Government through the FUI Program (20th call). The AEROSTRIP project aims at developing the first automated system that ecologically cleans the airplanes surfaces and their spare parts. AEROSTRIP system uses a process of soft projection of ecological media recycled in real time: starch of wheat or corn could be used. This process improves significantly the execution conditions of the cleaning task, e.g. stripping task.

Airplane stripping is an essential key task during a repair, an owner's change, before repainting the airplane to remove the corrosion from the surfaces or even to establish the aerodynamic quality of the structures. Every year, 25 airplanes are cleaned in a center of the aeronautical maintenance of Air-France Industries. The airplanes surfaces are traditionally cleaned by combining the intensive manual sanding with the chemical cleaning. This method requires to move the airplane into a dedicated ventilated shed where the operating costs are very high (of the order of 1M€): the stripping ingredients are still harmful (even after some real improvements of the biochemical strippers), several thousand cubic meters of rinsing water are used to clean the paint waste and the strippers and a protection equipment is mandatory for the operators. This method is dedicated to disappear eventually by virtue of the regulations REACH [29] (which is a regulation of the European Union, adopted to improve

the protection of human health and the environment from the risks that can be posed by chemicals, while enhancing the competitiveness of the EU chemicals industry) and the directives of the aircraft manufacturers. For instance, the AIRBUS A330 official textbook “Structural Repair Manual Airbus A330” prohibits the use of chemical cleaning products on the composite because they may affect the resin [110].

Six main types of stripping processes are applied during an aeronautical maintenance as presented hereafter.

#### **Manual stripping using grinding discs:**

This process consists in removing the paint using a grinding tool as it is shown in Figure 1.1. This method is expensive since it is time consuming, and needs a huge human effort. In addition, it generates a lot of dusts and it is subjected to severe working conditions. For example, the operator’s hands are in the air holding a 7 kg sander tool. This frequent holding action causes a health problem: the risk of Musculoskeletal disorders (MSDs) increases over-time. Usually 30% of the operators suffer from long-term illnesses. According to Royal Aircraft Services, the manual stripping task requires the



Figure 1.1: Protection for the sanding phase

mobility of at least 4 people working full-time during a week to clean a small airplane. The efficiency of this work is estimated a few square meters per hour.

#### **Chemical stripping:**

This technique has an average efficiency of  $40 \frac{m^2}{h}$ . It consists in putting chemical products on the surface and then rinsing it using water. This method is polluting, harmful for the technicians and very

expensive. The chemical stripping is always a risk process to clean any surfaces: even the biochemical products that replace the chemical products are dangerous. This stripping process generates voluminous waste like rinsing water. In addition, the performance of the biochemical scouring agents, that are used today, is less effective than the scouring agent that have been forbidden from being exploited. This chemical cleaning process has additional costs: the immobilization of the airplane during one week, the cost of the shed ventilation and finally the mandatory investment in forklift trucks and equipments of complete protection of the operators.

**Other methods of abrasives projection (plastic media, water):**

Those methods are not intended to be used on composite materials because they are aggressive for those sensitive materials.

**Stripping by Flash lamp or Xenon:**

This method uses a technology of pulsed light which entails the technical softening of paints. This technique is badly adapted to industrial applications because they require high performances in time and in costs. It is important to use a special head to guide the light for every stripped surface: stripping huge surfaces become more difficult.

**Stripping by laser:**

This stripping by laser has the same concept as the lamp Flash, but the stripping process is easier to be controlled using the laser. This technique is a promising but it is not common in the market because the airplane could not be exploited before 5 to 9 years after being cleaned. Today, the research laboratory of US Air Forces works on this type of stripping process, and it is applied only on the detached part of the airplane. The cleaned coating is the only generated waste from the airplane: it is the main advantage of the stripping by laser. However, this method does not improve the working conditions of the operators since the manual stripping remains necessary.

**Stripping by projection of ecological media:**

An ecological stripping process has been recently proposed instead of the intensive manual sanding stripping process or even the chemical cleaning process. The new stripping technique uses an ecological media to strip airplanes: the starch of wheat or/and corn. Recently, this method appears in the aeronautical maintenance sectors. This method is promising in terms of optimizing the operations, reducing the costs and increasing the safety of workers. However, this process is manual, so



Figure 1.2: Stripping of the bottom part of the airplane manually.

AEROSTRIP project chose to strip airplanes automatically or semi-automatically.

### 1.1.1 Ecological stripping process using corn (AEROSTRIP):

#### **Description:**

The main objectives of AEROSTRIP project is to design and develop the first automated system to strip airplanes and their spare parts using a closed ecological circuit. AEROSTRIP allies the performance, the quality of cleaning, the prevention of the MusculoSkeletal Disorders (MSDs), the optimization of the costs and the execution time. In AEROSTRIP, the objective is to attend less than 30% of the global costs for the tasks of stripping/cleaning, and the execution time should be half reduced on a complete airplane. AEROSTRIP project proposed a new stripping process which is semi-automatic, inexpensive and eco-friendly: the corns are the media used by the stripper. A closed circuit is added to recycle the corns. The closed circuit avoids dusts and reduces in a significant way the risks of the MSDs. Moreover, the environmental costs are reduced since the excessive consumption of water is stopped, the volume of waste is reduced, and the recyclable media are used instead of chemical products.

AEROSTRIP develops two systems to strip airplanes. The first system is semi-automatic; it is usually used for the detached parts of the airplanes, e.g. nacelle, motors, etc. This system is considered semi-automatic since an interaction between a robot and an operator exists: an operator should move



the stripper along the surface. However, the second system is automatic and it is accomplished using a multiple robotic system. In both systems, there are a stripping tool, a robot holding it and a media projection/recycled system. However, a crane holding the robot is added to the second system. AEROSTRIP aims to validate its capacity of stripping 85% of the airplane surface automatically, 10% semi-automatically, and 5% manually.

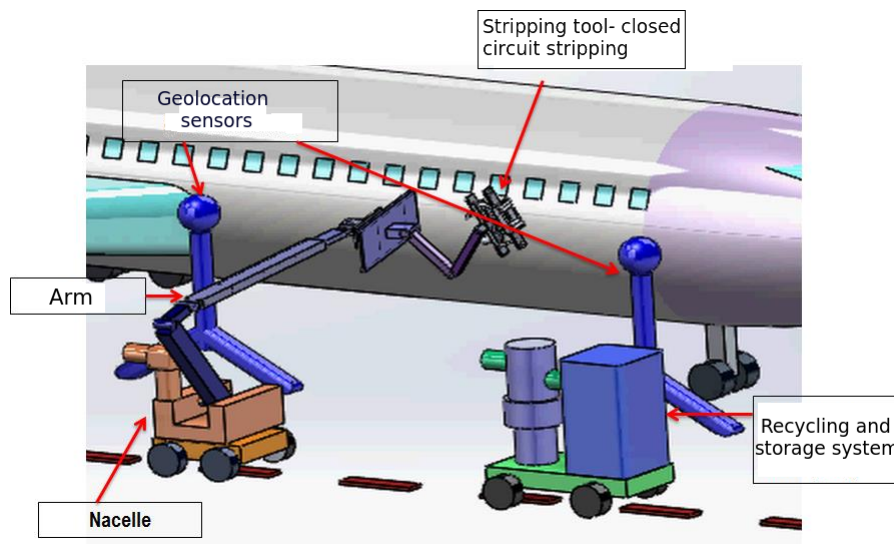


Figure 1.3: Stripping process

AEROSTRIP proposes a multiple robotic systems composed of mobile manipulators to strip surfaces. The stripping tool is mounted on the end-effector of the manipulator. Figure 1.3 shows the stripping process of an airplane.

### Stripping tool and the media projection/recycled system:

The paint stripping mechanism involves the media bombardment (fine granules of corn) on the painted surface at high pressure. Three parameters could be adjusted during the stripping process: the pressure, the corn flow and the stripping tool speed. Those parameters must be carefully chosen since the surface may not be stripped correctly as well as it could be damaged, e.g. a hole could be created on the surface. The stripping tool is presented in Figure 1.4, it holds a camera that takes pictures of the surface to be stripped. After bombardment the media is aspirated, it is recycled and it is used again to strip the surface. The volume of the media is tested each time it is aspirated, if it is larger than a given threshold it is thrown otherwise it is used to strip again. Five painting layers of different colors

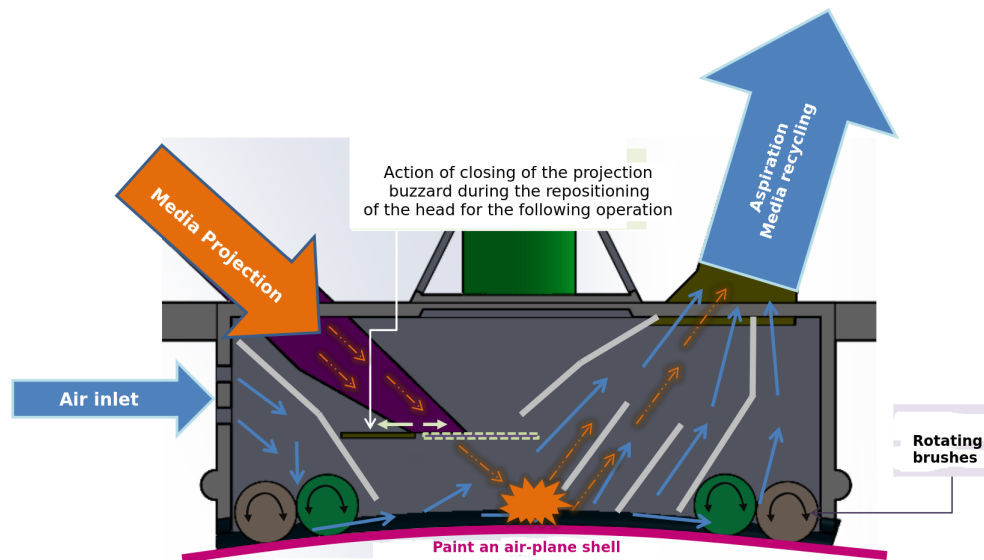


Figure 1.4: Stripping tool model: working principle

compose the aircraft of any AirFrance airplanes: those layers are shown in Figure 1.5. The camera

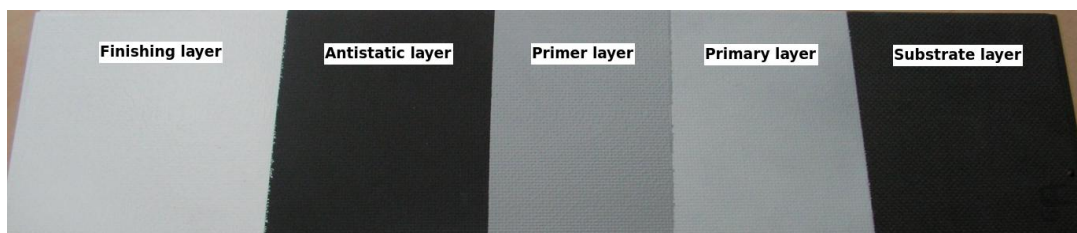


Figure 1.5: Painting layers

is used to make sure that the surface is stripped. A surface is considered well stripped if the primary level appears. Several tests have been applied on a sample of an airplane door in order to evaluate the influence of the pressure, the corn flow, and the stripping tool speed on the stripping quality. It was proved that the more the corn flow is decreased, the more the cleaning is superficial, and the more the tool speed is decreased, the more stripping is profound. Figure 1.6 shows the output of the camera while stripping a sample of an airplane door: three different stripping processes have been shown.

It must be noted that the quality of the paint influences on the choice of the flow, the pressure and the tool speed of the surface. A sample of the airplane could be used to estimate the previous three parameters in order to fix them while stripping the whole airplane. However, an accurate fixed approximation is difficult: the painting layers could be influenced by the sun as well as the rain. Hence, the painting layers on the top of the airplane are affected by those natural elements which is not the case of its bottom part. Hence, the corn flow and the tool speed should be adjusted depending on

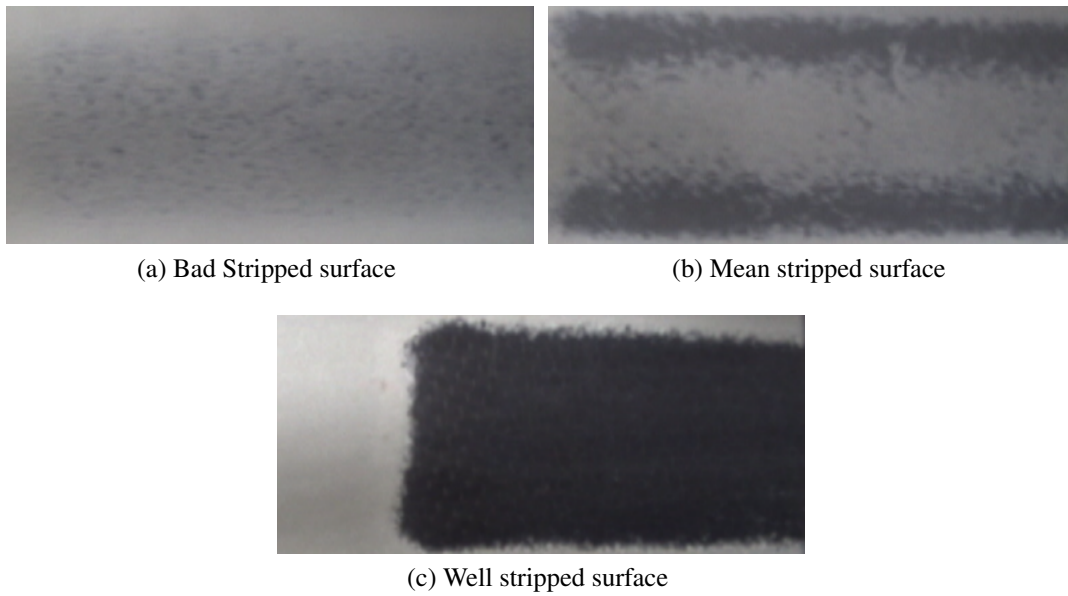


Figure 1.6: Painted surface (white paint) to be stripped.

the part of the airplane. For instance, the corn flow should be increased and the tool speed should be decreased on the bottom part. However, the opposite case is applied on the top of the airplane.

#### The robot manipulator holding the stripping tool:

The chosen robot manipulator is the KUKA Light Weight Robot *LWR 4+*. This manipulator accommodates the motors, gear units, brakes and sensors, as well as the necessary control and power electronics for 7 axes. This robot can support a payload capacity of 7 kg. This *LWR 4+* is 1.1785 m

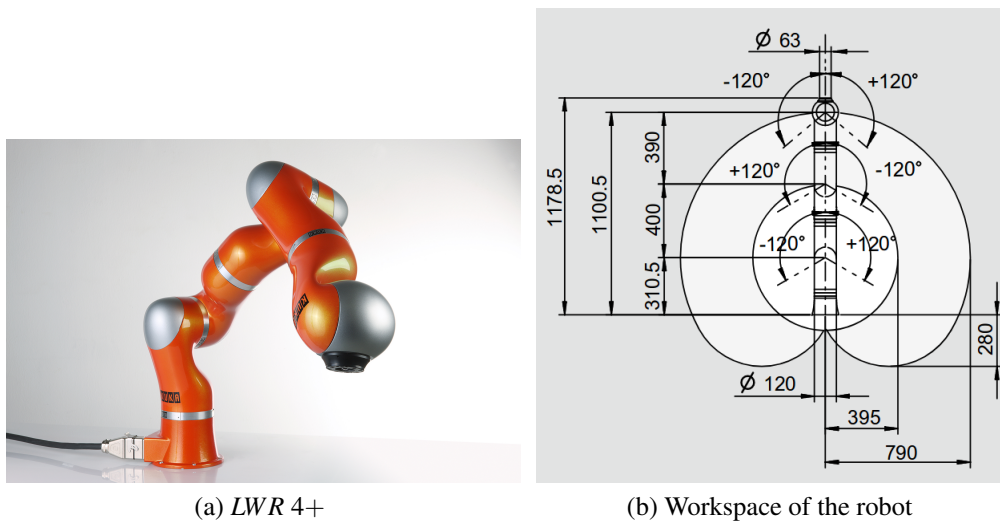


Figure 1.7: KUKA LightWeight Robot *LWR 4+*

of height. The robot is shown in Figure 1.7a and its workspace is presented in Figure 1.7b. The shown workspace is changeable with the torque limits, the joint positions, the collision and the self-collision

avoidance. Before going further, I would like to add that, during my thesis, I provide a generic code where the robot could be changed.

### The crane holding the robot:

The crane shown in Figure 1.8a will hold the robot. The use of the crane is important when huge surfaces should be stripped, which is always the case of airplanes. AirFrance has several type of air-

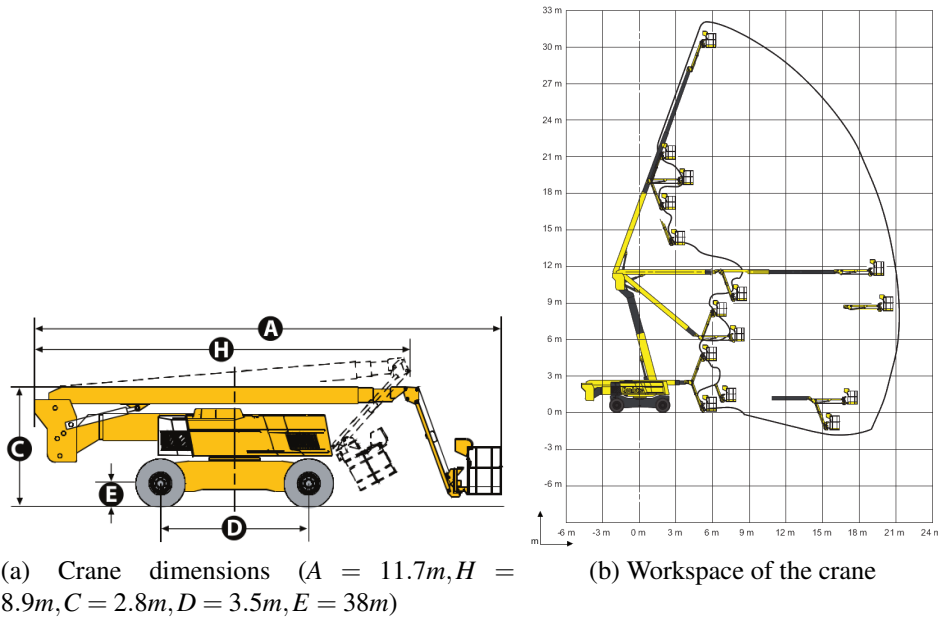


Figure 1.8: Crane that will holds the robot and its workspace.

planes shown in Table 1.1. As it is clear, the length varies between  $22.67$  and  $72.72$  m, the wingspan between  $24.57$  and  $79.75$  m, and the height between  $7.59$  and  $24.09$  m. Since the robot can reach  $1$  m in best cases, a crane is required to cover the whole surface. The crane, shown in Figure 1.8a, is the mobile platform that will be moved manually between various robot positions, it should hold the KUKA arm. The crane can reach  $30$  m of length and  $21$  m of height. The stripping process is stopped during the crane's motion since the movement of the crane introduces some vibrations on the robots that may affect on the robot behavior so on the stripping quality. Moreover, comparing the workspace of the robot to the volume of the airplane, the crane should move the KUKA arm lots of times to cover the whole surface. Though, the optimization of robots base placements and the movement between them is important in order to decrease the cycle time of the stripping process. During the computing of the number of robots base placements, the physical limits of the robot such as joint position and torque limits, collision and self-collision avoidance, and even the stripping tool constraints, should be taken into account: they influence on the robot workspace, so on the covered surface.

Airplane model	Length	Wingspan	Height
A318(111, 112, 121 et 122)	31,45	34,10	12,79
A319(111 à 115, 131 à 133)	33,84	34,10/35,80	11,76
A320(111, 211, 212, 214 à 216, 231 à 233)	37,57	34,10/35,80	11,76
A321(111, 112, 131, 211 à 213, 231, 232)	44,51	34,10/35,80	11,76
A330(200, 300, 300, 800, 900)	58,82/63,66	60,30/ 64	16,79/17,39
A340(200, 300, 500, 600)	59,39/63,6/67,9/75,3	60,3/63,45	16,7/16,85/17,28
A380-800	72,72	79,75	24,09
ATR 42(200, 300, 320, 500, 600)	22,67	24,57	7,59
ATR 72	27,166	27,05	7,72
Boeing 777	63,7 à 73,9	60,9 à 64,8	18,5 à 18,6
Boeing 787	56,7	60	17
Bombardier CRJ-1000	39,10	26,20	7,50
Bombardier CRJ-700	32,41	23,01	7,29
Embraer 170	29,90	26	9,85
Embraer 190	36,24	28,72	10,57
Embraer ERJ 145	29,87	20,04	6,75
RJ-85 Avroliner (AR8)	28,60	26,33	8,59

Table 1.1: Dimensions of Airfrance airplanes

## 1.1.2 Project consortiums

Three main entities are responsible of the project: SAPPI of SOFIPLAST, AirFrance industries and SIGMA. PME SAPPI, R&D subsidiary of the group SOFIPLAST, have developed a solution to strip airplanes using integrable vegetable media. Hence, they develop the stripping tool that must be used as an end-effector of the robot during the airplane stripping. In parallel, SIGMA develops a system controller that controls the movement of the robots between different poses in order to strip the whole airplane. SIGMA also deals with the operator-machine interaction part since the human operator and the robot will interact in 10% of the stripping process (semi-automatic part). However, AirFrance industries supply samples of different airplanes to test the stripping process. In addition, AirFrance industries test the stripping process on the whole airplane surface. The collaboration between the three enterprises is shown graphically in Figure 1.9.

Two PHDs, and one post-doc have been funded by AEROSTRIP. The first PHD is tackling the small system, and the second one is dedicated to the global system. The first PHD will aid in developing / implementing the multi-modal control scheme (inputs from multiple sensors) to aid the stripping tool mounted on the robot to carry out the paint removal process and establish the success criteria.

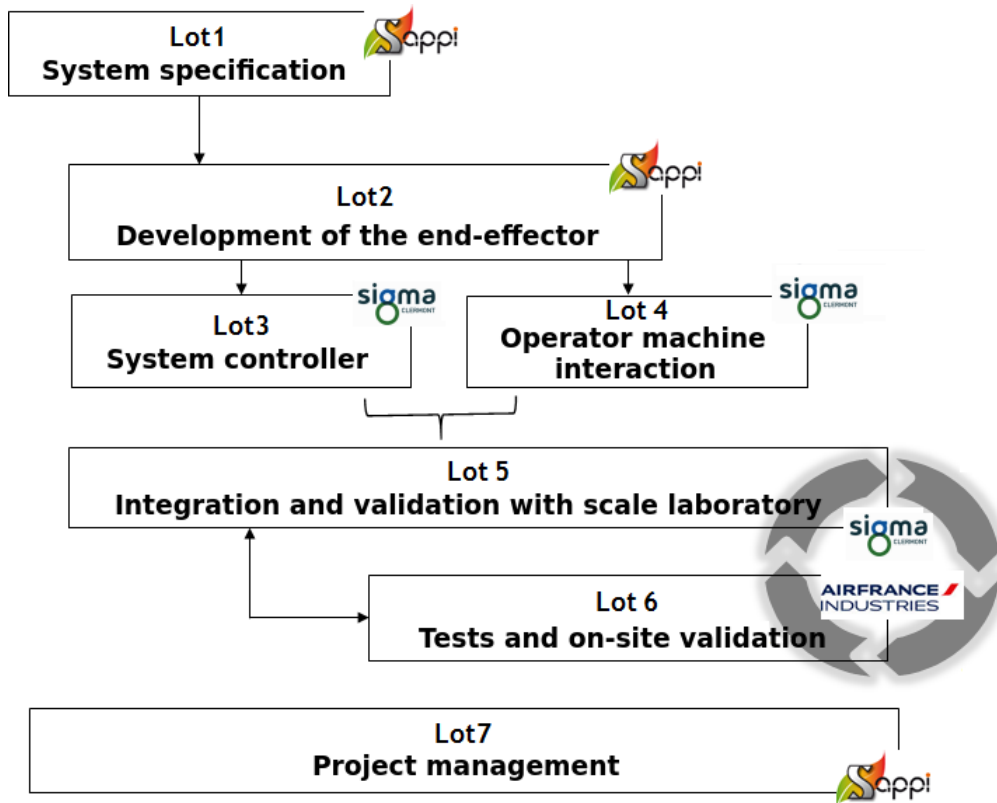


Figure 1.9: Graphical representation of the project lots

The second PHD, which is my PHD, aims at optimizing the trajectory of the whole robotic systems in order to optimally strip the airplane. Since a large surface can not be totally covered by a single robot base placement, repositioning of the robots is necessary to ensure a complete stripping of the surface. However, in the post-doc, the goal is to develop a new image processing strategies to evaluate automatically and on-line the performance of the airplane stripping. The multi-robot coordination problems have been also tackled. A new method that allows a team of robots to deploy themselves around a target object is developed, e.g. an airplane to be stripped.

To attend the optimal trajectories of the mobile platforms, two parameters have important influence: the surface to be covered (airplanes), and the workspace of the robot. For instance, giving a curved surface to be stripped, the position of the mobile platform with respect to this surface influences on the percentage of the stripped surface. Eventually, the relationship between the shape and the robot position is widely dependent. Due to this dependency, a general framework is proposed in Section 1.2.

## 1.2 Thesis approach

Since large surfaces can not be totally covered by a single robot base placement, multi-robot system is required. Hence, a repositioning of each robot is necessary to ensure a complete stripping of the surface. Each robot of this system is hold by a crane which is a mobile platform. The robots as well as their cranes have some collective behaviours. Multi-robot systems are known by their benefits in the industrial field. Let enumerate some of their advantages: multi-robot systems accelerate the task completion [33] (increase the performance), improve the robustness and gave more accurate solutions [132], they even execute the impossible tasks for single robots [131], etc. Hence, multi-robot systems were used in many domains such as intelligent security [83], environment monitoring [38, 117], humanitarian de-mining [71], search and rescue [95], surveillance [87], health care [116], stripping [57, 69].

To find the optimal trajectories of the mobile platforms, we propose to find the optimal number of robot base placements required to cover the whole surface. Then, the robots of the multi-robot system should be distributed between the different optimal robot base placements and the robot trajectories between those poses should be computed. Those two problems could be solved by following our approach detailed in Subsection 1.2.1.

### 1.2.1 General framework:

Our thesis approach is presented in Figure 1.10. This approach is composed of four main steps: the *pre-processing* step, the *optimization algorithm* step, the *generation of the end-effector trajectories from each pose* step and the *robots scheduling, assignment and control* step.

The *pre-processing* and the *optimization algorithm* steps find the the optimal number of robot base placements required to cover the whole surface. The *generation of the end-effector trajectories from each pose* step is required to strip the surface from each robot base placement. The *robots scheduling, assignment and control* step is used to assign the robots of the multi-robot system to the different optimal robot base placements and find their trajectories. Let us explain each step. The *pre-processing*

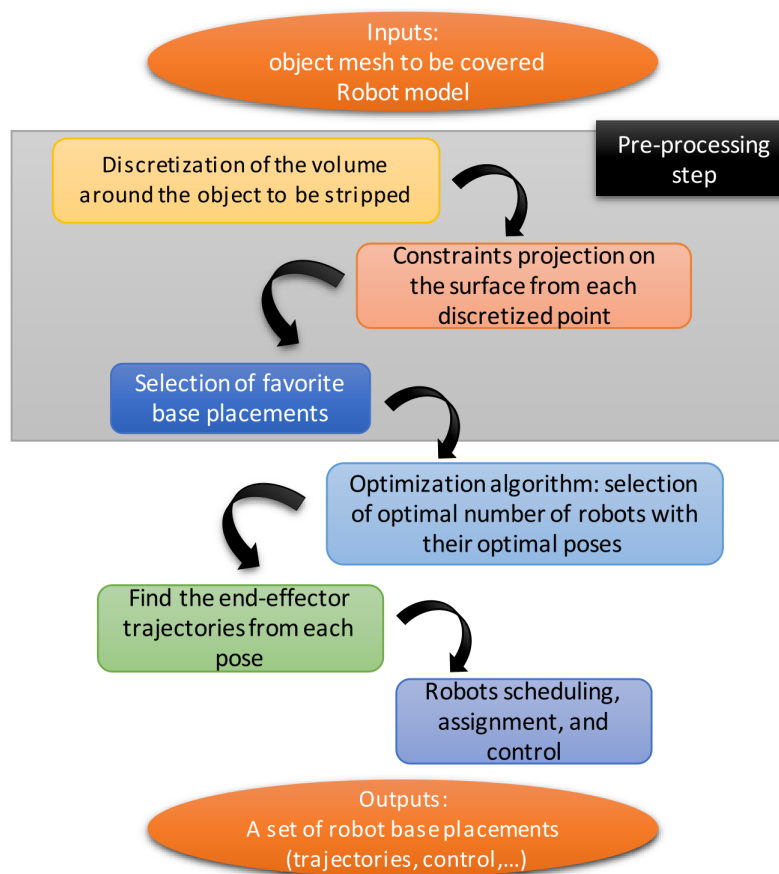


Figure 1.10: General framework of my PHD

step aims at discretizing the volume around the object to be stripped: a set of discretized points is determined. Hence, the constraints projection on the surface from each discretized point consists in computing the reachable part of the surface. This computation is accomplished using the constraints projection function taking into account the different robot constraints: each discretized point will have a value describing the percentage of the covered surface from this point. A subset of favourite base placements is selected from the set of discretized points based on the coverage percentage. This computed set is used in order to find the optimal number of robots with their optimal base placements required to cover the whole object: it is the *optimization algorithm* step. Hence, the end-effector trajectories are generated from each robot base placement (the *generation of the end-effector trajectories from each pose* step). Finally, the *robots scheduling, assignment and control* step of the general framework solves two different sub-problems, namely an assignment sub-problem and a control sub-problem. The assignment sub-problem selects which optimal poses should correspond to each robot in order to generate their trajectories from their initial positions. Once the robot trajectories have been generated, we apply a controller to make the robots follow them.



In this thesis, we focus on finding the optimal number of robots with their optimal poses (the *optimization algorithm* step): it is the general problem presented in Section 1.2.2. Then, we present our contributions that are principally related to this step. However, the *trajectories planning of the end-effector* and the *robots scheduling, assignment and control* steps are quickly tackled in this thesis. Though, we show the state of the art of the *trajectories planning of the end-effector* step in Chapter 2 and we develop the formulation of assignment problem in Appendix E.

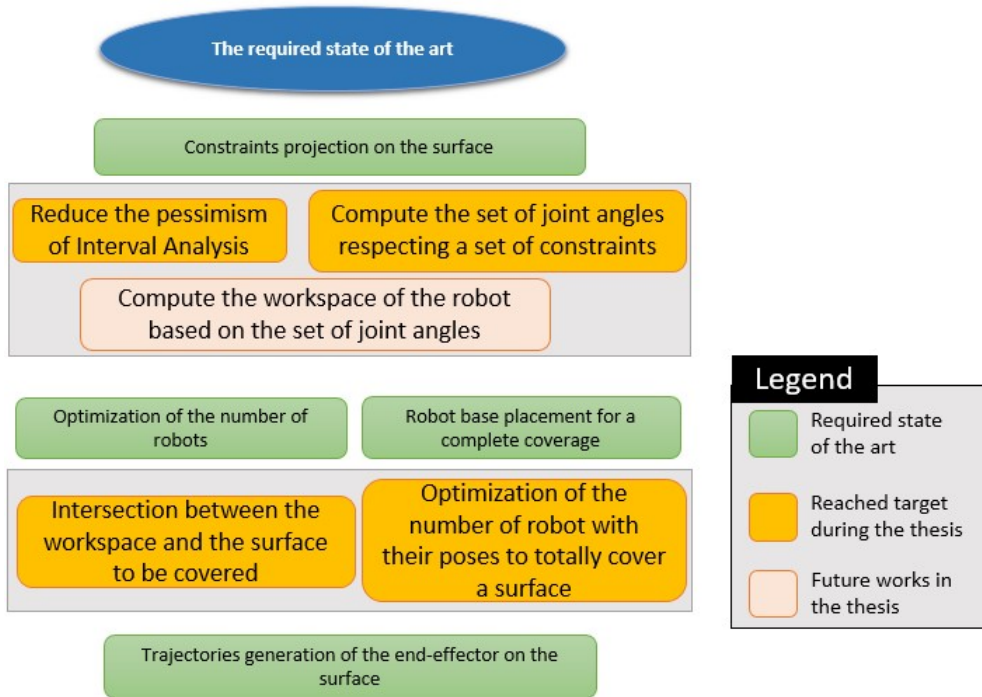


Figure 1.11: The state of the art of our general framework

The proposed general framework, shown in Figure 1.10, is related to four different state of the art shown in Figure 1.11. Though, this thesis provides surveys on the robot constraints projection on the surface, on the optimization of the number of robots, on the robot base placement for a complete coverage and on the trajectories generation of the end-effector on the surface.

The constraints projection on the surface consists in computing the reachable part of the surface from a given robot pose: the robot workspace is required. The determination of the robot workspace is critical, so we present some existing methods used to compute the workspace. In this thesis, Interval Analysis is used to compute the robot workspace for a reason detailed in chapter 2. However, Interval Analysis suffers from pessimism which is an overestimation of the solutions. Hence, our first contribution consists in reducing the pessimism of Interval Analysis. Moreover, this new tool was used to

compute the set of joint angles of the robots that respect a set of constraints.

The *optimization algorithm* step involves two different state of the art: the optimization of the number of robots and the robot base placement for a complete coverage. This optimization step requires the workspace to compute the reachable part of the surface. The intersection between the robot workspace and the surface is used to compute this reachable part of this surface. Since we have the set of joint angles and not its correspondent workspace, we compute the reachable part of the surface using different shapes of workspace (spherical, semi-spherical, elliptical). Furthermore, based on this reachability, we propose an hybrid optimization algorithm to find the optimal number of robots with their optimal poses to totally cover a surface: the second contribution of our work.

The state of the art related to trajectories generation of the stripping tool on the surface is inspired from the trajectories generation of the spray painting robot. The same algorithms could be used on both applications since they are close. We wrote a survey named "Automated path generation of spray painting robots: a review" that is submitted to *Robotics and Computer-Integrated Manufacturing* journal. A part of this survey is presented in Chapter 2.

## 1.2.2 General problem

The general problem aims to find the optimal number of robots  $N$  and their base placements required to totally cover the surface. The optimization relies on two parameters: the shape of the surface to be stripped, and the robot workspace. Defining  $\mathbb{T}$  as a set of robot poses  $\mathbb{T} = \{\mathbf{T}_i \in \text{SE}(3), 1 \leq i \leq N\}$ , the coverage problem can be formulated as follows:

$$\begin{aligned}
 \min_{N, \mathbb{T}} \quad & f(N, \mathbb{T}, S) \\
 \text{Subject to} \quad & g(N, \mathbb{T}, S) = 0 \\
 & h(N, \mathbb{T}, S) \leq 0
 \end{aligned} \tag{1.1}$$

where:

$f(N, \mathbb{T}, S)$ : is the optimization function,

$S$ : is the surface to be stripped,

$g(N, \mathbb{T}, S) = \bigcup_{j=1}^N C(S, \mathbf{T}_j) - \lambda S$ : is the function to test if the surface is  $\lambda\%$  covered ( $\lambda\%$  is the percentage of the covered surface),

$h(N, \mathbb{T}, S)$ : is the set of additional constraints.

In our problem, we should optimize the number of robots  $N$ , so  $f(N, \mathbb{T}, S) = N$ . It can be noticed that the task can be achieved using from one robot to  $N$  robots. Obviously, when the number of robots increases the coverage task can be achieved faster. If one robot is only used, it has to be moved  $N$  times while if  $N$  robots are used then the task can be achieved at once without moving the robots. Additional constraints can be taken into account during the optimization using  $h$ .

### 1.3 Manuscript structure

The manuscript is organized in the following way. In Chapter 2, we present the state of the art related to the robots constraints projection on the surface, the optimization of the number of robots, the robot base placements for complete coverage and the path generation of spray painting robots. In Chapter 3, we present the motivation of exploiting Interval Analysis in our context and how it is used to solve Constraint Satisfaction Problems and Constraint Optimization Problems. A new method to reduce the pessimism based on the convex hull properties of BSplines and the Kronecker product is initiated in this chapter. This method is assessed on three different scenarios using three different robots: a 2D robot, a planar robot and a 3D robot. In Chapter 4, the robot base placement is deeply studied to reduce the cycle time and increases the coverage task accuracy. We develop an optimization strategy to find the optimal number of robots with their optimal poses required to cover the entire surface. The optimization strategy is finalized by an hybrid optimization algorithm that is assessed on different type of surfaces (a hemisphere, a cylinder and an action car) and using different workspaces (spherical, semi-spherical and elliptical). Finally, in Chapter 5, we present a summary about my thesis with some future works.

# Chapter 2

## State of the art

The general framework, presented in Figure 1.10 in Chapter 1, shows the state of the art related to:

1. The robot constraints projection on the surface (Section 2.1),
2. the optimization of the number of robots (Section 2.2),
3. the robot base placement for a complete coverage (Section 2.3),
4. the trajectories generation of the end-effector on the surface (Section 2.4).

The constraints projection on the surface consists in computing the reachable part of the surface from a given robot pose: the robot workspace is required. The determination of the robot workspace is critical, so we present some existed method used to compute the workspace. Then, we present the state of the art of Interval Analysis which is the mathematical tool that we use to compute the set of joint angles respecting a set of constraints.

Moreover, the optimization of the number of robot and their poses is inspired from Art Gallery Problem and camera base placement problems: the state of the art of each problem is presented in this chapter. Art Gallery Problem consists in computing the minimal number of guards to monitor a gallery. However, the camera base placement problems distinguish between two sub-problems: how to place a given number of cameras to optimize the coverage of a surface (FIX problem), or how to compute the minimal number of cameras required to totally cover a surface (MIN problem). Eventually, we are interested in MIN problem. Further, we show the analogy between our problem (the

optimization of the number of robots with their poses to totally cover a surface) and the combination between Art Gallery problem with camera placement problems.

Besides, the state of the art of a robot base placement for a complete coverage is provided. This state of the art consists in finding the robot base placement that maximizes the reachability of a given surface. Several works tackled this problem and a small review is presented in this Chapter.

Finally, the state of the art of path generation of spray painting robots is presented. The painting process was the first automated process that generates the end-effector trajectories on a surface. We assumed that path generations in the painting and the stripping processes share the same concepts. Though, we considered that the same algorithms could be applied for both processes to generate the end-effector trajectories. The painting process was the source of our inspiration to the proposed approach developed in Chapter 1.

## **2.1 Robot constraints projections on the surface**

The robot constraints projections on the surface is the determination of the reachable part of the surface from a given pose. The reachable part is the intersection between the robot workspace and the surface. During this computation, the different robot constraints should be taken into account: robot stability, robot dynamic and kinematic constraints, singularity avoidance, etc. Each robot is characterized by its workspace. In the most of the cases, the workspace of a manipulator is spherical when none of the constraints is considered. However, this workspace becomes more complex when the number of constraints increases. In this section, we present the different methods used to compute the robot workspace and their disadvantages. After that we introduce Interval Analysis: the chosen mathematical tool used to compute the robot workspace. Some other applications of Interval Analysis in robotics are also discussed.

### 2.1.1 Robotic Workspace

The workspace of a manipulator robot is the space that can be reached by its end-effector taking into account a set of constraints. Numerous of analytical and numerical methods have been proposed to compute a manipulator's workspace [72, 73, 104, 135]. It is hard to obtain the illustration of the manipulator workspace when the number of degree of freedom of the robot increases. Several methods exist in order to estimate the workspace in the Cartesian space.

**Analytical methods:** The analytical methods provide workspaces that are closed to the real workspaces. However, the computation becomes complicated when the degree of freedom of the robot increases. This is due to the non-linear equations and the matrix inversion involved in robot kinematics. Moreover, only certain specific manipulators could be handled by the analytical methods [2]. The analytical methods are not general and they are not practical. For that, we will not develop those methods in this thesis: the articles [52, 126, 135] give more details about computing the workspace of revolute joint manipulators using analytical methods.

**Numerical methods:** Those methods are general since they can be applied to most of the manipulators robots: they are relatively simple and more flexible. The simplicity and the flexibility come from the probability methods that don't involve the inversion of the Jacobian. However, those methods have an approximation boundary of the workspace. This is insufficient while computing the reachable part of the surface since some points may be unreachable and inside the external boundary of the workspace. For that, we will not develop the numerical methods in our thesis. Nonetheless, for more information about this method please refer to those articles [72, 77, 104].

**Discretization methods:** The discretization methods aim at finding the workspace volume. Those methods consist in discretizing the workspace into nodes using a predefined discretization step [103]. Then, a test is applied on each node to judge if it belongs to the workspace. This test uses the inverse kinematics and the different robot constraints. This method is suitable for all robots, but its accuracy depends on the discretization step. Moreover, an accurate workspace needs a small discretization step and the computation time increases exponentially with the number of the discretized nodes.

**Numerical methods based on Interval Analysis:** They are an alternative to discretization with the aim to address the aforementioned drawbacks (the computation time). Interval Analysis provide guar-

anteed workspace in reasonable computation times [48]. The computation using Interval Analysis is guaranteed since the rounding errors are taken into account and all the feasibility of all poses inside the spherical workspace of the robot is explored. In addition, numerical methods based on Interval Analysis are able to deal with uncertainties.

In the stripping process, huge surfaces with complex shapes are considered: an accurate robot's workspace is required in a reasonable computation time. Though, we propose using Interval Analysis to compute workspace since it deals with uncertainties and it provides guaranteed workspace in a reasonable computation time. Hence, we will present the state of the art of Interval Analysis in the section below.

### 2.1.2 Survey on Interval Analysis

Interval Analysis, abbreviated IA, is a mathematical tool that deals with solving numerical problems using computers [91]. Interval Analysis will be detailed in Chapter 3. IA allows to find solutions as finite domains instead of specific values which is a good advantage when the unknown variables are physical parameters. IA guarantees the solutions since it takes into account numerical round-off errors. IA is interesting since it deals with uncertainties that are unavoidable due to the manufacturing tolerance of the robots. The consideration of the uncertainty is essential in many robotic applications: spatial or medical robotics domain should manage with the uncertainties to ensure the accuracy of the results. Numerous other issues are addressed using IA such as workspace analysis [17], robots performance comparison [19], calibration [31] or robust control [34].

IA is used to solve a static vehicle localization problem by considering it as a set-inversion problem in [81]. In [61], IA is used to estimate the position of a satellite after an assumption that the positioning problem is a constraint satisfaction problem with continuous variables. This assumption is added to deal with non-linear state estimation. Jaulin proved that the localization of an underwater robot can be considered as a continuous constraint satisfaction problem and IA is very efficient to solve it [62, 65]. He also showed that the efficiency of IA for solving the simultaneous localization and map building (SLAM) problem: SLAM problem is formulated as a constraint satisfaction problems [63]. Some experiments have been conducted in the context of the localization of a submarine

robot from the GESMA (Groupe d'Etudes Sous-Marines de l'Atlantique): the Daurade robot is used for an experiment in the Douarnenez bay, in Brittany (France) [75]. In this approach, the map is represented by a binary image for a submarine robot localization. The advantage of this new approach is to represent even unstructured maps: it is efficient in real environment with lots of outliers. A combination of the best of the probabilistic approach and interval strategies to solve the global localization problem of underwater robots is proposed in [97]. The proposed method reduces the uncertainty into a specific limited region. Moreover, separators are used to solve the localization problem of a robot with sonar measurements in an unstructured environment [32]. The Minkowski sum and the Minkowski difference concept are used to create the separators and to facilitate the resolution.

Several works chose Interval Analysis to compute the robot workspace. Merlet used Interval Analysis to compute the workspace of a 6 degree of freedom parallel robot [88] and to compute all the geometries of a simplified Gough platform [89]. Chablat et al. compute the dexterous workspace and the largest cube enclosed in this workspace using IA [17]. In parallel, they developed an algorithm to determine the largest regular dexterous workspace enclosed in the Cartesian workspace [18]. Merlet solve the forward kinematics of parallel robots taking into account a part of IA advantages: all the solutions are provided, the computation time is reduced, the different physical constraints of the robot are added, and the uncertainties in the robot's model are taken into consideration. All the possible design of parallel manipulators that satisfy a set of compulsory requirements (taking into account manufacturing errors) are computed in [53]: this set of solution could not be found using the classical optimal design methodologies. The wrench-feasible workspace (WFW) of  $n$ -parallel robot is computed using an IA approach [47, 48]. IA methodology provides better results since full-dimensional sets of poses are returned (here boxes). However, the discretization methodology returns a discrete finite set of individual poses. In addition, the computation time required to test all the poses using the discretization methodology is higher than the computation time needed using the IA approach.



## 2.2 Optimization of the number of robots

In this section, we present the state of the art of two types of optimization problems for coverage tasks: searching for the optimal number of cameras required to cover a surface and finding the optimal number of guards to monitor a gallery. Those two state of the arts are our inspiration in order to optimize the number of robots and their poses required to cover the whole surface. Moreover, the optimization of the number of robots with their poses could be considered as an extension of a combination between Art Gallery Problem and camera base placements. Over the years, Art Gallery Problem has been studied in robotics, optimization, vision computational graphics, etc [67]. For instance, it was used to optimally position TV cameras in a closed room, to distribute the lighting sources in a small room, or to find the positions of different radar stations in a mountain [99]. It is also used for military goals especially during infiltrating an area and clearing it of threats. In the opposite side, optimal cameras and sensors placement have been deeply studied the last decades.

### 2.2.1 Art Gallery History

Chvatal was the first to tackle this problem in 1973 [28, 39]. His goal was to find the smallest number of guards needed to cover the whole polygon composed of  $n$ -edges. A polygon is generally composed of  $n$  vertices and  $n$  edges, where the edge is a line joining two vertices. Chvatal assumed that  $n/3$  guards are enough to cover a  $n$ -edged polygon. Fisk proposed a new algorithm to solve Art Gallery Problem because the concerned surfaces to monitor became more complex (it is Fisk assumption) [39]. The proposed technique consisted in dividing the polygon into triangles. Then, all the vertices were coloured using three different colors: each vertex of a given triangle must have a different color. Finally, each color had a defined number of vertices. The minimal value of those three numbers represented the number of guards required to cover this polygon. Kahn et al. were the first to treat orthogonal art galleries in 1983: they established the lower bound to  $n/4$  and they assumed that  $n/4$  guards are required to monitor the whole orthogonal surface (see Figure 2.1). They divided the surface into quadrilateral shapes and used the colors concept to solve the problem. In other words, they used Fisk assumption. Rectilinear star-shaped polygons were partitioned into convex

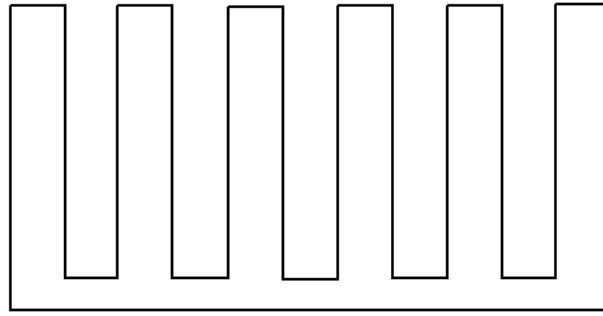


Figure 2.1: Orthogonal comb polygon [109]

quadrilaterals using a linear algorithm proposed by Sack and Toussaint [107]. This algorithm has been used by Edelsbrunner et al. to partition the polygon into a subclass of star-shaped polygons and L-shaped pieces and then one guard is located on each kernel: it is an  $O(n \log n)$  algorithm. O’rourke established a new proof to confirm that  $n/4$  guards should be used to monitor the whole surface [102]. Sack and An proposed an algorithm to judge if a polygon can be covered by one guard on one vertex. They also developed another  $O(n \log n)$  algorithm to decompose any rectilinear polygons into convex quadrilaterals and locate  $n/4$  guards on the surface. In 1984, Franzblau and Kleitman proved that the minimum set of guards required to cover a rectilinear monotone polygon could be computed using an algorithm of  $O(n^2)$  complexity [40]. Sack and Toussaint published an extensive paper on the guard placement in rectilinear galleries in 1988 [108]. In 2007, important results were reached by locating guards on the vertices [30]. A randomized art gallery problem has been appeared over the years. González-Banos were the first to propose the randomized art gallery for determining the set of the optimal locations that increases the efficiency of the visual sensing [45]. Recently, randomized art gallery problem is applied on camera placement problems which is developed hereafter.

The camera placement is an extension of Art Gallery problem. We can consider that the optimization of the number of camera and the optimization of number of robots required to cover the surface are two similar problems. However, the field of view in the first problem is the camera view, and it is the reachable part of the surface in the second problem. In the next subsection, we will present a small survey on the camera placement problem.

### 2.2.2 Camera placement application

In this section, we present the two sub-problems of the camera base placement problems (MIN and FIX), and we present the standard algorithm used to solve MIN sub-problem. It is important to know that this paragraph presents the information required to understand our work. To get more information about the different algorithms used to solve FIX and MIN sub-problems, for more information please refer to the survey on the different optimization algorithms used to solve the camera placement problem [36, 142].

FIX consists in optimizing the placements of a defined number of cameras to maximize the coverage of a surface. It can be formalized as follows:

$$\begin{aligned} & \text{maximize } f(x_1, \dots, x_{N_p}) \\ & \text{given } \sum_{i=1}^{N_c} b_i \leq m, x_j, b_i \text{ are binary,} \end{aligned} \quad (2.1)$$

MIN is the computation of the optimal number of cameras required to totally cover the surface. It can be formalized as follows:

$$\begin{aligned} & \text{minimize } \sum_{i=1}^{N_c} b_i \\ & \text{given } f(x_1, \dots, x_{N_p}) \geq p, x_j, b_i \text{ are binary,} \end{aligned} \quad (2.2)$$

The target space of the camera network can be discretized into a set of possible camera configurations (yaw and pitch angles, locations). Similarly, the target space of the cameras is discretized into finite space which can be 2D or 3D, object positions and orientations, or even a combination of all the above spaces. The discretized camera space is denoted as  $\{\Upsilon_i : i = 1, \dots, N_c\}$  and the target space as  $\{\Lambda_j : j = 1, \dots, N_p\}$ .  $\{b_i : i = 1, \dots, N_c\}$  and  $\{x_j : j = 1, \dots, N_p\}$  are two sets composed of binary variables.  $b_i = 1$  means that a camera is placed or selected at  $\Upsilon_i$ .  $x_j = 1$  indicates that an object at the position  $\Lambda_j$  can be observed by the selected camera.

Greedy algorithm was suggested for solving the MIN problem. Several algorithms have been presented to solve FIX problem: the greedy heuristics algorithm, the random sampler algorithm based on

marginal distribution, the metropolis sampling algorithm, the simulated annealing algorithm. Moreover, any solver of FIX problem (mentioned above) could be applied on a set of values containing different number of cameras in order to be a MIN solver. After that, the solution is the element of the set that represents the minimal number of cameras that cover totally the surface. Clearly, it is very difficult to find the optimal solution using this methodology. In addition, their relevance is limited by the complexity of the shape to be covered. For all those reasons, we propose an extended optimization algorithm to find the optimal number of robots and their base placements. More details about this strategy could be found in Chapter 4.

## 2.3 Robot base placement for complete coverage

In this section, we present the algorithm used to optimize one single robot base placement and we present some recent works that optimize the poses of a set of robots for coverage tasks. The surface coverage using robots is a common problem divided into two cases according to the robot state during the task: the robot could be either static or mobile. For the static case, the robot is positioned at a fixed point to cover a surface, e.g. to achieve painting, stripping, or sand-blasting tasks [9, 105, 125]. In the mobile robot case, the robot can move to achieve its task like in de-mining, inspection and agricultural fields coverage. The optimal paths of the mobile robots needed to cover an environment are computed using some algorithms presented in the works presented in the following papers [1, 11, 37, 101].

Recently, a third type of coverage problem is defined when the surface to cover is larger than the robot's workspace. In that case, the surface can not be covered from one given position and the coverage problem consists in repositioning the robot(s) under the assumption that the coverage task can not be done continuously and needs to be stopped while repositioning the robot. For instance, the stripping process is one of the third type of coverage problem. The stripping process may be applied on large objects with complex geometric shapes. Hence, the stripping task should be applied from several positions to cover the whole surface: an appropriate set of robot's base placements of the multi-robot system should be determined. Searching for the set of robot's base placements is called the base placement problem. Moreover, the set of robot's base placements should be optimized in

order to increase the robots performance and reduce the cycle time. Some literature are available for finding an appropriate base placement for one robot in underwater environments [118, 119] and in manufacturing environments [3, 136].

Generally, the robot workspace is required to find the optimal robot base placement for a given task. The robot's capabilities are usually captured using a discrete model of the reachable space. For instance, the reachable space of a humanoid robot is computed using a randomized sampling in [50]. The directional structure of the workspace is introduced based on a workspace discretised into a set of cubes in [139]. Mitsi et al. considered that the relative position of the robot to the trajectories of the end-effector influences on the robot performance [92]. They proposed a hybrid optimization algorithm that combined Genetic Algorithm with quasi-Newton method and Constraints Handlings methods. The goal of this algorithm was to find the optimal base placement of a single robot allowing to avoid the singular configuration, and taking into account the discrete end-effector positions. A spherical sample point is embedded in each cube, and it is marked as reachable if an inverse kinematics solver finds a solution. The proposed model was used to find positions for mobile manipulators in [140]. In the latter work, the approach is evaluated on a humanoid robot in door opening and even grasping tasks. Furthermore, it has been used for stance selection for humanoid grasping tasks [14]. Yang et al. proposed a new method to find the appropriate manipulator base placement [136]. This strategy avoids the use of the inverse kinematic methodology: the computation of the inverse kinematics problems is still a challenge and it limits the flexibility of the method. The proposed strategy consists in defining a cost function to impel the workspace of a manipulator towards the target points, considered as critical points. In parallel, the constraints are applied to prove the reachability of the end-effector to those critical points. Besides, some numerical methods are proposed to compute the workspace of a manipulator robot. The generated workspace is used for the optimization of the robot base placements towards a work-cell containing the critical points. Genetic algorithm is used to optimize the robot base placement using an objective function taking into account the distance between the robots and the work-cell [3]. In milling domains, the robot pose maximizing its manipulability is chosen using genetic algorithm [129]. The best docking position of an Underwater Unmanned Vehicle is computed using a genetic algorithm after formulating the problem as an optimization problem [119]. An intersection between a 2D ground plane and the inverse of the predefined reachability

representation is used to compute the robot base pose in [128]. The benefit of the direction-selective performance indexes and the task-dependent during the computation of the optimal base placement for a robot has been proved in [13]. An orientation-based reachability map has been proposed in [35] to deal with the robot capability: the robot base placement could be optimally positioned according to the task's type.

The above base placement optimization approaches were extended to deal with multiple industrial robots for the coverage tasks. Hassan et al. proposed a new strategy to distribute the work between robots for coverage tasks assuming that a reasonable number of robots is intuitively chosen based on the size of the object [54, 56]. The strategy consists in finding an optimal base placement and the visiting sequence of the base placements by each robot. A combination between the simulated annealing and the genetic algorithm has been used to solve this program.

In the next section, we will present the state of the art of path generation of spray painting robots. The algorithms that are developed in this section could be used to generate the trajectories of the stripping tool on the surface. Path generation for the stripping robot is not a contribution of this thesis, but we are presenting some algorithms that could be solutions. Section 2.4 is a part of a survey that we have recently submitted to "Robotics and Computer-Integrated Manufacturing" journal. This survey was our inspiration for our approach presented in Chapter 1 since the painting process was applied on small surfaces and the base placement of the robot was not studied. Our proposed approach is dedicated for every coverage process, like stripping, painting, etc.

## **2.4 Path generation of spray painting robots**

The first painting robot was developed in 1967 [127]: it painted wheelbarrow boxes passing along a conveyor. The painting process had two steps: a recording step and a playing step. In the recording step, also named learning step, a human operator defined the spray gun trajectories, e.g. the end-effector trajectories, that allow to coat the whole surface to be painted. However, in the playing step, the robot reproduced the prerequisites trajectories to paint similar surfaces. This painting procedure is known by different names: the manual tool planning, the manual self-learning programming [42],

the robot teaching procedure [138], or the teaching method [24]. In the latter approach, the painting quality, the cycle time and, the paint waste strongly rely on the human operator skills. Despite the employees experience, the satisfactory trajectories are obtained after several attempts in the recording step. Thus, the cycle time of the recording step increases, and the human operator is more exposed to the risks of chemical products in the paint substances. Those considerations have led to diverse alternative approaches for automated path planning of spray painting robots. The automated painting task is challenging because the generated trajectories:

- rely on the surface shape, on the spray gun model and on the flow paint distribution model;
- may influence on the painting process criteria: the cycle time, the paint waste and/or the paint uniformity;
- must satisfy several constraints such as the kinematic and dynamic limits of the robots, the gun orientation's constraints [113], the reachability, the material waste, the surface's temperature and the type of material deposition pattern (raster or spiral) [70].

In 1991, the first automated algorithm for painting tasks was proposed. Goodman and Hoppensteradt dealt with the offline programming of painting robots task, and proposed a general framework solving the latter task on trivial planar surfaces [46]. After that, Suh et al. developed a model representing the paint flow distribution, and a new path planning algorithm named Automatic Trajectory Planning System (ATPS) to compute the optimal trajectory of robot end-effector. In the ATPS, the painted surface was considered as a set of small planes [122]. In 1996, Hertling et al. demonstrated that, for complex surfaces, the difference between the angle of the spray gun and the normal of the surface is affecting the painting distribution on the surface. Thus, they introduced a new concept called the zero paint flow [59]. In 1997, N. Asakawa developed a new path generation algorithm. This algorithm chooses painting points on the surface and then creates a trajectory by joining those points under the assumption that the spray gun is always perpendicular to the surface and the distance between the gun and the surface is always fixed. In 1997, Antonio et al. chose Cauchy curves to model the paint flow distribution, and developed a framework taking into account the paint uniformity. However, in 2002, Chen et al. proved that Cauchy curves model is not suitable for free-form surfaces, and they proposed a new trajectory generation in order to achieve uniform paint thickness [26]. Chen et al. proved that the gun velocity influences on the paint uniformity [20]. Therefore, they proposed a new trajectory

generation algorithm that optimizes all the parameters affecting the paint uniformity: the surface model, the position the orientation and the velocity of the spray gun, and the paint flow model. Li et al. proposed a new trajectory optimization algorithm using Genetic Algorithm [82]. However, Lu generated the gun trajectory for the cylindrical (or almost cylindrical) surfaces, and assumed that their algorithm could be applied on surfaces with high curvatures [86]. In parallel, Zhou and Ceng developed path generation algorithms for spherical surfaces [143].

The surfaces to be painted become more complex over time, and as a result the automated painting process become more difficult. To deal with complex surfaces, Chen et al. proposed a partitioning of the surface into several simple surfaces, called patches. The path generation algorithm is applied on each of those patches to define the paint gun trajectories [20, 22]. Some segmentation strategies dedicated for complex surfaces could be found in [22, 24, 115]. Nowadays, most of painting path planning techniques are divided into three main steps and may consider several inputs as it is shown in Figure 2.2.

In this state of the art, we will present the object model, the mesh segmentation algorithms, the path planning algorithm, the finalization (the integration techniques) and the comparison between different algorithms.

### **2.4.1 Object model**

The object meshes are modelled using a triangular grid model in common STL (STereo Lithography): the Delaunay triangulation. Delaunay triangulation is a type of CAD (Computer-Aided Design) models. CAD softwares are used by architects, engineers, drafters, artists, and others to create precision drawings or technical illustrations. CAD softwares can be used to create two-dimensional (2-D) drawings or three-dimensional (3-D) models. It is usually divided into two main groups: parametric surface's models (see Figure 2.3a) and meshed/tessellated CAD models (see Figure 2.3b). Several parametric representations exist to represent the covered surface, e.g. Bézier, B-splines, Nurbs, etc. Those representations are mathematically accurate, but they don't deal with complex surfaces [27, 22, 114]. For this reason, the surfaces are usually represented using meshed/Tessellated CAD model. The triangular grid model in common STL (STereo Lithography) is the most common



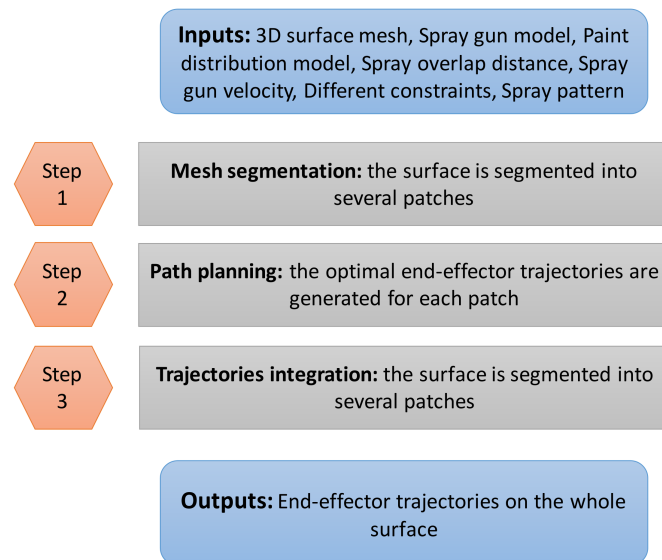


Figure 2.2: Main steps of recent painting path planning techniques.

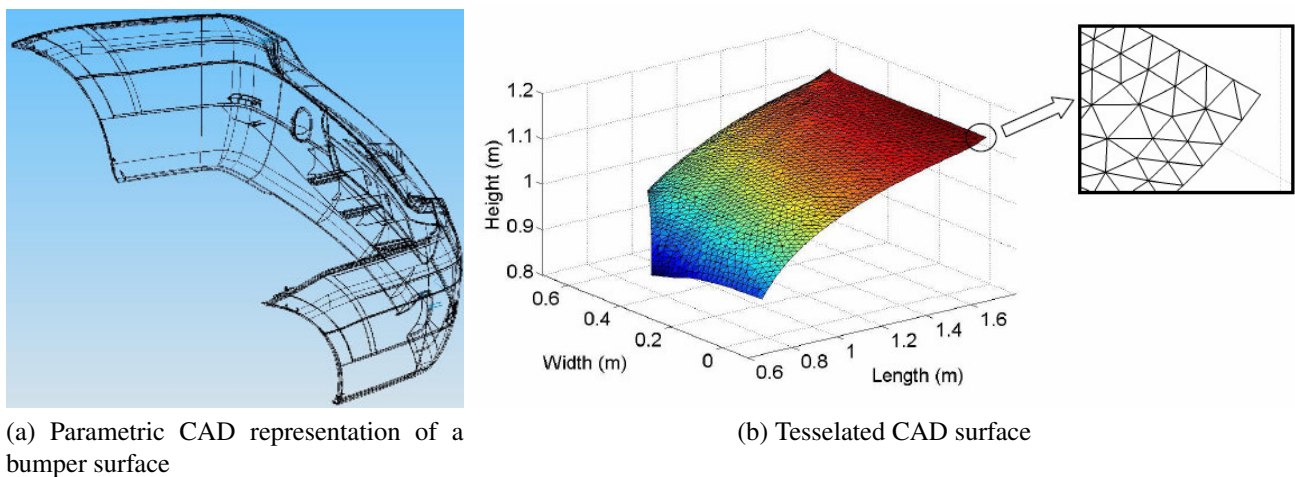


Figure 2.3: CAD representations of surfaces [25]

meshed CAD model, it is called the Delaunay triangulation. This representation is usually used to present free-form surfaces [6]. In this representation, the points of the surface cloud are joined using edges, and small uniform triangles facets, are generated to model the surfaces [4]. Despite modelling errors are introduced when the object surface is approximated by a set of triangles, those triangles make the construction of the neighbour relationship simpler as presented in [114, 6]. Moreover, those errors can be decreased by using finer mesh and this representation becomes more and more familiar because the computer processing power is always on the increase, giving more accuracy.

## 2.4.2 Mesh segmentation methods

In the automated path generation, the mesh segmentation methods are used to decompose the surfaces into smaller surfaces (called patches) that have uniform geometrical properties. Hence, each patch can be painted using the same strategy.

Sheng et al. developed first the combinatorial algorithm to generate different paths on simple surfaces [114]. Hence, a surface segmentation step, also named surface partitioning, is added before the path planning in order to manage with compound surfaces [115]. Sheng et al. segmentation algorithm deals with complicated multiple patch surfaces. However, the complicated surfaces must have low curvatures because the segmentation algorithm analyses the surface as a plane. In recent works, Bo et al. choose to first proceed to normal-based partition and then to topological-based partition [12]. This technique is important since it avoids the different curvatures before the projection of the surface on a 2D plane.

Xia et al. proposed a new segmentation method [134] to deal with complex surfaces in order to optimize the paint uniformity, the paint waste and the cycle time: it is the combinatorial algorithm. Xia et al. added a second step to the combinatorial algorithm: it is called Segmentation Based On Obstacle Avoidance (SBOOA). SBOOA reduces the cycle time and the painting waste by segmenting each patch of the surface containing obstacles, e.g. holes. The suitability of SBOOA is evaluated through the ratio between the percentage of the holes in the surface and the whole surface to be painted. If this ratio is greater than a given threshold, the paint waste is not acceptable and SBOOA algorithm is required, otherwise SBOOA segmentation is inessential.

## 2.4.3 Path planning algorithms

After the mesh segmentation into several sub-surfaces, a path planning algorithm is launched to generate the spray gun trajectories on each sub-surface. Those trajectories are defined by a set of successive points. Each point describes the position and the orientation of the spray gun in a Cartesian frame. Those points are joined to create trajectories in such a way that those trajectories have the less num-

ber of turns: the minimal cycle time is reached [23]. Four main algorithms exist: the combinatorial algorithm proposed in 2000 by Sheng et al. [114], the offset curve planner proposed in 2008 [8], the incremental trajectory generation [6] and the trajectory planning [123].

#### 2.4.4 Finalization: Integration techniques

After the surface segmentation and the trajectory generation, a connection between the generated paths on each patch is required. Hence, an integration between the bordering paths is the solution. Thus, the generated trajectories on each patch must be stitched taking into account the paint uniformity. Chen et al. were the first one to tackle this problem in [21]. This algorithm is enhanced in [22], taking into account all the intersection areas, and not only the intersection line. In the same patch, the overlap distance and the gun velocity values do not change. However, in the intersection area, those values are changed in order to attend the paint uniformity. Thus, the pattern search method (optimization algorithm) is used to calculate those values in the integration zone (zone joining two patches).

Finally, in [20], the same algorithm is used as in [22]. However, Chen chose the steepest-descend algorithm for the optimization instead of the pattern search method.

#### 2.4.5 Comparison between different algorithms

Surface type	Incremental trajectory generation	Combinatorial algorithm	Offset curve planner
planar surface	.	+	-
curved surface	+	-	.
surface with holes	.	+	-
Final result	+	2+	2-

Table 2.1: comparison table between the three algorithms based on the paint thickness variations (+: best result, .: acceptable result, -: worst result)

In this section, we compare the different path generation algorithms, developed by Andulkar and Chiddarwar [4], Chen and Xi [20], Atkar et al. [9] and Tang and Chen [123], based on our understanding as well as on the results of the state-of-the-art. Those algorithms are analysed based on the paint uniformity which is computed at the end of the painting process. Their behaviour on different

type of surfaces is also considered, e.g. the planar surface, the curved surface, and the surface with holes. This comparison is shown in Table 2.1 [4, 5, 9, 115]. A lack of information in the experiments prohibit some comparisons, especially with Trajectory planning algorithm.

For instance, the trajectory planning algorithm shows good results on free-form surfaces. However, it has not been tested on other type of surfaces. Hence, the trajectory planning algorithm could not be compared with other algorithms. Meanwhile, the combinatorial algorithm is the best algorithm on the planar surfaces because it aims at generating paths using an intersection between a set of planes and the surface. For curved surfaces, the incremental trajectory generation algorithm is a good choice, but the offset curve planner could be a competitor. The incremental trajectory is the best based on the experiments. However, the choice of the surface corner and of the X, Y, Z axis along the surface could directly influence on the algorithm behavior: a bad choice of the start up corner or of the axis directions may affect the paths, so the paint uniformity. Though, the choices of those two parameters must be done automatically: a new topic for lots of works. Finally, the combinatorial algorithm is the most powerful algorithm for the surface with holes. However, the incremental trajectory generation algorithm will give interested results if a mesh segmentation is added before the path planning step.

Based on Table 2.1, the following conclusion could be made: the combinatorial algorithm attends the paint uniformity more than the incremental trajectory algorithm. However, the comparison between, the incremental trajectory and the combinatorial algorithms from one side, and the offset curve planner algorithm from the other side is hard: a lack of experiments in the latter algorithm limits this comparison. Furthermore, based on the algorithm's concept an assumption was made: on planar and curved surfaces and surfaces with holes the incremental trajectory generation and the combinatorial algorithms are more efficient than the offset curve planner. Brief, all of these algorithms provide good results, but the combinatorial algorithm gives the most sufficient results in several cases. However, some promising results are expected when a segmentation step is added before the incremental trajectory algorithm. It is very obvious that the painting and the stripping processes are very closed. Though, we can deduce that the incremental trajectory algorithm is the most efficient in stripping process.

## 2.5 Conclusion

In this chapter, we have presented the state of the art related to four fields of research. Each one is related to one step of the proposed approach. Firstly, we have presented the state of the art of the robot's workspace computation. Then, we have presented the mathematical tool that we chose to compute the workspace in our application: Interval Analysis. A brief survey concerning Interval Analysis in robotics has been also provided. Interval Analysis is chosen to compute the robot's workspace since it provides guaranteed workspace in a reasonable computation time. However, it suffers from pessimism: a new inclusion function to reduce pessimism will be introduced in Chapter 3.

Two state of the art useful to compute the optimal number of robots with their optimal base placements has been discussed: the camera placement problem and Art Gallery Problem. We considered that the problem of optimization of the number of robots is mainly similar to those two problems. The main difference between those three problems is the field of view. In the camera placement problem, the optimization is based on the field of view of the camera. Further, the human field view is used to test the complete coverage in Art Gallery Problem. However, in the robot coverage problem, the reachable part of the surface is used to check the coverage of a surface. In my thesis, the reachable part of the surface will be the intersection between the surface and the robot's workspace already computed using IA.

The optimization of a single robot base placement for coverage task has been studied. Hence, a combination between the optimization of the number of robots and the robot base placement for complete coverage is required to solve our general problem (the optimization of the number of robots with their base placements). This combination leads to a new contribution which is the hybrid optimization function developed and tested on the coverage problem (striping application). This contribution is developed in Chapter 4.

Finally, we developed the state of the art related to path generation of spray painting. This state of the art can be used to generate the trajectories of the stripping tool on the surface. Unfortunately, we did not have time to implement and to test it.

## Chapter 3

# Interval Analysis Using BSplines and Kronecker product

Solving Constraint Satisfaction Problem (CSP) consists in finding the set of variables that respect a set of constraints. CSP finds all the valid solutions. CSP could be extended to a problem that should find the set of variables optimizing a criteria function and satisfying a set of constraints namely Constraint Optimization Problem (COP) [112]. CSPs and COPs are popular in robotics where the constraints may take several forms: the robot kinematic and dynamic limits, the robot balance or the different constraints imposed by the desired task. On the one hand, CSP is used in sequential manipulation planning [85], planning [124], robot control [41]. On the other hand, COPs may be used for physical parameters identification [66]. The optimization techniques allow robots to perform motions or to reach a static pose while minimizing criteria like energy consumption or even execution time [79]. The optimal motion/ pose parameters must also satisfy a set of constraints (such as joint limits, joints torques, balance, etc) in order to ensure the integrity of the robotic system and the task completion. Depending on the application, the constraints and the criteria function can vary from simple (continuous, linear, monotonic, etc) to very complex (discontinuous, non-linear, with local extremum, etc). In simple cases (quadratic criteria without constraint), the optimal parameters can be found easily using Lagrange multipliers, active set, etc [100]. For more complex cases, one usually refer to heuristic algorithms or to some iterative algorithms [74, 130] that produce a solution in a finite

time. For relatively complex cases (especially with discontinuous or non differentiable functions), Genetic Algorithms return a solution without any guarantee of the optimality.

Interval Analysis (IA) is a powerful mathematical tool that could be used to solve CSPs as well as COPs. For instance, optimization techniques based on IA provide actual and optimal results ensuring the constraints satisfaction and the lack of solutions [51]. In [78], it has been proven that IA is efficient for finding the feasible space during motion generation: finding a new posture in the feasible space is faster than generating it explicitly. However in [64], IA is used for parameters estimations since it deals with uncertainties and ensures the results consistency. Unfortunately, those techniques suffer from a prohibitive computation time, due to the pessimism induced by the use of Interval Analysis. The pessimism is an over-estimation of the functions, i.e. the given interval is still conservative (it contains the actual solution) but it is larger than the actual solution. Pessimism is deeply linked to the inclusion function (the way to evaluate a function in Interval Analysis). In order to reduce pessimism, the natural inclusion function can be replaced by the centred, Taylor-centred or Chebyshev inclusion functions [98]. In this chapter, we propose a new inclusion function for multi-dimensional systems that decreases the pessimism and the computation time using the convex hull property of BSplines and Kronecker product. This method was already applied in a one dimensional case to evaluate functions over time intervals [79]. Our work is an extension to multi-dimensional problems. Our method is applied on the resolution of CSPs and COPs. We assess the results of our method on robotic systems.

CSPs and COPs are presented in Section 3.1. Section 3.2 introduces IA and explains the IA's main drawback, i.e. the pessimism. Besides, Section 3.3 introduces how IA is used to solve CSPs and COPs. Further, Section 3.4 details the BSplines and the Kronecker properties and how they can be used to reduce the pessimism. After that, we detail two different implementation versions of the proposed inclusion function in Section 3.5. The initial implementation version is published as a conference paper in the "15th International Conference on Intelligent Autonomous Systems" [68] and is presented in Section 3.5.1. The initial implementation has been improved: the final implementation version is explained in Section 3.5.2. A paper has been recently submitted in "Reliable Computing journal" about the final implementation version [80]. Moreover, the simulations and results of the final implementation version is presented in Section 3.6. The results of the initial implementation version can be found in Appendix D. Some mathematical tools with some examples can be found in

the following Appendices A, B and C.

### 3.1 Problem Statement

Constraint Satisfaction Problem is a mathematical problem that consists in finding a set of objects or states satisfying a set of constraints as defined hereafter:

$$\begin{aligned} & \text{Find all } [q] \in \mathbb{Q} \\ & \text{such as } \forall j \in \{1, \dots, m\} \quad \mathcal{G}_j([q]) \in [\underline{g}_j, \bar{g}_j] \end{aligned} \quad (3.1)$$

Where:

- $n$  is the number of variables composing the set  $q$ ,
- $m$  is the number of constraints,
- $q = \{q_1, \dots, q_n\}$  is the set of  $n$  variables,
- $\mathbb{Q} = \{[q_1 : \bar{q}_1], \dots, [q_n : \bar{q}_n]\} \subset \mathbb{R}^n$  is the set of variable domains defined by the minimum and maximum values of each variable,
- $\mathcal{G}([q])$  is the set of  $m$  constraints. Each constraint of this set  $\mathcal{G}_j([q])$  must remain within the interval  $[\underline{g}_j, \bar{g}_j]$  with the lower bound  $\underline{g}_j$  and the upper bound  $\bar{g}_j$  limits.

In robotics,  $q$  is composed by a set of variables that could be the joint trajectory parameters [91], the set of joint angles creating the feasible space with bounding errors [78], etc. Moreover, the constraint equation  $\mathcal{G}_j(q)$  is usually non-linear and refer to the collision avoidance constraint, the joint position velocity or torque limits, the manipulability criteria to avoid singularities, the reachability of the end-effector or the balance constraint etc.

Backtracking, iterative improvement, consistency, and IA [44, 137] are the four major algorithms usually used to solve CSP. Backtracking algorithms usually use recursive formulations. The problem is presented as a tree with a constraint on each node: the descent on a given node stops once the condition is not fulfilled. However, iterative improvement algorithms initialize a random configuration as the initial inputs. After that, the inputs are modified until the solution is reached. Moreover,



Consistency algorithms reduce the problem complexity by decreasing its search space. Those algorithms change the problem formulation but the solutions are the same. IA is chosen since it avoids the lack of the solutions and guarantees them. Several works showed that Interval Analysis is competitive compared to the classic optimization solvers since it provides guaranteed solutions respecting the constraints.

The mechanical structure of the robot expands uncertainties during solving CSP: IA is the mathematical tool used to solve CSP to ensure the reliability and to deal with uncertainties [91]. IA can be used to solve COPs in addition of solving CSPs using Equation 3.1. This transformation can be reached by adding a criteria function to Equation 3.1. Hence, the resolution of the optimization problem can be defined as (instead of Equation 3.1):

$$\begin{aligned}
 & \text{Find} && [q] \subset \mathbb{Q} \subset \mathbb{R}^n \\
 & \text{such as} && \min_q \mathcal{F}([q]) \\
 & \text{with } \forall j \in \{1, \dots, m\} && \mathcal{G}_j([q]) \in [\underline{g}_j, \bar{g}_j]
 \end{aligned} \tag{3.2}$$

Where  $\mathcal{F}([q])$  is the criteria function.

## 3.2 Interval Analysis

Interval Analysis, also called Interval Arithmetic, is a mathematical tool developed by mathematicians since the 1950s. Nowadays, Interval Analysis is used in many fields: robotics, global optimization, localization of robots, parameter estimation, set inversion etc. The results provided by interval methods are always guaranteed regarding a set of hypotheses [64]. Interval Analysis was initially developed to take into account the quantification errors introduced by the floating point representation of real numbers with computers. Nowadays, Interval Analysis is largely used in robotics.

### 3.2.1 Presentation

Let us define an interval  $[a] = [\underline{a}, \bar{a}]$  as a connected and closed subset of  $\mathbb{R}$ , with  $\underline{a} = \text{Inf}([a])$ ,  $\bar{a} = \text{Sup}([a])$  and  $\text{Mid}([a]) = \frac{\underline{a} + \bar{a}}{2}$ . The set of all real intervals of  $\mathbb{R}$  is denoted by  $\mathbb{IR}$ . Real arithmetic operations are extended to intervals. Consider an operator  $\circ \in \{+, -, *, \div\}$  and  $[a]$  and  $[b]$  two intervals. Then:

$$[a] \circ [b] = [\inf_{u \in [a], v \in [b]} u \circ v, \sup_{u \in [a], v \in [b]} u \circ v] \quad (3.3)$$

This operation can be detailed as follows:

$$\begin{aligned} [a] + [b] &= [\underline{a} + \underline{b}, \bar{a} + \bar{b}] \\ [a] - [b] &= [\underline{a} - \bar{b}, \bar{a} - \underline{b}] \\ [a] * [b] &= [\min\{\underline{a}\underline{b}, \underline{a}\bar{b}, \bar{a}\underline{b}, \bar{a}\bar{b}\}, \max\{\underline{a}\underline{b}, \underline{a}\bar{b}, \bar{a}\underline{b}, \bar{a}\bar{b}\}] \\ [a]/[b] &= [a] * [1/b] \text{ if } 0 \notin [b] \text{ else } [-\infty, \infty] \\ \text{If } f \in \{\cos, \sin, \text{sqr}, \text{sqrt}, \log, \dots\} & f([a]) = [f(a) | a \in [a]] \end{aligned} \quad (3.4)$$

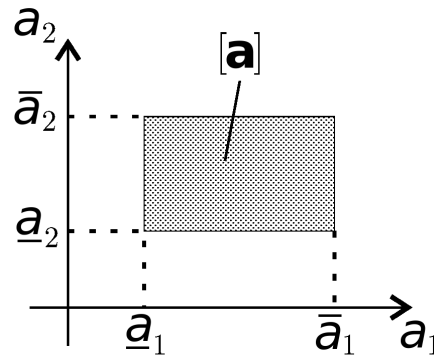
The propriety of the exponential function, shown in Equation 3.4, is also a property for every monotonic functions.

### 3.2.2 Boxes or Interval Vectors

An interval real vector  $[a]$  is a subset of  $\mathbb{R}^n$  that can be defined as the Cartesian product of  $n$  closed intervals.  $[a]$  is called an interval vector, or also a box and it can be written as:

$$[a] = [a_1] \times [a_2] \times \dots \times [a_n], \text{ with } [a_i] = [\underline{a}_i, \bar{a}_i] \text{ for } i = 1, \dots, n. \quad (3.5)$$

where  $[a_i]$  is the projection of  $[a]$  on the  $i$ th axis. Similarly to the interval, a box has a lower bound, an upper bound, a width and a midpoint (also named centre). Let us define a box  $[a]$ , the lower bound is  $\text{lb}([a]) = [\underline{a}] \triangleq (\text{lb}([a_1]), \text{lb}([a_2]), \dots, \text{lb}([a_n]))^T = (\underline{a}_1, \underline{a}_2, \dots, \underline{a}_n)^T$ , the upper bound is  $\text{ub}([a]) = [\bar{a}] \triangleq (\text{ub}([a_1]), \text{ub}([a_2]), \dots, \text{ub}([a_n]))^T = (\bar{a}_1, \bar{a}_2, \dots, \bar{a}_n)^T$ . The width of  $([a]) = ([a_1], [a_2], \dots, [a_n])^T$  is  $w([a]) \triangleq (\text{mid}([a_1]), \dots, \text{mid}([a_n]))^T$ . Let  $[b]$  be a subset of  $\mathbb{R}^n$ , the intersection of  $[a]$  and  $[b]$  is defined as

Figure 3.1: A box  $[a]$  in  $\mathbb{R}^2$ 

$[a] \cap [b] \triangleq ([a_1] \cap [b_1]) \times \cdots \times ([a_n] \cap [b_n])$ . Constantly, the union of two boxes  $[a]$  and  $[b]$  is not a box. Hence, the interval hull is defined and it is computed as  $[[a] \cap [b]] = [a] \sqcup [b] \triangleq ([a_1] \sqcup [b_1]) \times \cdots \times ([a_n] \sqcup [b_n])$ . Classical operations for interval vectors are the extensions of the same operations for punctual vectors. Let us define  $[a], [b]$  as boxes of  $\mathbb{R}^n$ , and  $\alpha$  as a real number [64], then

$$\begin{aligned} \alpha[a] &\triangleq (\alpha[a_1]) \times \cdots \times (\alpha[a_n]) \in \mathbb{R}^n, \\ [a]^T * [b] &\triangleq [a_1] * [b_1] + \cdots + [a_n] * [b_n] \in \mathbb{R}, \\ [a] + [b] &\triangleq ([a_1] + [b_1]) \times \cdots \times ([a_n] + [b_n]) \in \mathbb{R}^n. \end{aligned} \tag{3.6}$$

### 3.2.3 Inclusion function

Consider a function  $\mathbf{m} : \mathbb{R}^n \mapsto \mathbb{R}^m$ ; the range of this function over an interval vector  $[a]$  is given by:

$$\mathbf{m}([a]) = \{\mathbf{m}(\mathbf{u}) \mid \mathbf{u} \in [a]\} \tag{3.7}$$

The interval function  $[\mathbf{m}] : \mathbb{IR}^n \mapsto \mathbb{IR}^m$  is an inclusion function for  $\mathbf{m}$  if:

$$\forall [a] \in \mathbb{IR}^n, \mathbf{m}([a]) \subseteq [\mathbf{m}]([a]) \tag{3.8}$$

For instance, let us take a function  $\mathbf{m}$  from  $\mathbb{R}^2$  to  $\mathbb{R}^2$  with two variables  $[a_1] = [\underline{a}_1, \bar{a}_1]$  and  $[a_2] = [\underline{a}_2, \bar{a}_2]$ . The image of  $\mathbf{m}$  may have any shapes: even it can be non-convex. The inclusion function  $[\mathbf{m}]$  of  $\mathbf{m}$  compute the box  $[\mathbf{m}]([a])$  that totally includes  $\mathbf{m}[a]$ . If this box  $\mathbf{m}[a]$  is minimal then  $[\mathbf{m}]([a])$  is a minimal inclusion function named  $[\mathbf{m}]^*$ . Different types of inclusion functions exist. We will start

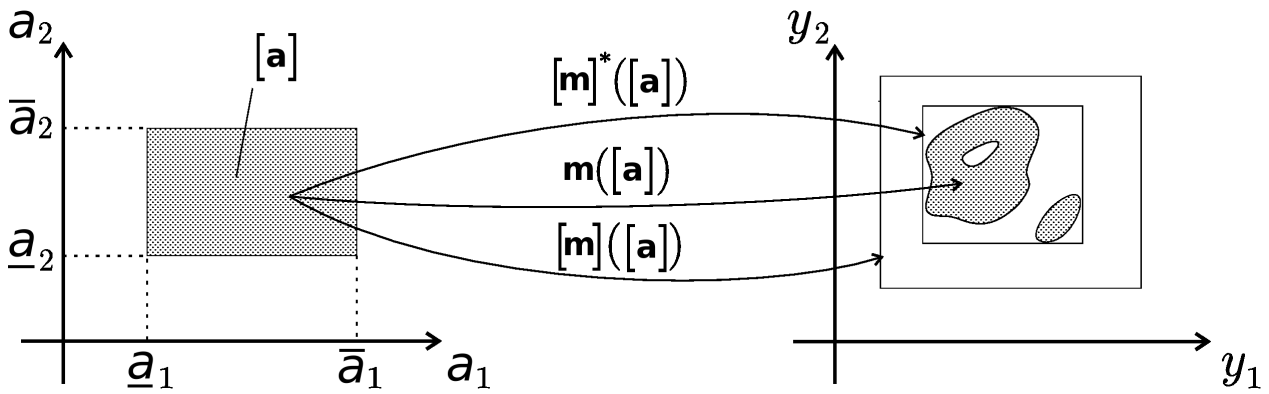


Figure 3.2: Image of a box  $[a]$  using a function  $m$  and its inclusion functions  $[m]$  and  $[m]^*$

by explaining the trivial inclusion function: the natural inclusion function.

### 3.2.3.1 Natural inclusion function

The natural inclusion function of  $\mathbf{m}$  is computed by changing each occurrence of a real variable by its corresponding interval, so each function by its interval.

**Example 3.1.** Consider four different expressions of the same function  $f(a) : \mathbb{R} \rightarrow \mathbb{R}$

$$\begin{aligned}
 f_1(a) &= a(a+1), \\
 f_2(a) &= a * a + a, \\
 f_3(a) &= a^2 + a, \\
 f_4(a) &= \left(a + \frac{1}{2}\right)^2 - \frac{1}{4}.
 \end{aligned} \tag{3.9}$$

Their different natural inclusion functions for  $[a] = [-1, 1]$ :

$$\begin{aligned}
 [f_1]([a]) &= [a]([a] + 1) = [-2, 2], \\
 [f_2]([a]) &= [a] * [a] + [a] = [-2, 2], \\
 [f_3]([a]) &= [a]^2 + [a] = [-1, 2], \\
 [f_4]([a]) &= \left([a] + \frac{1}{2}\right)^2 - \frac{1}{4} = \left[-\frac{1}{4}, 2\right].
 \end{aligned} \tag{3.10}$$

Figure 3.3 shows different intervals computed using different inclusion functions. It is clear that

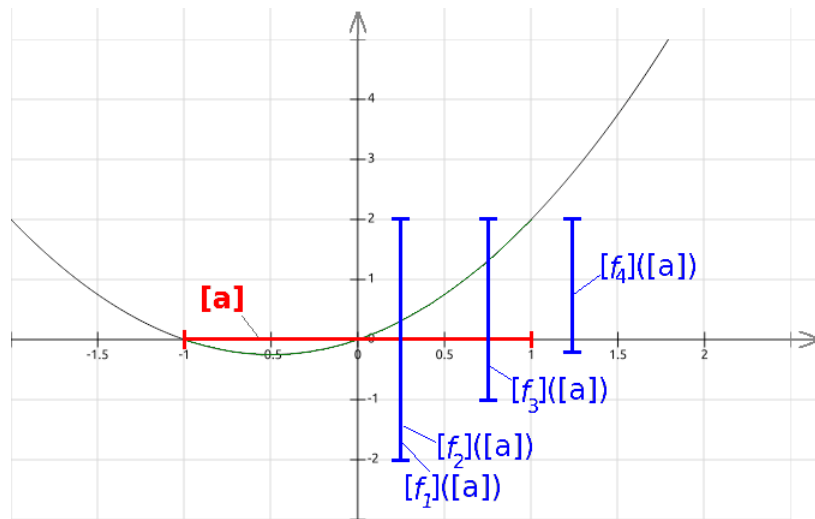


Figure 3.3: Four different inclusion functions for the same function  $f$ .

for the same equation we can obtain different results based on the mathematical formulation: this difference between the results is caused by the pessimism. The pessimism overestimates intervals: it produces intervals larger than the real ones. Hence, it is important to know that all the solutions are correct, but the accuracy decreases when the pessimism increases, i.e. larger intervals are found. It is clear in Figure 3.3 that  $[f_4]$  is the only inclusion function who does not suffer from pessimism. Though, we can say that the natural inclusion function performance depends on the mathematical expression of  $\mathbf{m}$ . However, the inclusion function may not exist and if it exists it is not easy to find it. Shortly, pessimism is an overestimation of the actual result, and it is mainly caused by the multi-occurrence of variables in equations. Each occurrence of the same variable is considered as a different variable relying on the same interval [96, 133].

The use of the natural inclusion function is not recommended since it depends on the variables occurrence. In order to reduce pessimism, several inclusion functions are proposed.

### 3.2.3.2 Centred inclusion function

Let  $f : \mathbb{R}^n \mapsto \mathbb{R}$  be a scalar function of a vector  $\mathbf{a} = [a_1, \dots, a_n]^T$ .  $f$  is considered differentiable over the box  $[\mathbf{a}]$ , and  $\text{mid}([\mathbf{a}])$  is denoted by  $\mathbf{a}_c$ . The mean-value theorem means:

$$\forall \mathbf{a} \in [\mathbf{a}], \exists \mathbf{z} \in [\mathbf{a}] \mid f(\mathbf{a}) = f(\mathbf{a}_c) + \mathbf{g}^T(\mathbf{z})(\mathbf{a} - \mathbf{a}_c) \quad (3.11)$$

where  $\mathbf{g}^T$  is the gradient of  $f$ , a column vector with entries  $g_i = \frac{\partial f}{\partial a_i}, i = 1, \dots, k$ . Hence,

$$\forall \mathbf{a} \in [\mathbf{a}], f(\mathbf{a}) \in f(\mathbf{a}_c) + [\mathbf{g}^T]([\mathbf{a}])([\mathbf{a}] - \mathbf{a}_c) \quad (3.12)$$

where  $[\mathbf{g}^T]$  is an inclusion function for  $\mathbf{g}^T$ , so

$$f(\mathbf{a}) \subseteq f(\mathbf{a}_c) + [\mathbf{g}^T]([\mathbf{a}])([\mathbf{a}] - \mathbf{a}_c) \quad (3.13)$$

Thus, the interval function:

$$[f_c](\mathbf{a}) \triangleq f(\mathbf{a}_c) + [\mathbf{g}^T]([\mathbf{a}])([\mathbf{a}] - \mathbf{a}_c) \quad (3.14)$$

is the centred inclusion function of  $f$  for any given  $[\mathbf{a}]$ . This function is an affine function having an uncertain slope  $[f']([\mathbf{a}])$ . Hence,  $[f_c](\mathbf{a})$  can be represented by a cone with a centre  $(\mathbf{a}_c, f(\mathbf{a}_c))$ . As it is shown in Figure 3.4, the smaller the width of  $[a]$  is, the better the centred inclusion function is. Hence, we can say that the image illustrates that when  $w([a]) \rightarrow 0$

$$\frac{w([f_c]([\mathbf{a}]))}{w([f]([\mathbf{a}]))} \rightarrow 1 \quad (3.15)$$

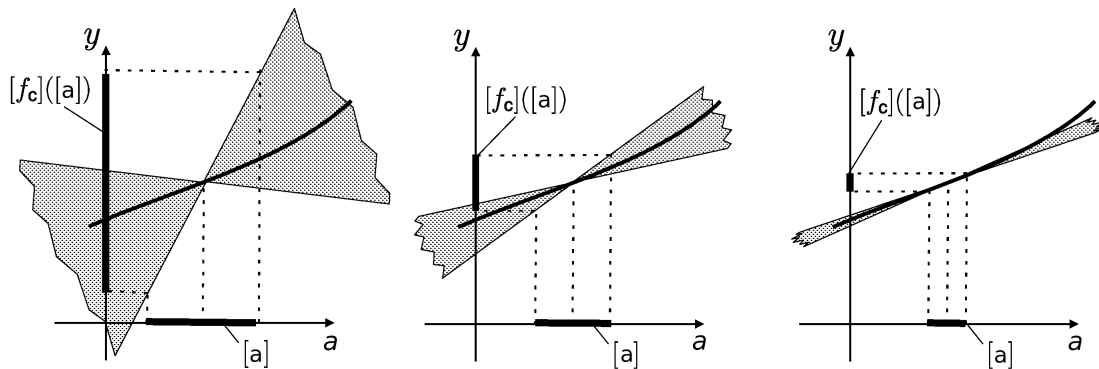


Figure 3.4: Perception of the centred inclusion function

The centred inclusion function is proposed since the scalar product with  $[\mathbf{a}] - \mathbf{a}_c$  reduces the pessimism when  $[a]$  is small. When  $[a]$  gets larger, the centred inclusion function efficiency reduces: its effect of reducing pessimism decreases. The centred inclusion function for a function  $f$  from  $\mathbb{R}^n \mapsto \mathbb{R}$  can be noticeably improved by using the mixed centred inclusion function.

### 3.2.3.3 Mixed centred inclusion function

When the centred inclusion function is applied on each variable, the whole function will be the mixed centred inclusion function. Hence, the expression of the centred inclusion function is generalized for  $n$  variables where  $\mathbf{a} = (a_1, \dots, a_n)^T$  and  $\mathbf{m} = \text{mid}([\mathbf{a}])$ :

$$f([\mathbf{a}]) \subset f(\mathbf{m}) + \sum_{i=1}^n [g_i]([a_1], \dots, [a_i], m_{i+1}, \dots, m_n) * ([a_i] - m_i) \quad (3.16)$$

The mixed centred inclusion function could get tighter intervals than the centred inclusion function for multi-dimensional functions. That is because the gradient arguments are mixed in the new inclusion function: the pessimism is reduced since  $[f](\text{mid}([a]), [a]) \subset [f]([a])$ .

### 3.2.3.4 Taylor inclusion function

Reconsidering the derivation of centred inclusion function, the high-order Taylor series can be used to approximate the function  $f: \mathbb{R}^n \mapsto \mathbb{R}$  which leads to the Taylor inclusion function. The Taylor inclusion function can be written as:

$$[f]_T([\mathbf{x}]) = f(\mathbf{m}) + f'(\mathbf{m})([\mathbf{x}] - m) + \dots + f^{n-1}(\mathbf{m}) \frac{([\mathbf{x}] - m)^{(n-1)}}{(n-1)!} + [f^n]([\mathbf{x}]) \frac{([\mathbf{x}] - m)^n}{n!} \quad (3.17)$$

The evaluation of the Taylor inclusion function requires a computation of the derivatives of  $f$  up to  $n$ th order. Hence, this computation may increase the computation time. Taylor inclusion function may be the best of the previous inclusion functions. But, adding to the computation time, Taylor inclusion function suffers from large overestimations for non-linear functions. Hence, Chebyshev inclusion function has been proposed.

### 3.2.3.5 Chebyshev inclusion function

Chebyshev inclusion function is recommended for non-linear functions [133]. Chebyshev series are a high accurate approximating series. Hence, Chebyshev inclusion function can control efficiently

the pessimism during interval evaluation, especially for the non-monotonic functions. Chebyshev inclusion function can be written as

$$[f_{C_k}](x) = \frac{1}{2}f_0 + \sum_{i=1}^k f_i C_i(x) = \frac{1}{2}f_0 + \sum_{i=1}^k f_i \cos i[\theta] \quad (3.18)$$

where  $\mathbf{x} = (x_1, \dots, x_n)^T$ . Chebyshev interval method is considered as a kind of non-intrusive approach: it could be applied on many black box models and on complicated engineering models. It has been proven that Chebyshev inclusion function controls the pessimism better than Taylor inclusion function after some numerical tests for solving the mechanical dynamics problems. It is also proven that Chebyshev inclusion function is easier to implement [133].

### 3.2.3.6 Bernstein inclusion function

Every multivariate polynomial  $p : \mathbb{R}^m \rightarrow \mathbb{R}$  of multi-degree  $\mathbf{n}$  can be written as a linear combination of the Bernstein polynomials of order  $\mathbf{n}$  [43]:

$$p(\mathbf{x}) = \sum_{\mathbf{j}=0}^{\mathbf{n}} b_{(\mathbf{j},\mathbf{n})} B_{(\mathbf{j},\mathbf{n})}(\mathbf{x})$$

If  $p$  is of the form  $p(\mathbf{x}) = \sum_{\mathbf{i}=0}^{\mathbf{n}} a_{\mathbf{i}} \mathbf{x}^{\mathbf{i}}$  then the coefficients of the Bernstein expansion can be computed with the following formula  $\mathbf{j}$ -th Bernstein coefficient (of degree  $\mathbf{n}$ ):  $b_{(\mathbf{j},\mathbf{n})} = \sum_{\mathbf{i}=0}^{\mathbf{n}} a_{\mathbf{i}} \frac{j! n!}{i!(j-i)! i!(n-i)!}$ .

Thus, we can define the *Bernstein Form* interval extension of order  $\mathbf{n}$  as follows:  $\mathcal{B}(p)([0, 1]^m) = [\min_{\mathbf{j}}(b_{(\mathbf{j},\mathbf{n})}), \max_{\mathbf{j}}(b_{(\mathbf{j},\mathbf{n})})]$ .

The polynomial must first be composed with the appropriate affine translation and scaling that maps  $\bar{\mathbf{x}}$  into  $[0, 1]$  to compute the range over an arbitrary interval  $\bar{\mathbf{x}}$ , before computing Bernstein expansion.

The main advantage of Bernstein Form interval extension is that it gives the exact range if and only if  $\min_{\mathbf{j}}(b_{(\mathbf{j},\mathbf{n})}) \in \{b_{(\mathbf{0},\mathbf{n})}, b_{(\mathbf{n},\mathbf{n})}\}$  and  $\max_{\mathbf{j}}(b_{(\mathbf{j},\mathbf{n})}) \in \{b_{(\mathbf{0},\mathbf{n})}, b_{(\mathbf{n},\mathbf{n})}\}$  [121].



### 3.2.3.7 Horner schemes

Horner schemes consist in manipulating the mathematical form of the equation in order to get a new form that reduces pessimism. Hence, Horner schemes, also called Horner's method, transform the monomial form of a function into an efficient form by factorizing it [96]. Given the polynomial of one variable  $x$ :

$$p(x) = \sum_{i=0}^n a_i x^i = a_0 + a_1 x + a_2 x^2 + \cdots + a_n x^n \quad (3.19)$$

where  $a_0, \dots, a_n$  are real numbers. The univariate Horner scheme of Equation 3.19 is

$$p(x) = a_0 + x(a_1 + x(a_2 + \cdots + x(a_{n-1} + x a_n) \cdots)) \quad (3.20)$$

Univariate Horner schemes consist in transforming the polynomial into another one that contains the minimal amount of mathematical operations. The multivariate Horner scheme  $H$  is defined as follows:

$$\begin{aligned} \forall a \in \mathbb{Q} : a \in H \\ \forall h_{dep} \in H : x_i^e \cdot (h_{dep}) \in H \\ \forall h_{dep}, h_{ind} \in H : x_i^e \cdot (h_{dep}) + h_{ind} \in H \end{aligned} \quad (3.21)$$

where  $e \in \mathbb{N} \setminus 0$  and the variable  $x_i$  do not occur in  $h_{ind}$ .

For instance, let us consider the polynomial form of the function  $f(x) = 5 + 2x + 4x^2 + 3x^3$ . The univariate Horner scheme of  $f$  is  $f_u(x) = 5 + x(2 + x(4 + x(3)))$ . However, a polynomial of 3 variables  $f(x_1, x_2, x_3) = x_1 x_2^2 + x_1 x_3 + x_1 x_2^2 x_3$  could have 2 different multivariate Horner schemes. The first multivariate Horner scheme is  $f(x_1, x_2, x_3) = x_1 \cdot (x_2^2 \cdot (1 + x_3) + x_3)$ . This form is obtained by extracting  $x_1$  then  $x_2$ . However,  $f(x_1, x_2, x_3) = x_1 \cdot (x_3 \cdot (1 + x_2^2) + x_2^2)$  is obtained by extracting  $x_1$ , then  $x_3$ . Moreover, in both cases, the number of occurrence of each variable is reduced, i.e. the pessimism is reduced. We should know that the different Horner scheme representations may not have the same effect of reducing pessimism (it depends on the number of occurrence of each variable in the equation).

It has been proved in [96] that transforming a polynomial into a Horner scheme may reduce its over-approximation, i.e. pessimism, but certainly it does not increase it.

However, Horner schemes need a polynomial form of the function. In robotics, the different constraints are trigonometric functions, so it is mandatory to transform the trigonometric constraints into polynomial forms using Taylor series. Moreover, it is easy to find the Horner schemes manually, but the complexity increases if a generic program has to find Horner schemes for every proposed function. Our goal in this PHD is to generalize the constraints when Horner schemes are not useful.

### 3.2.3.8 Comparison between different inclusion functions:

The natural inclusion function is more efficient than the centred inclusion function for large boxes. However, the centred inclusion function gives better results once the box get tighter. None of the existing inclusion function could be judged as the best: a compromise between the complexity and the efficiency must be done.

In the other hand, Chebyshev inclusion function get tighter interval than Taylor inclusion function. In addition, Chebyshev inclusion function does not need to calculate the derivatives of the original function, so it can be used to solve the black box problems. However, Chebyshev inclusion function is not a rigorous inclusion function because it neglects the numerical and the truncated errors in integration. Further, Bernstein inclusion function is the best of all the above inclusion functions. Hence, we got the idea of testing a new inclusion function using the Bernstein concept applied by another mathematical tool, e.g BSplines concept. In this chapter, we propose a new inclusion function based on BSplines and Kronecker product properties. This new inclusion function reduces pessimism and takes into account the numerical and the truncated errors during integration.

## 3.3 Solving CSPs and COPs using IA

IA is used to solve CSPs (Equation 3.1) and COPs (Equation 3.2). Given input bounds, the algorithm produces a subset of the input space that satisfies all the constraints (for CSPs) and also minimizes the criteria function (for COPs). In both problem types, the subset of outputs is a set of small boxes. A combination between bisection and contraction solves Equations 3.1 and 3.2. Those two operations are presented hereafter.

### 3.3.1 Bisection

Bisection is an iterative method that decomposes the set of inputs into smaller sets. The bisection-based method is generally used to reduce the overestimation since pessimism is linked to the width of the inputs. Several splitting methods could be used to apply bisection [93].

In COPs, the constraints and the criteria functions are evaluated for a current box. Based on this evaluation, a decision must be taken: the boxes may be thrown away or may be split into smaller boxes. A box is thrown away due to a constraint violation or to a large criteria function. The constraint is considered violated if its interval evaluation has no intersection with the bounds  $[g_j]$ . The splitting process is applied to get a tighter evaluation of the constraints and the criteria function, and it ends when the size of the box is smaller than a given threshold. For sake of simplicity, the box is divided into two sub-boxes by splitting the largest interval in this box into equal intervals. The above steps are programmed as it is shown in Algorithm 1. The line 4 could be skipped depending on the application: some applications do not require a criteria function which is the case of CSPs. At the end of the bisection algorithm, we get three types of set of boxes: the set of feasible boxes, the set of infeasible boxes and the set of maybe feasible boxes (properties for CSPs).

In order to make the bisection process more obvious, let us consider two variables  $[x] = [x_a, x_b]$  and  $[y] = [y_a, y_b]$ , find the set of boxes that respects the constraint  $C$  having the color green as it is clear in Figure 3.5. Using the bisection algorithm, we get three types of boxes: the infeasible boxes (in white), the maybe feasible boxes (in red) and the feasible boxes (in green).

### 3.3.2 Contraction

Contraction is based on the filtering algorithm concept [60]. The contraction has the same formulation for CSPs as well as for COPs. It manipulates the equation in order to propagate the constraints in two ways: from inputs to outputs (Eq.(3.22)) and from outputs to inputs (Eq.(3.23)).

$$\forall j [g_j] \leftarrow \mathcal{G}_j([q]) \cap [g_j] \quad (3.22)$$

---

**Algorithm 1:** Algorithm for optimization process using bisection process.

---

**Require:** Initial research space  $\mathbf{Q}$ , desired precision  $\varepsilon$

```

1: initialization :  $\mathbf{Q}.push(\mathbf{Q}), \tilde{f} = \infty$ 
2: while  $\mathbf{Q}$  is not empty do
3:   get element  $[q] = \mathbf{Q}.pop()$ 
4:   evaluate  $[f] = [\underline{f}; \bar{f}] = \mathcal{F}([q])$ 
5:   if  $\underline{f} < \tilde{f}$  then
6:     evaluate constraints:  $\forall j [g_j] = \mathcal{G}_j([q])$ 
7:     if  $\forall j [g_j] \cap [\underline{g}_j; \bar{g}_j] \neq \emptyset$  then
8:       if  $\forall j [g_j] \cap [\underline{g}_j; \bar{g}_j] = [g_j]$  and  $\bar{f} < \tilde{f}$  then
9:          $\tilde{f} = \bar{f}$ 
10:         $\tilde{q} = q$ 
11:       end if
12:       if  $diam([q]) > \varepsilon$  then
13:          $\{q_1, q_2\} = bisection(q)$ 
14:          $\mathbf{Q}.push(q_1)$ 
15:          $\mathbf{Q}.push(q_2)$ 
16:       end if
17:     end if
18:   end if
19: end while
20: return Optimal box  $\tilde{q}$  of problem of Eq.(3.2)

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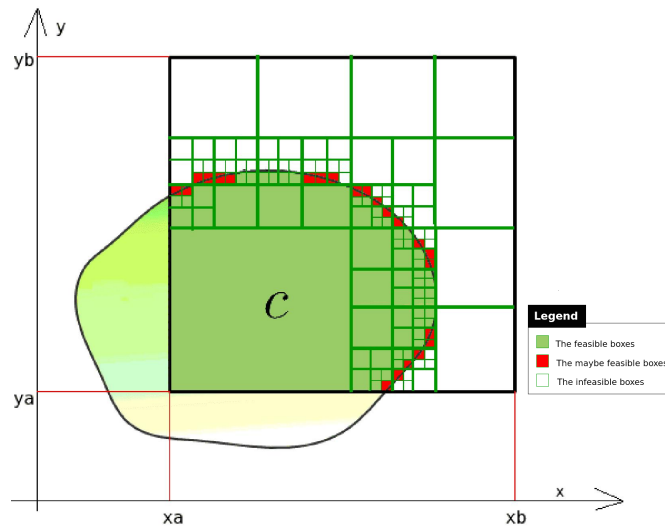
$$\forall i, j [q_i] \leftarrow \mathcal{G}_j^{-i}([q], [g_j]) \cap [q_i] \quad (3.23)$$

where  $[g_j]$  is the current value of the constraints bounds,  $q_i$  the  $i$ -th input we contract,  $[q_i]$  the current bounds on the input  $q_i$  and  $\mathcal{G}_j^{-i}(q, [g_j])$  is the inverse of function  $\mathcal{G}_j(q)$  regarding the input  $q_i$  taking into account the current value of the constraints bounds  $[g_j]$ .  $[q_i]$  and  $[g_j]$  can be different from the initial bounds due to previous iterations of the algorithm.

Eventually, contraction may define a smaller subset of input boxes respecting the different constraints. For instance, given three variables  $x \in [-\infty, 5]$ ,  $y \in [-\infty, 4]$  and  $z \in [6, \infty]$ , and the constraint  $z = x + y$ , find the intervals of  $x$ ,  $y$ ,  $z$  ensuring this constraint [76]. One can process as follow:

$$\begin{aligned} z = x + y &\Rightarrow z \in [z \cap (x + y)] \Rightarrow & z \in [6, \infty] \cap ([-\infty, 5] + [-\infty, 4]) &= [6, 9] \\ x = z - y &\Rightarrow x \in [x \cap (z - y)] \Rightarrow & x \in [-\infty, 5] \cap ([6, 9] - [-\infty, 4]) &= [2, 5] \\ y = z - x &\Rightarrow y \in [y \cap (z - x)] \Rightarrow & y \in [-\infty, 4] \cap ([6, 9] - [2, 5]) &= [1, 4] \end{aligned}$$

Thus, by using contraction, the intervals of the variables become tighter:  $x \in [2, 5]$ ,  $y \in [1, 4]$  and

Figure 3.5: 2D bisection algorithm on a constraint  $C$ 

$z \in [6, 9]$ .

Generally, a combination between contractions and bisections is used as a solver. Firstly, contraction reduces the input boxes size: the contraction is applied for each time a function is evaluated (lines 4 and 6 in Algorithm 1). Then, bisection is applied and contraction is called again until the dimension of the generated boxes is smaller than a threshold. This method is the classic contraction/ bisection. It uses the inclusion function  $\mathbf{m}$  during contraction and bisection strategy. Hence, it suffers from pessimism already explained.

Evaluating a constraint using the proposed inclusion function is detailed in two main sections: the general principle is developed in Section 3.4 and its implementation is detailed in Section 3.5. Before going further, I would like to say that we differentiate between two implementation versions: the first implementation version is developed in Section 3.5.1 and it has been published as an article in IAS conference [68], and the second implementation version is developed in Section 3.5.2 and it has submitted to “Reliable computing” journal [80].

## 3.4 BSplines identification

BSplines properties were already used to tackle pessimism in one dimension: they are tested on continuous constraints used to optimize robot motion [79]. In this chapter, a generalization of this

concept to multi-dimensional problems is proposed.

### 3.4.1 Definition and convex hull property

BSplines function is the weighted sum of several basis functions. It is defined by  $m$  control points  $P_i$  and basis functions  $B_i$ .  $K$  is the order of the basis function  $B_i$ .

$$F(q) = \sum_{i=1}^m B_i^K(q) P_i \quad (3.24)$$

A BSplines curve is totally inside the convex hull of its control poly-line [79]. This property is obtained quite easily from the following definition of a basis function:

$$\forall q \in [q, \bar{q}] \quad \sum_{i=1}^m B_i^K(q) = 1 \quad (3.25)$$

This immediately yields:

$$\forall i \in [1, m] \quad \underline{F} \leq P_i \leq \bar{F} \Rightarrow \forall q \in [q, \bar{q}] \quad \underline{F} \leq F(q) \leq \bar{F} \quad (3.26)$$

A conservative estimation of the bounds of  $F(q)$  is made based on the minimum and the maximum of the control points. Thus, a bounding box of the considered function can be computed. However, the control points of this function must be identified. For instance, let us consider the polynomial function which is the blue curve in Figure 3.6. BSplines representation of this curve gave the basis functions and the control points as it is clear in Figure 3.6a. Hence, using the control points the box that includes the curve could be deduced, i.e. the interval of the curve is also deduced (see Figure 3.6b).

### 3.4.2 Multi-dimension BSplines

N-dimensional BSplines are functions defined as:

$$F(q) = \sum_{i=0}^{m_1} \sum_{j=0}^{m_2} \dots \sum_{z=0}^{m_n} \left( B_i^{m_1}(q_1) B_j^{m_2}(q_2) \dots B_z^{m_n}(q_n) \right) \times P_{i,j,\dots,z} \quad (3.27)$$

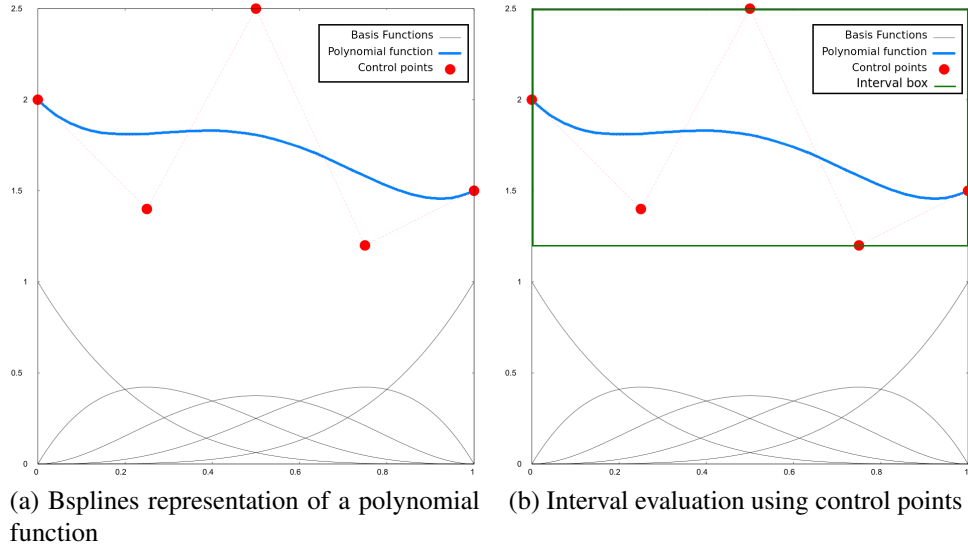


Figure 3.6: Evaluating intervals using BSplines properties

Where:

- $q = \{q_1, q_2, \dots, q_n\} \in [Q] \subset \mathbb{R}^n$ ,
- $m_i$  is the degree of the input  $q_i$ ,
- $B^{m_i}(q_i)$  is the BSpline Basis function of degree  $m_i$  relied to input  $q_i$ ,
- $P_{i,j,\dots,z}$  are the Control Points grouped into vector  $P$ .

As in the one-dimensional case, the BSpline curve is entirely in the convex hull of its control poly-line:

$$\forall q \in [Q] \quad F(q) \in [\min(P), \max(P)] \quad (3.28)$$

### 3.4.3 Constraint Evaluation

#### 3.4.3.1 One dimensional case

Considering that all the functions can be described as a polynomial expression of the input as done in [79], we have:

$$\forall q \in [\underline{q}, \bar{q}] \quad F(q) \in \sum_{i=0}^n a_i \times q^i \quad (3.29)$$

where  $\{a_0, a_1, \dots, a_n\} \in \mathbb{R}^{n+1}$  are the coefficients of the polynomial. This equation can be written as:

$$F(q) = [1, q, \dots, q^n] \times [a_0, a_1, \dots, a_n]^T \quad (3.30)$$

and knowing the coefficients  $a_i$ , the coefficients  $p_i$  of the equivalent control point are computed, such as:

$$F(q) = [1, q, \dots, q^n] \times \mathbf{B} \times [p_0, p_1, \dots, p_n]^T \quad (3.31)$$

where  $\mathbf{B}$  is a matrix that contains the polynomial parameters of the BSplines basis functions. Therefore, we can compute the corresponding BSplines parameters as:

$$[p_0, p_1, \dots, p_n]^T = \mathbf{B}^{-1} \times [a_0, a_1, \dots, a_n]^T \quad (3.32)$$

Though, we can deduce the bounds of  $F(q)$

$$\forall q \in [\underline{q}, \bar{q}] \quad F(q) \in [\min(p_0, p_1, \dots, p_n), \max(p_0, p_1, \dots, p_n)] \quad (3.33)$$

### 3.4.3.2 N-dimensional case

The same procedure can be applied to the N-dimensional case. Equation 3.45 could be written as:

$$F(q) = [1, q_1, q_2, q_1q_2, \dots, \mu_i, \dots] \times [a_0, a_1, a_2, \dots, a_i, \dots]^T \quad (3.34)$$

where  $\mu_i$  is the  $i$ th monomial. Let us note  $P$  the vector of the control points and  $X$  the vector of the polynomial coefficients.  $P$  and  $X$  are related through the following equation:

$$P = \mathbb{B}^{-1}X \quad (3.35)$$

Where  $\mathbb{B}$  can be written as:

$$\mathbb{B} = \mathbf{B}_1 \otimes \mathbf{B}_2 \otimes \dots \otimes \mathbf{B}_i \otimes \dots \otimes \mathbf{B}_n \quad (3.36)$$



$\mathbf{B}_i$  are matrices linking the control point to the coefficients of the polynomial expression of the basis functions of input  $q_i$  and  $\otimes$  is the Kronecker product as defined hereafter. The Kronecker product is an operation on two matrices of arbitrary size resulting in a block matrix. Consider two matrices

$A$  and  $B$ , where:  $A = \begin{pmatrix} a_{1,1} & \dots & a_{1,n_1} \\ \vdots & \vdots & \vdots \\ a_{m_1,1} & \dots & a_{m_1,n_1} \end{pmatrix}$ . The kronecker product of  $A$  by  $B$  can be written as

follows:

$$A \otimes B = \begin{pmatrix} a_{1,1} \times B & a_{1,2} \times B & \dots & a_{1,n_1} \times B \\ a_{2,1} \times B & a_{2,2} \times B & \dots & a_{2,n_1} \times B \\ \vdots & \vdots & \vdots & \vdots \\ a_{m_1,1} \times B & a_{m_1,2} \times B & \dots & a_{m_1,n_1} \times B \end{pmatrix}$$

More details about Kronecker product can be found in Appendix A. By using the invertible property of Kronecker product, Equations (3.35) and (3.36) can be turned into:

$$P = (\mathbf{B}_1^{-1} \otimes \mathbf{B}_2^{-1} \otimes \dots \otimes \mathbf{B}_n^{-1}) X \quad (3.37)$$

Equation 3.37 is chosen since it allows a faster computation (more details can be found in Appendix A).

### 3.4.4 Constraint contraction

The contraction of a constraint consists in updating the bounds of the constraint function and the bound of the inputs (see Section 3.3.2). In order to perform the contraction, we consider a new variable  $v_j([q], [g_j])$  such as: for each constraint  $\mathcal{G}_j([q])$ , a new variable  $v_j([q], [g_j])$  is defined.

$$v_j([q], [g_j]) = \mathcal{G}_j([q]) - [g_j] \quad (3.38)$$

The control points of this function  $P_{v_j}$  can be evaluated through

$$P_{v_j} = \mathbb{B}_{v_j}^{-1} X_{v_j} \quad (3.39)$$

where  $\mathbb{B}_{v_j}^{-1}$  and  $X_{v_j}$  are the matrix and vector representation of the function  $v_j([q], [g_j])$ . Obviously, we perform the same decomposition than the one presented in Equation (3.48) to deal with error component and sparse properties of the computation.

Let us define  $[\mu]$  the interval value we want to contract that may be the bounds of the constraint  $[g_j]$  or the bounds of the input  $[q]$ . The contraction process returns to consider

$$[\mu] \leftarrow [\mu] \cap (v_j([q], [g_j]) + [\mu]) \quad (3.40)$$

Therefore we can compute the equivalent control point  $P_\mu$  of  $v_j([q], [g_j]) + [\mu]$  such as:

$$P_\mu = \mathbb{B}_{v_j}^{-1} X_{v_j} + \mathbb{B}_{v_j}^{-1} X_\mu = P_{v_j} + \mathbb{B}_{v_j}^{-1} X_\mu \quad (3.41)$$

with  $X_\mu$  is a zero value vector except for the component corresponding to the monomial  $\mu$  that is the opposite of the one of  $X_{v_j}$ .

The evaluation of Equation (3.40) is performed as presented in Section 3.5.2.3 taking into account the error such as in Equation (3.48) and using the sparse properties especially because  $X_\mu$  contains only one non-zero value.

## 3.5 Implementation

### 3.5.1 Initial implementation version

The initial implementation version can be decomposed into three main steps. Firstly, we have to deal with non-linear functions. Secondly, we have to contract the monomials composed of one variable. Thirdly, we should propagate the contraction on monomials composed of several variables.

### 3.5.1.1 How to deal with non-linear functions?

The constraints must be formulated as polynomial equations of the inputs. Nevertheless, robotics equations are generally non-linear (using sine and cosine). Here we proposed to create intermediate inputs for  $\cos(q_i)$  and  $\sin(q_i)$ . Each time those intermediate inputs are contracted, they propagate the modification to the input  $q_i$  that re-propagate on  $\cos(q_i)$  and  $\sin(q_i)$ .

### 3.5.1.2 Monomial contraction:

After the contraction of monomials composed of one variable ( $q_1, q_2$ , etc), others monomials should be contracted too (composed of more than one variable  $q_1q_2, q_2q_5q_7$ , etc). Consider that the polynomial constraint is a sum of many monomials  $\mu_i$  after the linearisation. Considering a monomial  $\mu_i = \prod_{\forall k} \sigma_{i,k}$  composed of the multiplication of several input intervals  $[\sigma_{i,k}]$ , if no input interval contains zero, the input interval can be contracted thanks to:

$$[\sigma_{i,k}] = [\sigma_{i,k}] \cap \frac{[Inf(\rho_i); Sup(\rho_i)]}{\prod_{\forall k \neq i} \sigma_{i,k}} \quad (3.42)$$

The current input set will be considered as infeasible if this intersection returns an empty interval. Hence, the contraction is propagated to different monomials.

## 3.5.2 Final implementation version

In the initial implementation step, the vector of the monomial coefficient  $X$  is considered constant and the BSplines matrix  $\mathbf{B}_i$  is updated regarding the current bounds of  $[q_i]$ . This technique suffers from the numerical errors while computing  $\mathbf{B}_i^{-1}$  for narrows intervals on  $[q_i]$  due to ill-conditioned matrix. A matrix is considered ill-conditioned if small changes on the inputs may result high changes on the outputs: in our case we can say that small changes in  $\mathbf{B}_i$  may introduce huge changes in  $\mathbf{B}_i^{-1}$ , though  $\mathbf{B}_i$  is an ill-conditioned matrix. For instance, consider the linear system  $AX = Y$ , where  $A$

is the following matrix  $\begin{bmatrix} 10 & 7 & 8 & 7 \\ 7 & 5 & 6 & 5 \\ 8 & 6 & 10 & 9 \\ 7 & 5 & 9 & 10 \end{bmatrix}$ . If  $Y = \begin{bmatrix} 32 & 23 & 33 & 31 \end{bmatrix}^T$ ,  $X = \begin{bmatrix} 1 & 1 & 1 & 1 \end{bmatrix}^T$ . However, if  $Y = \begin{bmatrix} 32.1 & 22.9 & 33.1 & 30.9 \end{bmatrix}^T$ ,  $X = \begin{bmatrix} 9.2 & -12.6 & 4.5 & -11 \end{bmatrix}^T$ . In other words, very small variations on  $Y$  led to big variations on  $X$ . If  $K(A) = \|A\| \times \|A^{-1}\|$  has a high value, then  $A$  is considered an ill-conditioned matrix. In the previous example,  $K(A) = 4488$  is a high condition number, so  $A$  is an ill-conditioned matrix. Those type of matrices are frequently crossed during our calculation. For that, we propose the inputs normalization technique to solve the ill-conditioning problems 3.5.2.1.

In addition, the initial implementation version suffers from a huge computation time due to the use of Recursive Inverse Kronecker product while contracting the monomial composed of one variable. The disadvantage of this formulation appears when the number of degree of freedom of the robot increases: the size of the matrices explodes and the computation time increases. Though, we propose a final implementation version solving this problem that is developed in the section hereafter.

### 3.5.2.1 Inputs normalization

To solve this issue,  $\mathbf{B}_i$  should be constant and  $X$  should vary. Hence, we use the inputs normalization by setting:

$$[q_i] = m_i + [q_i^{ref}] \frac{d_i}{2} \quad (3.43)$$

with  $m_i$  and  $d_i$  are the middle and the diameter of the interval  $[q_i]$  respectively and  $[q_i^{ref}]$  is set as the reference interval of  $[q_i]$  and is initialized at  $[q_i^{ref}] = [-1; 1]$ . By applying this formula, the BSplines matrix  $\mathbb{B}_i$  relies on the reference interval  $[q_i^{ref}]$ , hence remains constant over the whole computation process. However, the polynomial parameter vector  $X$  relies on the middle and diameter of all the inputs, so it will change over the whole computation process.

For instance, let consider a function  $f(q_1, q_2) = 1 - 3q_1 - 2q_2 + 4q_1q_2$  with  $q_1, q_2 \in [-10, 10]$ . After normalization of the inputs  $q_1$  and  $q_2$  using those equations  $q_1 = 10q_{1ref}$  and  $q_2 = 10q_{2ref}$ , we can deduce that  $f(q_{1ref}, q_{2ref}) = 1 - 30q_{1ref} - 20q_{2ref} + 400q_{1ref}q_{2ref}$ . Thus, using our proposed inclu-

sion function, we can deduce that  $f \in [-409, 451]$ . More details about this example could be found in Appendix B.

### 3.5.2.2 Non linear functions

The final implementation version is also based on a polynomial formulation of the constraint and criteria functions. However, the problems in robotics are non-linear problems: Taylor approximation is used to approximate the non-linear functions to polynomial formulations. Consider that  $s([q_i]) \in \{\sin([q_i]), \cos([q_i])\}$ , the linearisation Equation is:

$$s([q_i]) = s(m_i) + \frac{ds(m_i)}{dq_i} \frac{d_i}{2} [q_i^{ref}] + \dots + [\varepsilon_s(q_i)] \quad (3.44)$$

$[\varepsilon_s(q_i)]$  is normalized using  $[\varepsilon_{s,i}^{ref}]$ ,  $m_{s,i}$  and  $d_{s,i}$  as proposed in Equation (3.43). The reference error interval  $[\varepsilon_{s,i}^{ref}]$  is a new input in Equation (3.36). The interval value  $[\varepsilon_s(q_i)]$  is updated every change in the original interval  $[q_i]$ , and so  $m_{s,i}$  and  $d_{s,i}$ . In parallel,  $[\varepsilon_{s,i}^{ref}]$  is set to  $[-1; 1]$ .  $[\varepsilon_s(q_i)]$  is not affected by the bisection process. In our work, we consider the first order approximation of non-linear functions.

Appendix C develops how we obtained Equation 3.44, and how the error  $[\varepsilon_s(q_i)]$  is computed based on an example.

### 3.5.2.3 Constraint Evaluation

The efficient computation of the bounds of a function  $\mathcal{G}_j([q])$  is developed in this Subsection. In order to make the computation faster, Equation 3.35 is decomposed as follows:

$$P = \mathbb{B}^{-1} X^I + \mathbb{B}^{-1} X^\varepsilon \quad (3.45)$$

where  $P \in \mathbb{R}^x$  is the set of the equivalent control points,  $X^I \in \mathbb{R}^x$  contains the polynomial coefficients that refer only to monomials with reference intervals ( $[q_i^{ref}]$ ) and  $X^\varepsilon \in \mathbb{R}^x$  the polynomial coefficients

that refer to monomials with reference intervals  $([q_i^{ref}])$  and reference errors  $[\varepsilon_{s,i}^{ref}]$ . Obviously  $X = X^I + X^\varepsilon$ .

During the tests, we have noticed that the vectors  $X^\varepsilon$  and  $X^I$  of  $\mathbb{R}^x$  includes lots of null values. In order to speed up the calculation, a sparse representation of the matrices and vectors is proposed:

$$P = \mathbb{S}^I X_s^I + \mathbb{S}^\varepsilon X_s^\varepsilon \quad (3.46)$$

where  $X_s^I \in \mathbb{R}^y$  is the vector collecting the non-null coefficients of  $X^I$  and  $\mathbb{S}^I \in \mathbb{R}^{x,y}$  is obtained by removing from  $\mathbb{B}^{-1}$  the columns corresponding to the null coefficient of  $X^I$ , and similarly for  $X_s^\varepsilon$  and  $\mathbb{S}^\varepsilon$ .

Since the second term of Equation (3.46)  $\mathbb{S}^\varepsilon X_s^\varepsilon$  relies on non-linear approximation errors, it must decrease as the width of the input boxes decreases. Hence, the error interval  $[\varepsilon]$  could be computed faster using the following equation:

$$[\varepsilon] = \mathbf{S}^\varepsilon . X_s^\varepsilon \quad (3.47)$$

with  $\varepsilon$  is the approximation error and  $\mathbf{S}^\varepsilon$  is the interval vector where each element is the interval union of all the values of the corresponding column of  $\mathbb{S}^\varepsilon$ . Thus, each equivalent control point given by  $\mathbb{S}^\varepsilon X_s^\varepsilon$  lies in the interval  $[\varepsilon]$ . Obviously,  $[\varepsilon]$  is a pessimistic evaluation of the approximation.

Eventually, the evaluation of  $\mathcal{G}_j([q])$  is done computing:

$$P = \mathbb{S}^I . X_s^I + [\varepsilon] \quad (3.48)$$

Hence, the function can be evaluated through the minimal and maximal value of  $P$ , where each control point  $P_k$  can be computed with:

$$P_k = \mathbb{S}^I_k . X_{s_k}^I + [\varepsilon] \quad (3.49)$$

As presented in Algorithm 1, this evaluation is used in order to assess if the corresponding constraint remains within a given bounds  $[\underline{g}_j, \bar{g}_j]$ . By sequentially computing the control point  $P_k$  we can determine if the corresponding box is overlapping when  $\min_{\forall k} P_k < \underline{g}_j$  and  $\max_{\forall k} P_k > \bar{g}_j$  or  $\min_{\forall k} P_k < \bar{g}_j$  and

$\max_{\forall k} P_k > \bar{g}_j$ . As soon as, the overlapping of the constraint is detected, there is no need to compute the other control points, since the bisection must be performed, hence the evaluation of the process is stopped.

The polynomial formulation of the constraints and the computation of the sparse matrices and vectors are done once in a preparation phase, before the beginning of Algorithm 1.

## 3.6 Tests and results

In this section, we present the results of solving CSPs and COPs using the proposed inclusion function (final implementation version). The tests and results for the first implementation version are developed in Appendix D.

The following abbreviations will be used in this section :

- BI: Bisection process using Interval Analysis,
- CI: Contraction and bisection using Interval Analysis,
- BS: Bisection process using the BSplines and Kronecker product properties,
- CS: Contraction and bisection process using the BSplines and Kronecker product properties.

In order to assess our improved inclusion function, we propose 3 different scenarios: one scenario on a 2D robot (a robot of two degrees of freedom), another on a planar robot (a 2D robot of multiple degrees of freedom), and the last scenario is on a 3D robot. Those three scenarios are presented in the sections below.

### 3.6.1 2D Robot feasible space

In this section, we propose a system of two equations in order to assess our method on Constraint Satisfaction Problem resolution. The goal is to find the feasible space of a robot, though all the sets of joint angles that respects Equation 3.50. This problem is defined in Equation 3.1. The system is a

2-dof planar robot  $q \in \mathbf{R}^2$ , with joint limits  $q_1, q_2 \in [-2; 2]$ , we set the following constraints :

$$\begin{aligned} x &= \cos(q_1) + \cos(q_1 + q_2) \in [1.2; 1.8] \\ y &= \sin(q_1) + \sin(q_1 + q_2) \in [-0.5; 0.5] \end{aligned} \quad (3.50)$$

The results are presented in Figure 3.7 and in Table 3.1 with a stopping threshold of 0.05. The feasible boxes are represented in red and the possible boxes are green. For the state-of-the-art methods (BI and CI), Figures 3.7a and 3.7c show that the pessimism produces possible boxes even if they are totally inside or outside the feasible space.

One can see that the use of Bsplines properties decreases the pessimism since the number of possible boxes (green) is reduced and most of them are partially inside and outside the feasible space. CI method reduces the number of iterations regarding BI method as presented in Table 3.1 even if only a few possible boxes are removed.

Our new BS method allows the number of iterations to decrease. This method produces fewer but larger possible boxes as it is shown on Figure 3.7b. Moreover, our new CS method reduces the number of iterations (nearly half of the BI method) and the number of possible boxes. It also produces a set of interval with different sizes (due to the non-uniform contraction) as presented on Figure 3.7d.

Regarding the computation time, the BS method has the lowest computation time even if the preparation phase requires a large computation time ( $\simeq 250$ ms). The preparation phase is the phase where the polynomials, the matrices, the vectors and all the materials required for solving the problem are computed. However this computation time can be ignored since it is not required to perform it in case of change of the  $x$  or  $y$  bound values.

solver	number of iterations	computation time (ms) (+preparation time)	number of feasible boxes	number of possible boxes
BI	1567	24.1	130	716
BS	1083	14 (+242)	102	428
CI	1431	23.7	130	648
CS	743	24 (+262)	136	396

Table 3.1: Computation results of the feasible spaces for the 2-dof planar robot.



This 2D simple CSP shows that the BSplines inclusion function reduces the pessimism. Hence it reduces the number of iterations, that reduces the computation time for this case. In the following subsection we assess our method on more complex cases dealing with planar 2D-robots and on 3D-robots.

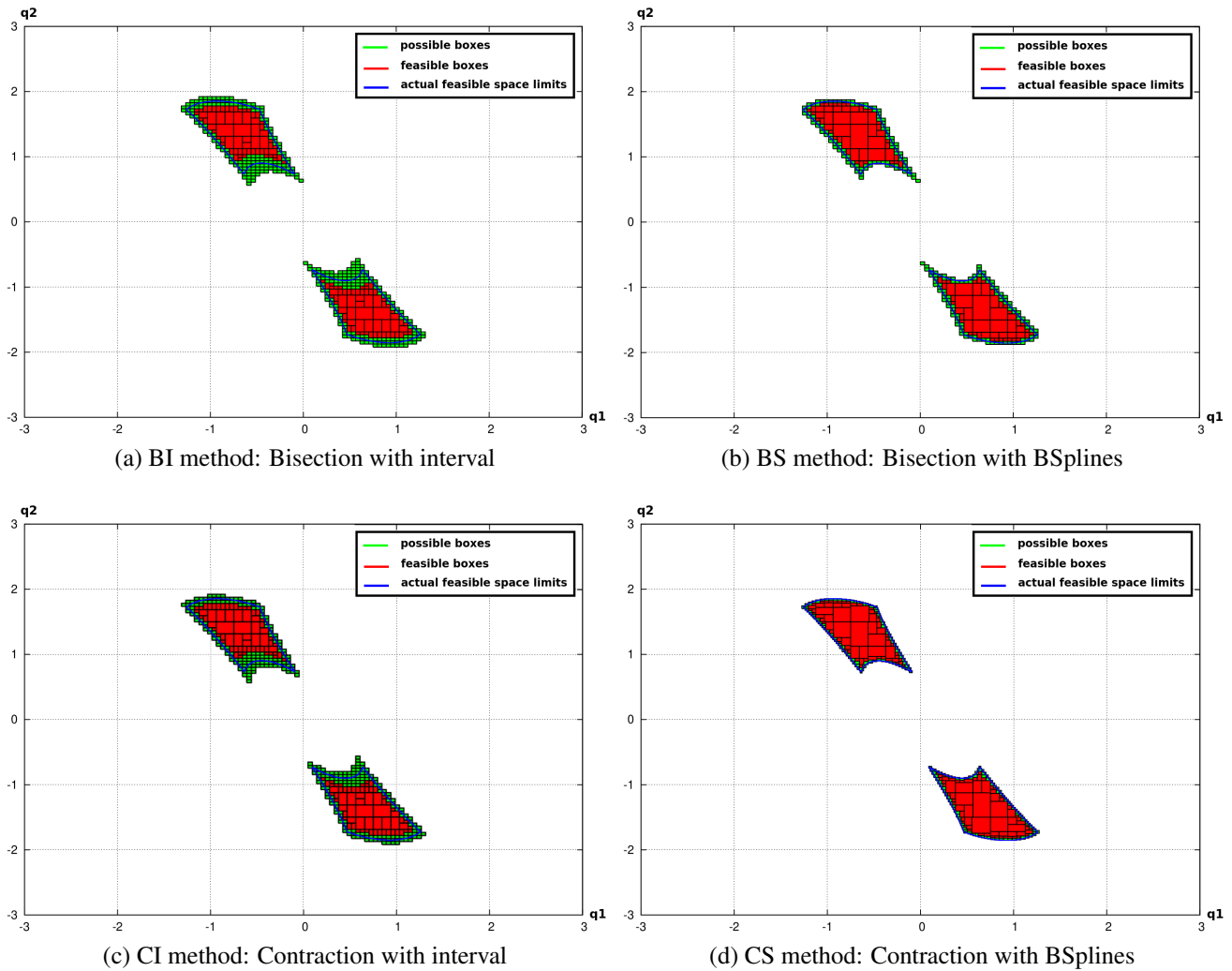


Figure 3.7: Presentation of the feasible spaces for the 2-dof robot. (feasible boxes in red, possible boxes in green, actual feasible space limits in blue.)

### 3.6.2 Planar Robot

We assess our method on multi degrees-of-freedom 2D-robots. We address Constraint Satisfaction Problems (CSP) and Constraint Optimization Problems (COP) to find the posture of the robot that fits and optimally fits robot constraints and desired end-effector position. We consider robots with 2-to-9 degrees of freedom, with equal segment length. All the links have the same weight. The Centres Of

Mass (COM) are located in the middle of the links. The total length of the robot is equal to 2. We set as a constraint that the COM of the robot must remain in  $[-0.1, 0.1]$  on the horizontal plane. We also add a reachability constraint: the effector must reach a specific target. We assess our method on nine different constraint satisfaction problems, with a square target of size 0.2 by 0.2 (pb 1,2,3), a circle target with a radius of 0.1 (pb 4,5,6) and a ring target with an external radius of 0.15 and an interval radius of 0.05 (pb 7,8,9). The targets are localized at three different positions  $\{x,y\} : \{0.2; 1.7\}$  (pb 1,4,7),  $\{0.4; 1.4\}$  (pb 2,5,8) and  $\{0.6; 1.1\}$  (pb 3,6,9). If the computation time exceeds one week, we stop the execution of the algorithm and no result are considered.

### 3.6.2.1 Solving CSP

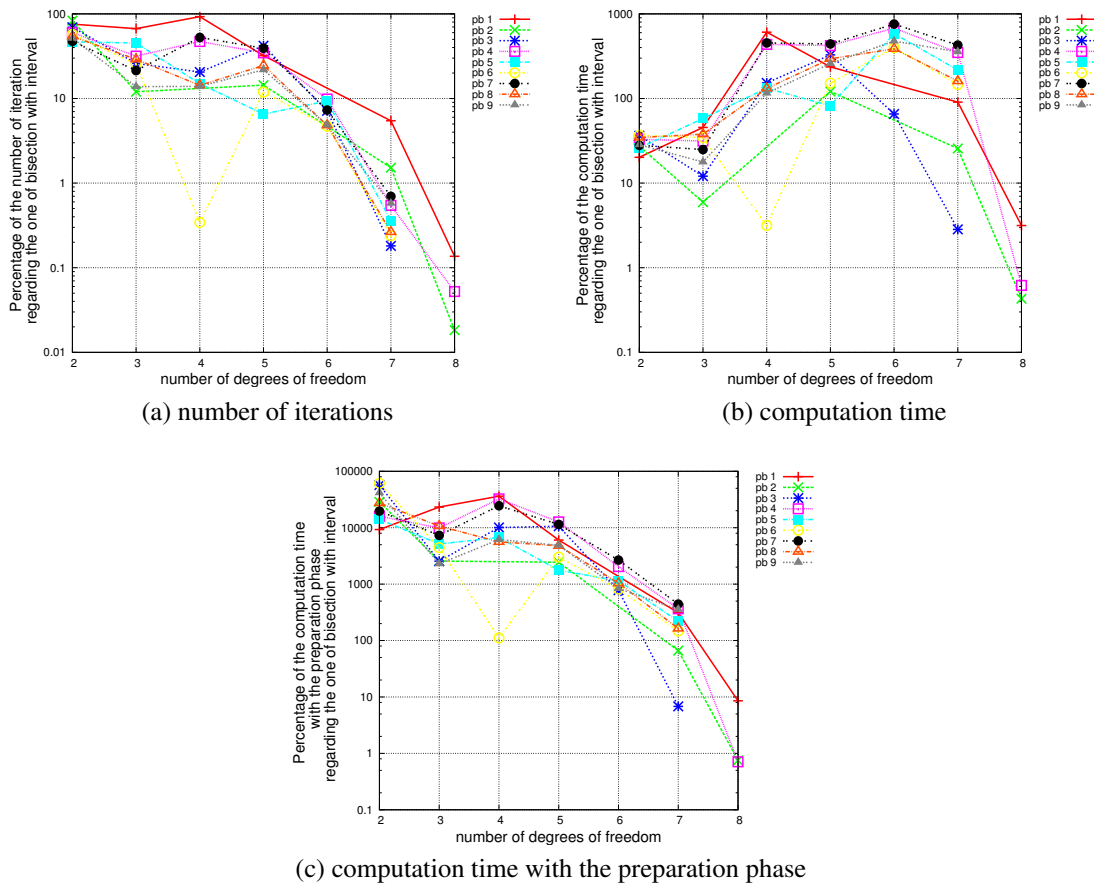


Figure 3.8: Comparison of the BSplines Bisection and the Interval Bisection methods to solve CSP.

Figures 3.8, 3.9 and 3.10 present the number of iterations and the computation time using BS, CI and CS methods regarding the performance of BI method for the constraint satisfaction problem with a stopping threshold of 0.01. Figure 3.11 compares the results of the CS method regarding the ones

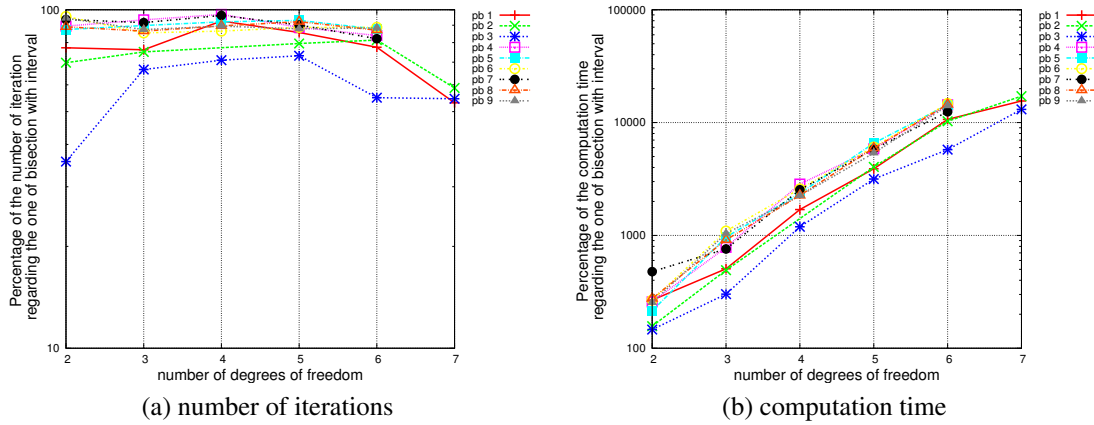


Figure 3.9: Comparison of the Interval Contraction and the Interval Bisection methods to solve CSP.

of BS method. The resolution of the CSP is done as presented in Algorithm 1. Nevertheless, the execution of the algorithm is stopped as soon as one solution is found.

Figure 3.8a proves that the number of iteration required to solve the CSP using BS is lower than the number of iterations using BI. We can deduce that the evaluation of the constraints induces less pessimism using the Bsplines method. Figure 3.8c shows that the BS method (taking into account the preparation phase) is faster than the BI method, but only for a large number of degrees of freedom ( $n \geq 7$ ). We highlight that the BS method produces a result for the problems 1 and 2 with 9 degrees-of-freedom in nearly 3 hours whereas the BI method cannot find a solution within one week.

Figure 3.9 compares the state-of-the-art CI with BI methods and proves that the number of iterations is quite reduced, but with a very larger computation time.

Looking at Figure 3.10, it seems that the CS methods have nearly the same performances as the BS methods. For an easier comparison, we present the results of CS method regarding the ones of the BS method in Figure 3.11. Despite, we believed that the contraction step reduces the boxes before the bisection process, hence reduces the number of iterations of the algorithm, it appears that, for some problems, the number of iterations (and then the computation time) of the CS method is larger than the number of iterations for the BS method. This phenomena is due to the bisection process. During the BS method the diameter of the interval is equal to the initial size of the interval divided by  $2^k$ , with  $k$  the number of bisection of this interval. The bisection process choose to bisect the input with the maximal diameter and in case of equality, the first input of the queue is bisected. Since all the inputs

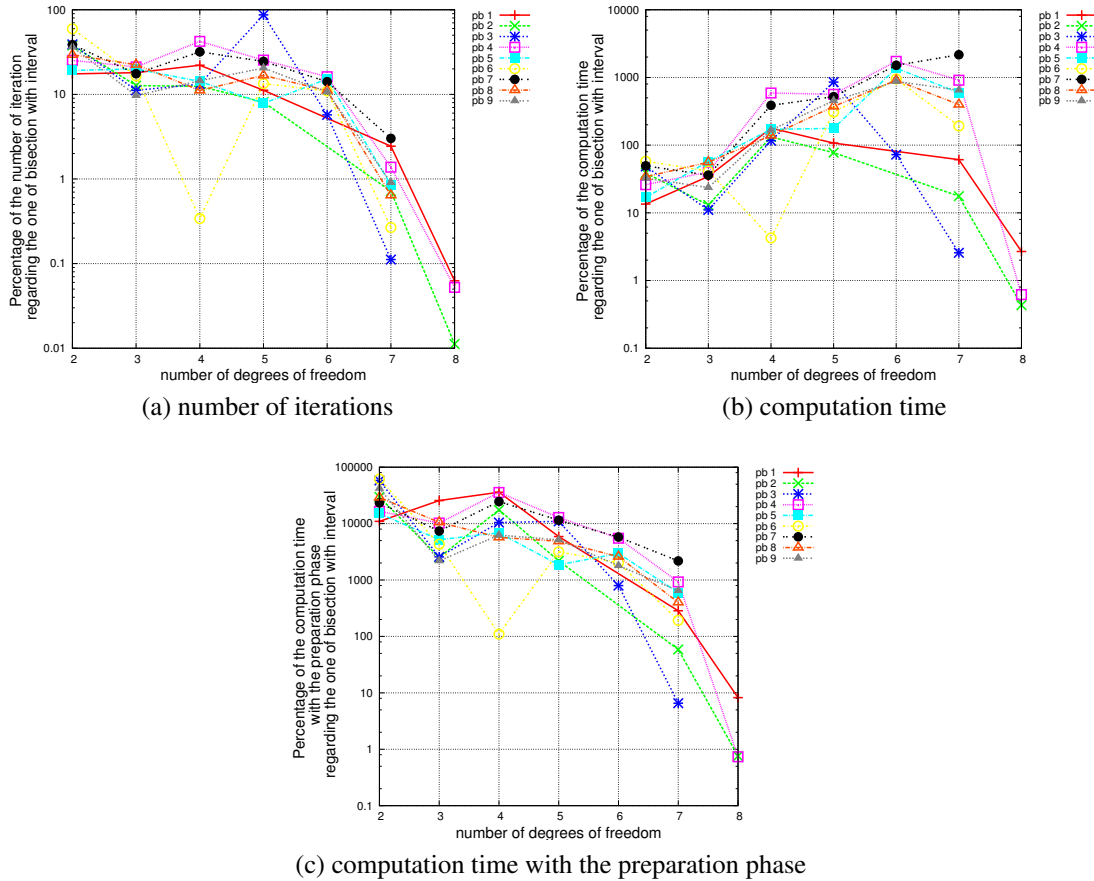


Figure 3.10: Comparison of the BSplines Contraction and the Interval Bisection methods to solve CSP.

have the same initial size, this case of equality appears at each iteration. During the CS method, the contraction step may reduce one or several input intervals, hence the case of equality may appear at the beginning of the process and becomes very rare after some iterations. Because of those properties of the bisection process and of the contraction, the BS method and the CS method do not explore the search space in the same order, hence a non-intuitive difference on results could be noticed in terms of computation time and number of iterations.

### 3.6.2.2 Solving Optimization problem

We also assess our methods on additional optimization problems. We consider the same constraints than in CSP and consider two different cost functions. The cost function of Problem 1 to 9 is the sum of the square joint positions  $\sum_{i=1}^n q_i^2$ . Problem 11 to 19 consider the sum of square joint torques  $\sum_{i=1}^n \Gamma_i^2$  as a cost function. As for the CSP, the CI method is not suitable for optimization problem.

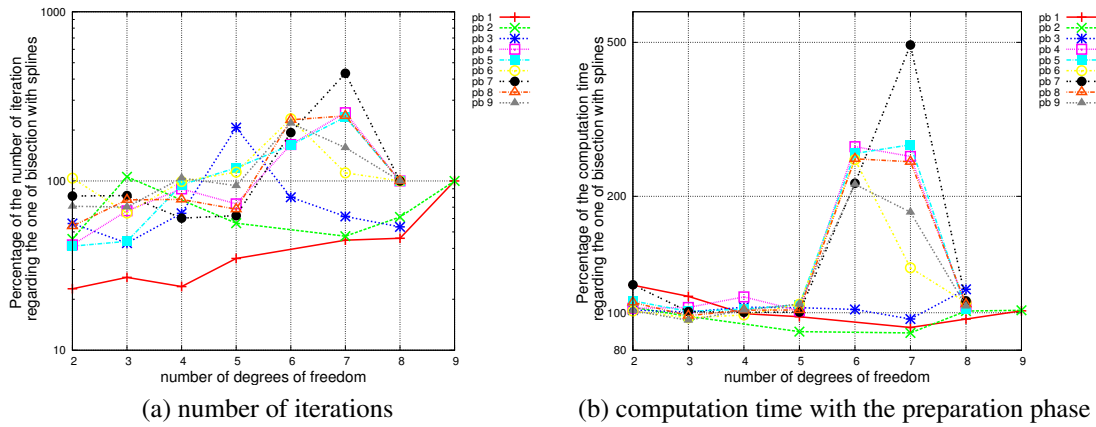


Figure 3.11: Comparison of the Bsplines Contraction and the Bsplines Bisection methods to solve CSP.

Hence, we choose to not present the results of the CI here for the sake of clarity.

Figure 3.12 and Figure 3.13 present the results of the BS method and of the CS method for eighteen complex optimization process regarding the result of the state-of-the-art BS method. As for solving a CSP, the two proposed methods produce a fewer number of iterations, but with a comparable computation time. Figure 3.14 compares the results of BS and CS methods. Since there is no contraction for the evaluation of the cost function, the two methods seem to present nearly the same performance regarding the number of iterations and the computation time.

### 3.6.3 3D Robot

To assess the effectiveness of our method on a 3D robot, we consider two different robots (with 4 and 6 degrees of freedom) which derive from the KUKA LWR as presented on Figure 3.15. The 4-dof robot is obtained by considering constant the joint number 3 and 5.

We consider the constraints about the desired end effector position with a box of 0.02 meter in the  $x$ ,  $y$  and  $z$  directions. We add torque constraint and we consider the cost function as the sum of the torque square.

input	eval	Diam(X)	Diam(Y)	Diam(Z)
[-0.5 : 1.5]	Interval	2.762	2.845	4.968
	BSplines	3.628	3.633	2.070
[0 : 1]	Interval	1.554	1.329	2.390
	BSplines	1.523	1.246	0.896
[0.25 : 0.75]	Interval	0.903	0.733	1.281
	BSplines	0.099	0.385	0.666
[0.45 : 0.55]	Interval	0.191	0.151	0.262
	BSplines	0.106	0.101	0.141
[0.495 : 0.555]	Interval	0.019	0.015	0.026
	BSplines	0.010	0.010	0.014

Table 3.2: Comparison of the evaluation of the end effector  $\{X, Y, Z\}$  Cartesian position depending on the diameter of joint position interval for the 4 dof Robot

input	eval	Diam(X)	Diam(Y)	Diam(Z)
[-0.5 : 1.5]	Interval	9.89	10.14	10.19
	BSplines	8.68	8.68	3.86
[0 : 1]	Interval	3.389	3.292	3.805
	BSplines	1.053	0.942	1.068
[0.25 : 0.75]	Interval	1.503	1.490	1.789
	BSplines	0.146	0.294	0.679
[0.45 : 0.55]	Interval	0.283	0.285	0.346
	BSplines	0.137	0.128	0.143
[0.495 : 0.555]	Interval	0.028	0.028	0.035
	BSplines	0.015	0.013	0.014

Table 3.3: Comparison of the evaluation of the end effector  $\{X, Y, Z\}$  Cartesian position depending on the diameter of joint position interval for the 6-dof Robot

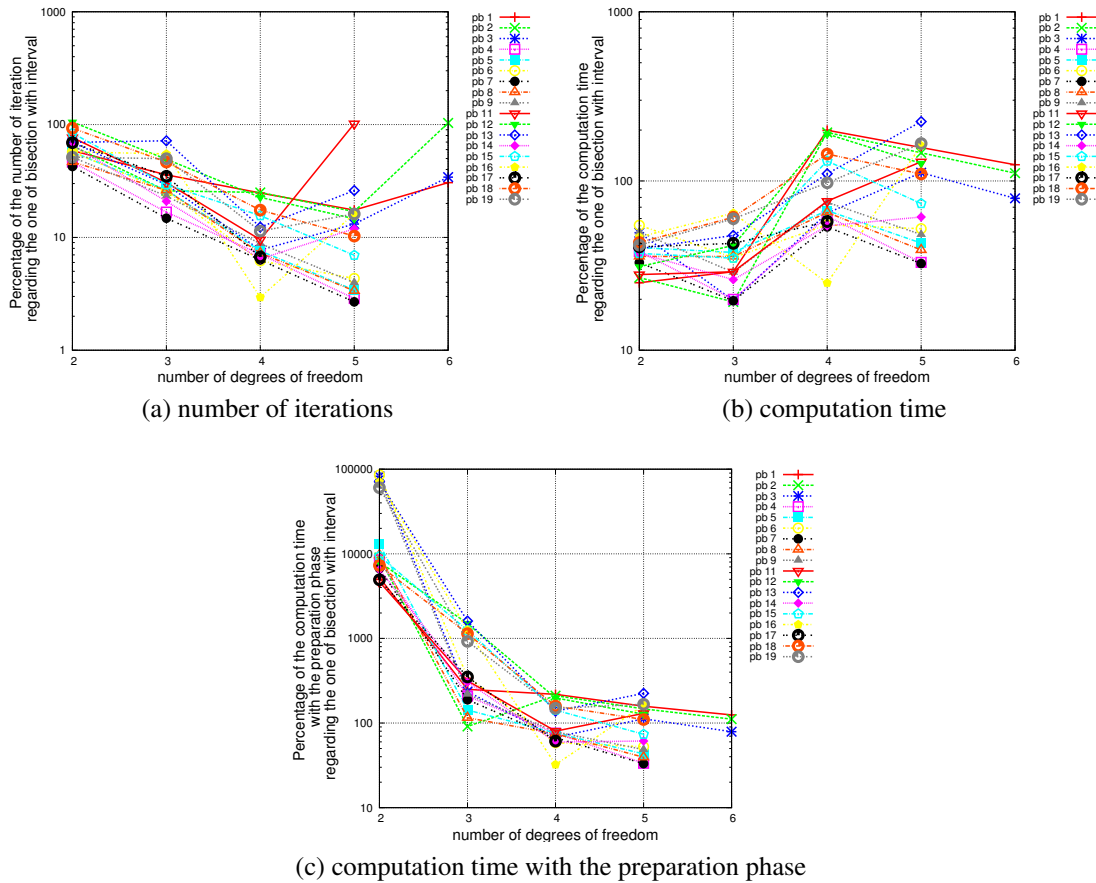


Figure 3.12: Comparison of the BSplines Bisection and the Interval Bisection methods to solve optimization problems.

### 3.6.3.1 Constraint Evaluation

Tables 3.2 and 3.3 present the performance of the evaluation of the kinematic model for the 4 and 6-dof robots. In order to evaluate the improvement of the BSplines based inclusion function on the constraint evaluation, we compute the end-effector box position for several box joint position.

One can notice that for the largest  $([-0.5; 1.5])$  interval of the 4-dof robot the natural inclusion function produces less pessimism: this is mainly due to the polynomial approximation of the non-linear functions that produces large error values. It is important to notice that, for nearly all the boxes of the joint position, the BSplines based evaluation produces less pessimism than the natural interval inclusion function.

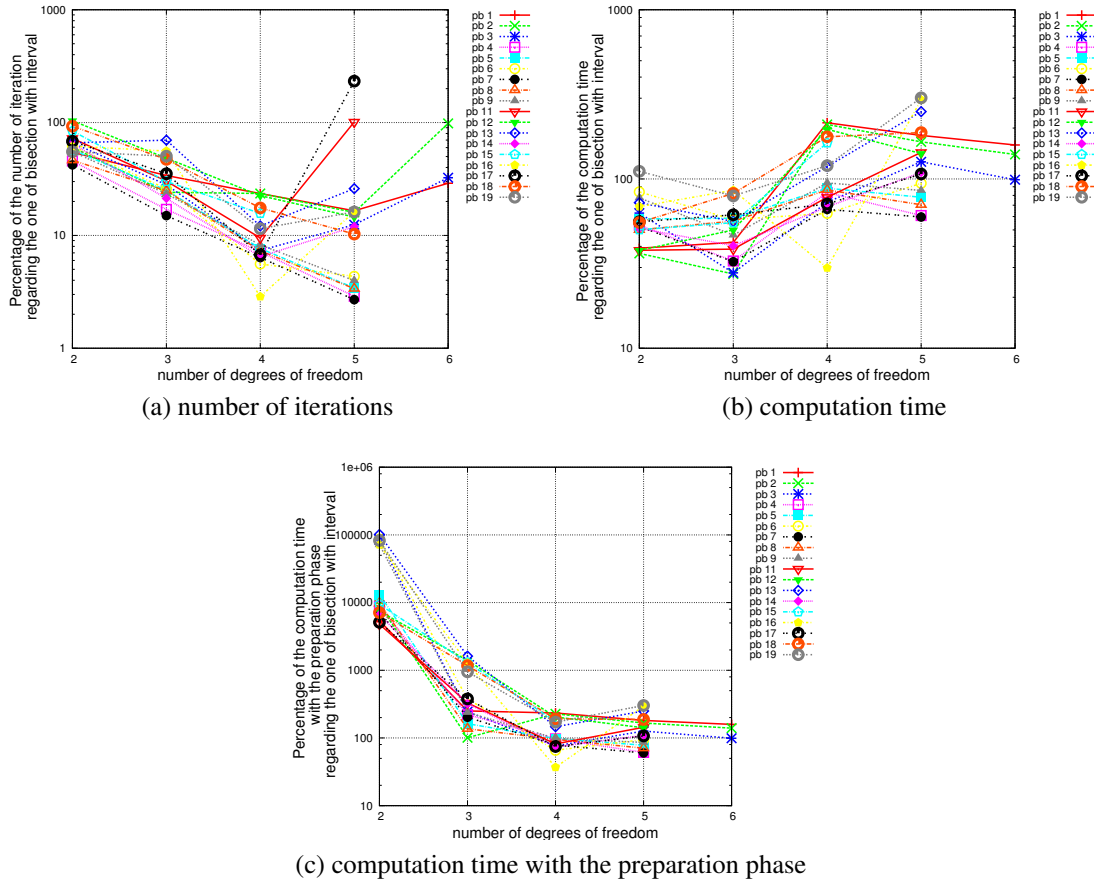


Figure 3.13: Comparison of the BSplines Contraction and the Interval Bisection methods to solve CSP.

### 3.6.3.2 Solving Optimization problem

Since the use of the CI method did not provide good results for solving the CSP of planar robots, we do not evaluate this method for 3D robots. In this part, we compare the performance of the BI, BS and CS methods on four different problems for the 4 and 6 dof-robots. The problems 1 and 3 consider a desired end effector position at  $\{x, y, z\}$  equal to  $\{0.6, 0.6, 0.6\}$  and  $\{0.4, 0.4, 0.7\}$  for problems 2 and 4. The problem 1 and 2 consider the nominal maximal torque values and the problem 3 and 4 only 10% of the maximal torque. Consequently, the problem 4 is infeasible, that is used to assess the performance of our method on infeasible problems.

Table 3.4 and 3.5 emphasize that our methods (BS and CS) solve the optimization problem with less iterations than the state-of-the-art method (BI). It also emphasizes that the use of the BSplines properties reduces the number of iterations, due to a less pessimistic evaluation of the constraints. It is



problem	solver	number of iterations	computation time (Day-Hour:min:s)	preparation phase (s)	cost function
1	BI	155715	9.51		2.71
	BS	37437	5.42	30.2	2.68
	CS	32331	5.00	27.4	2.68
2	BI	159951	9.64		2.71
	BS	37057	5.36	28.6	2.68
	CS	32015	4.93	23.8	2.68
3	BI	940343	59.5		2.59
	BS	61001	8.17	20.3	1.74
	CS	53733	8.20	29.8	1.74
4	BI	22761	1.39		unfeasible
	BS	1581	0.25	28.6	unfeasible
	CS	1609	0.29	27.8	unfeasible

Table 3.4: Comparison of the performances of the three solvers for the posture optimization of the 4-dof robot.

problem	solver	number of iterations	computation time (Day-Hour:min:s)	preparation phase (s)	cost function
1	BI	944808895	5-02:12:29		2.43
	BS	116028803	3-08:50:05	455	2.39
	CS	100293163	1-15:07:25	356	2.39
2	BI	1430891169	1-10:09:15		2.43
	BS	111337437	3-11:29:08	715	2.39
	CS	93289815	1-21:36:39	430	2.39
3	BI	72155242357	67-13:54:41		2.51366
	BS	311645729	6-06:41:52	490	1.66
	CS	258134817	3-19:48:31	367	1.66
4	BI	513515683	0-12:25:01		unfeasible
	BS	741335	0-00:27:47	489	unfeasible
	CS	570171	0-00:28:41	368	unfeasible

Table 3.5: Comparison of the performances of the three solver for the posture optimization of the 6-dof robot.

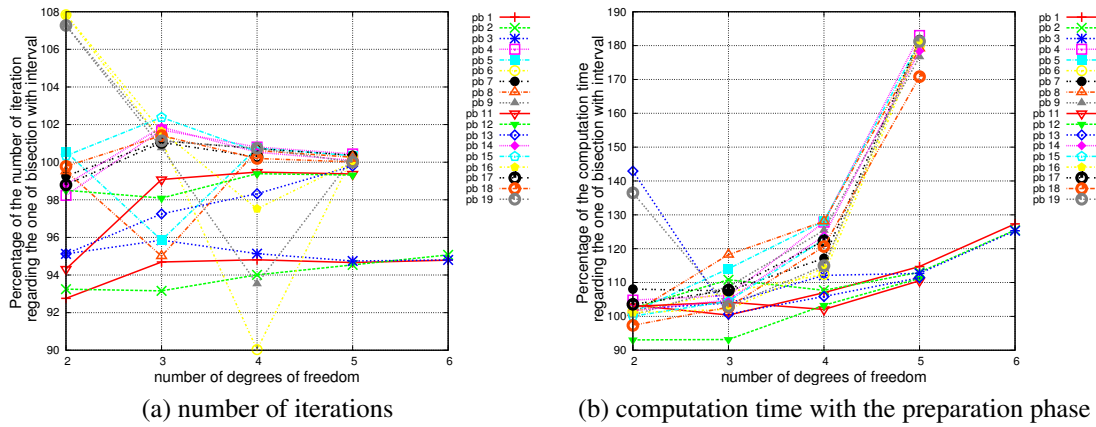


Figure 3.14: Comparison of the BSplines Contraction and the BSplines Bisection methods to solve optimization problems.

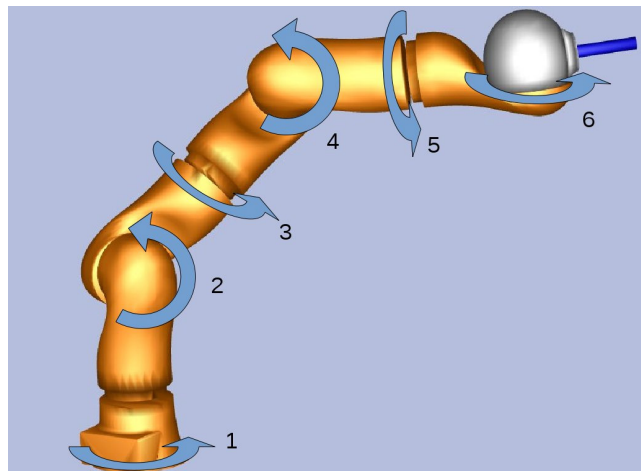


Figure 3.15: The 6 degrees of freedom robot we use : KUKA LWR

also evident that the use of a contraction process (CS) decreases more the number of iterations than pure bisection processes (BI and BS) since it makes possible to reduce the size of the box during the bisection process.

Regarding the computation time, it appears that our method is faster than the state-of-the-art BI method in most of the cases. For the most complex problem (6-dof robot), our method is still the faster one even when we consider the preparation phase (except for one case). It seems that our method is more relevant to prove that the problem has no solution (problem 4) since the number of iterations and the computation time are drastically reduced.

## 3.7 Conclusion

In robotics, the computation of the feasible space of a robot is a critical research domain and it is usually solved using Constraint Satisfaction Problem. In this chapter, we have proposed to exploit Interval Analysis to solve Constraint Satisfaction Problems and Constraint Optimization Problems. The solver is based on a combination between bisection and contraction. However, this technique suffers from pessimism. Hence, a novel technique has been proposed to evaluate the inclusion function. Our method is based on the convex hull properties of BSplines functions and the Kronecker product properties in order to reduce pessimism. Our algorithm was assessed on different problems using 2D and 3D robots. We prove that our contribution deals with more complex optimization problems and decreases the pessimism and the computation time. We should add that finally we did not compute the feasible workspace in the world coordinate system: the set of joint angles are computed in the robot coordinate system. This computation is required in order to generate a generic workspace of a given robot, but we did not solve this problem due to a lack of time. The simplest way to do this calculation is by using the direct kinematic. The latter generic workspace should be used in order to find the reachable part of the surface from a given position. Since in this PHD, we did compute the generic workspace of the robot, we will find the reachable part of the surface by considering a different kind of workspaces (spherical, semi-spherical, elliptical, etc.)

# Chapter 4

## Optimal robot base placements for coverage tasks

Considering the workspace of the robot is known, we can proceed to find the optimal robot base placements for coverage tasks. The surface coverage using robots is a common problem divided into two cases according to the robot state during the task: the robot could be either static or mobile. In static case, the robot should cover a given surface and it should be positioned at a fixed point. This technique is used in painting, stripping, or sand-blasting tasks [10, 105, 125]. In the latter case, the surface is supposed totally reachable from the fixed point and the coverage problem returns the optimal trajectories of the end-effector as solutions. Those end-effector trajectories are required to sweep the entire surface. In mobile case, robots are moved to achieve their tasks like demining, inspection and agricultural fields coverage. Several works addressed the generation of optimal paths of mobile robots required to totally cover an environment [1, 11, 37, 101]. Recently, a third type of coverage problem appears: the robot is fixed during the coverage task and the surface is larger than its workspace. Hence, a repositioning of the robot(s) is required to cover the whole surface since the surface can not be covered from one given position. The repositioning is accomplished under the assumption that the coverage task can not be done continuously and needs to be stopped during the robot's movement. For instance, air-plane stripping and building facades refurbishing are some coverage tasks that require repositioning the robots to cover the whole object. Another example of

such tasks is the car stripping using KUKA Light Weight Robots (LWRs) shown in Figure 4.1.

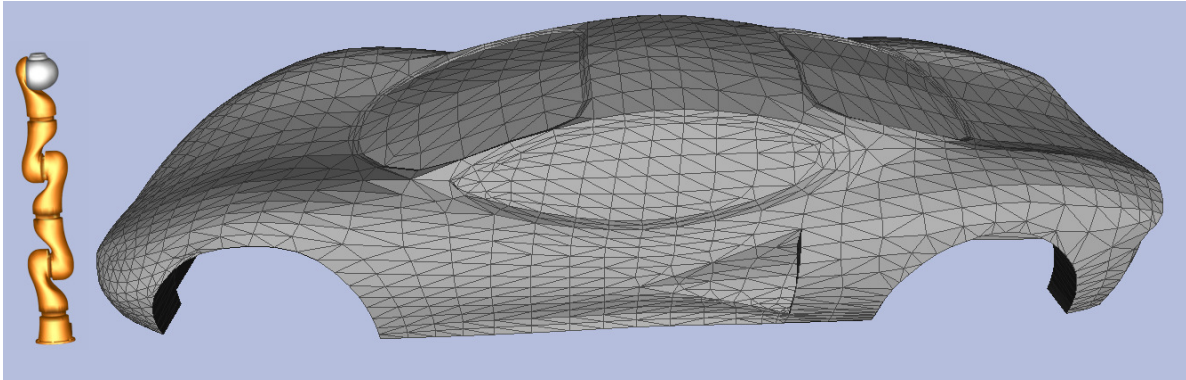


Figure 4.1: Car stripping using a KUKA robot

In this chapter, we provide the third problem and we develop an optimal robot base placements strategy using the repositioning concept. Optimal robot positioning could decrease the task cycle time and increase its efficiency as well as its accuracy. The proposed strategy requires a combination between the robot base placements and the coverage problem. The placement of single robot has been tackled in several domains. Those works were extended to deal with multiple industrial robots for the coverage tasks. Hassan, Liu *et al.* proposed a new strategy to distribute the work between robots for coverage tasks assuming that a reasonable number of robots is intuitively chosen based on the size of the object [54, 56]. A combination of Simulated Annealing and Genetic algorithm optimization is used to find the optimal robot base placement in [54]. We have already presented the state of the art in Chapter 2. Despite the relevance of Hassan *et al.* strategy, it suffers from several weak points:

1. The number of robots is harder to guess when the surface gets more complex.
2. The end-effector trajectories used as inputs for base placement optimization are not optimal. They are generated without considering the robot poses.
3. After the base placement optimization, area partitioning and allocation of the surface between different robot poses is required to improve the end-effector trajectories [55].
4. The total surface coverage is not guaranteed.

To deal with those issues, we propose a new approach for a complete coverage using a multiple robots system (Section 4.1). An important feature of our approach is that it does not only find the optimal pose of robots bases but it also provides the optimal number of robots  $\tilde{N}$  needed to cover the whole surface. Contrary to the state of the art, the knowledge of the total number of robots is not required in

our approach.

Briefly, in this chapter, we focus on solving the general problem formulated in Chapter 1 in Equation 4.1. We present the different algorithms that could be used to solve this problem. Those algorithms are presented with a focus on the proposed hybrid optimization algorithm: our contribution to solve the general problem (Section 4.2). An improved hybrid optimization has been created after some changes. This algorithm is developed in Section 4.3. Finally, the hybrid optimization algorithm and the improved hybrid optimization algorithm are tested in Section 4.4.

## 4.1 Approach to find the optimal number of robots

In this section, we develop the first two steps of the general framework shown in Figure 1.10 in Chapter 1. Those two steps are required to solve the optimal robot base placements for coverage tasks and they are presented in the flowchart shown in Figure 4.2. The inputs are the 3D mesh

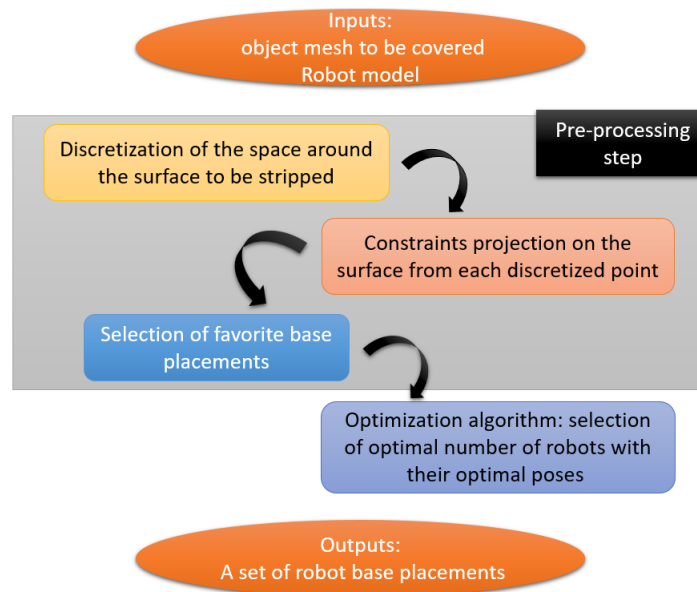


Figure 4.2: Flowchart used to optimize the number of robots and their poses.

model of the object and the robot workspace. Those inputs are used for the pre-processing step and the optimization algorithm that generates the set of robot poses. The pre-processing step aims at transforming the continuous search space of the optimization algorithm into a discrete search space.

The continuous optimization problem is the following:

$$\begin{aligned}
 & \min_{N, \mathbb{T}} && N \\
 & \text{Subject to} && g(N, \mathbb{T}, \mathcal{S}) = 0 \\
 & && h(N, \mathbb{T}, \mathcal{S}) \leq 0
 \end{aligned} \tag{4.1}$$

After the pre-processing step this continuous optimization problem is transformed into a discrete optimization problem developed hereafter. Hence, the optimal solution is easier to be reached, and the execution time decreases.

### 4.1.1 Pre-processing step

The pre-processing step turns the continuous  $4D$  search space of the robot ( $x$ ,  $y$ ,  $z$  and  $\theta$ ) into a finite set  $\mathbb{F}$  of favourite robot poses to accelerate solving the optimization problem. To emphasize the pre-processing step, each sub-step of the pre-processing step will be explained on an action car as an object to be covered. We chose the action car since it is easy to show the discrete points around it. For instance, considering a KUKA robot having a spherical workspace, a  $4D$  discretization gives a finite set  $\mathbb{F}$  of favourite robot poses illustrated in Figure 4.3. The pre-processing step is composed of three main steps: the search space discretization, the constraints projection and the favourite base placement selection.

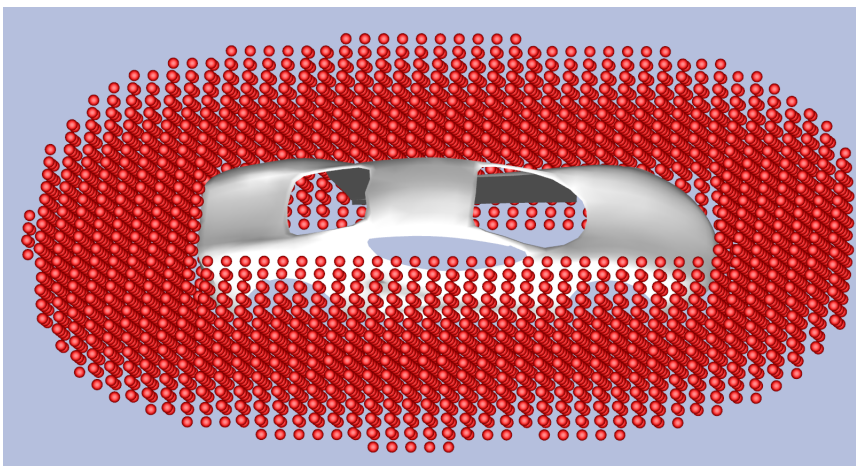


Figure 4.3: 4D discretization for the action car: red circles are the favourite robot poses of  $\mathbb{F}$  ( $s = 0.1$ )

#### 4.1.1.1 Search space discretization

This step decomposes the continuous available space around the object into a set of discrete possible robot poses  $\mathbb{P}$ . Two adjacent poses are separated by a discretization step  $s$  along  $x$ ,  $y$  or/and  $z$  axes. Another discretization step  $s_\theta$  is considered along  $\theta$  which is the robot orientation, e.g. the rotation of the robot along his  $z$  axis. The discretization along  $z$  is optional and it depends on the object volume. Hence, two types of discretization are considered: 3D discretization and 4D discretization. 3D discretization is applied on the ground around the object to be covered. It is chosen for objects having an acceptable size compared to the robot workspace: they could be totally covered from the ground. It is named 3D discretization since it is a discretization along  $x$ ,  $y$  axes and  $\theta$  angle. However, 4D discretization is required when the objects are huge and could not be totally covered from the ground. In this case, a discretization along  $z$  axis is added to  $x$ ,  $y$ , and  $\theta$  discretizations. Hence, the 3D object is en-globed by the set of discretized points  $\mathbb{P}$ . The  $\theta$  discretization is important every time the robot workspace is not homogeneous which is usually the case in almost all the applications: the robot workspace has often a complicated shape. The discretization may influence on the quality of the results if the discretization steps,  $s$  and  $s_\theta$ , are not properly chosen. However, in many industrial applications, a trade-off between accuracy and computation time is accepted, and this compromise is accomplished using discretization. Thus, the continuous space, or the searching space, around the 3D object is discretized into a set of robot base positions. The discrete positions are generated using coarse discretization with  $s$  chosen as 10% of the length of the manipulator arm: the discretization step takes into account the robot capacity. In addition,  $s_\theta$  is considered 0.1 *rad*. Moreover, the discretization is refined if some predefined requirements could not be met, such as a total coverage of the surface.

For instance, an action car could be totally covered from the ground using a KUKA arm having a spherical workspace. Hence, 3D discretization for an action car is shown in Figure 4.4. The discretization along  $x$ , and  $y$  are shown in this figure, but the  $\theta$  is not shown since the workspace is considered spherical, e.g. the orientation of the robot does not matter.



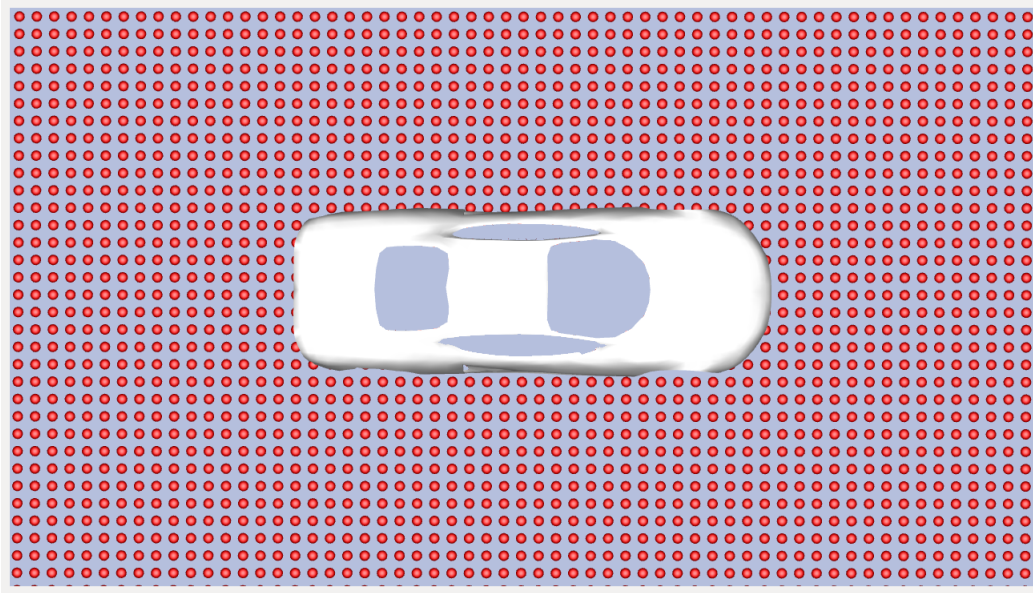


Figure 4.4: 3D discretization for the action car: red circles are the discretized points of  $\mathbb{P}$  ( $s = 0.1$ )

#### 4.1.1.2 Constraint projection

From each pose  $\mathbf{P}$  of  $\mathbb{P}$ , we assign a score  $C(S, \mathbf{P})$  that describes the percentage of the reachable surface  $S_i$ .  $S_i$  is computed using a constraint projection function.  $S_i$  is the sum of a set of integer values where each point of the mesh object is affected to 1 if it is reachable by the robot from  $\mathbf{P}$  without any collisions and to 0 otherwise. At the end, from a given pose  $\mathbf{P}$ ,  $C(S, \mathbf{P}) = 0$  if the robot does not reach the surface or if unavoidable collisions are detected between its body and the 3D surface. Otherwise,  $C(S, \mathbf{P}) = 100 \frac{S_i}{S}$  that is the percentage of the covered surface  $S_i$  regarding the total surface  $S$  from a given pose  $\mathbf{P}$ . In this application, the points composing the mesh can be used to test if the surface is totally covered. In this case,  $S_i$  is the total number of points that could be reached by the robot from a given pose  $\mathbf{P}$ , and  $S$  is the total number of points that composes the cloud.

The optimal orientation  $\theta$  is chosen in such a way it maximizes the score  $C(S, \mathbf{P})$ . Hence, the set of robot poses  $\mathbb{P}$  contains all possible poses with different  $\{x, y, z, \theta\}$  positions and the nearly optimal orientation.

All the discrete points  $\mathbf{P} \in \mathbb{P}$  having a score  $C(S, \mathbf{P}) \neq 0$  will be added to a set  $\mathbb{C}$ : the set of initial poses. It is clear that  $\mathbb{C}$  is a subset of  $\mathbb{P}$ :  $\mathbb{C} \subset \mathbb{P}$ . For instance, the set  $\mathbb{C}$  for the action car is presented in Figure 4.5.

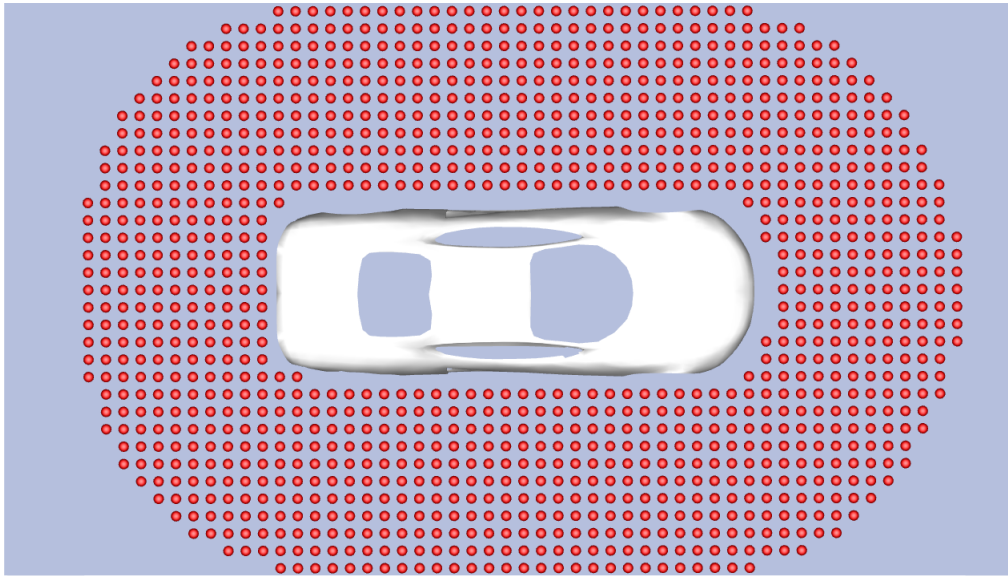


Figure 4.5: The set of the initial poses  $\mathbb{C}$  of the action car ( $s = 0.1$ )

#### 4.1.1.3 Favourite base placements selection

The favourite selection step is the final sub-step in the pre-processing step. It consists in computing the set of favourite poses  $\mathbb{F}$ . The poses in  $\mathbb{F}$  are all poses of  $\mathbb{P}$  having a score greater than a given threshold  $C(S, \mathbf{P}) > t$ . Every favourite pose  $\mathbf{F} \in \mathbb{F}$  is a part of the set of initial poses  $\mathbb{C}$  as well as the set of discrete poses  $\mathbb{P}$ :  $\mathbb{F} \subset \mathbb{C} \subset \mathbb{P}$ . The set of favourite poses  $\mathbb{F}$  of a car is shown in Figure 4.6. The

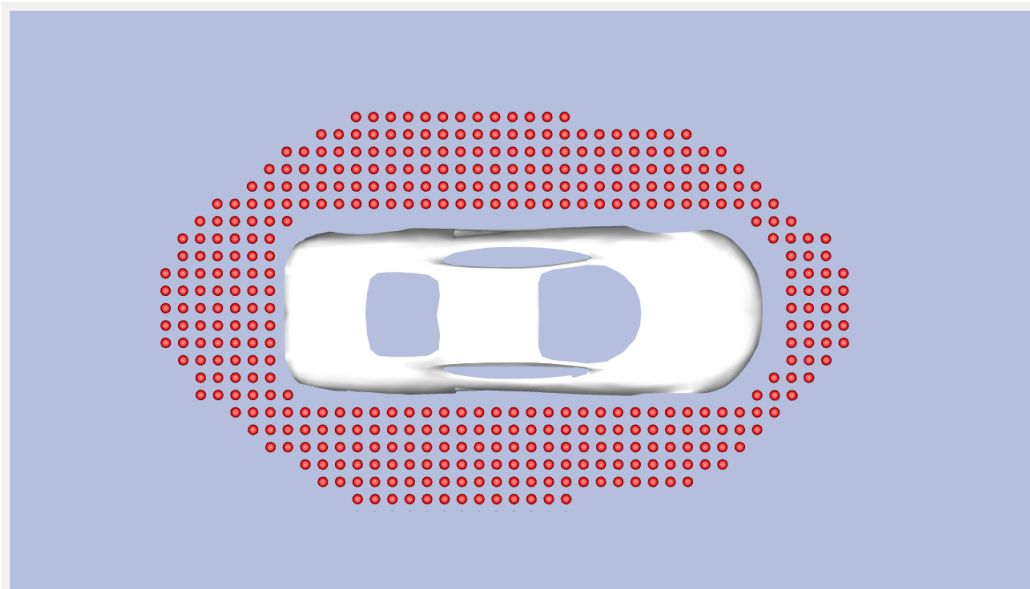


Figure 4.6: Favorite base placements of the action car for  $t = 10\%$ .

set of favourite poses  $\mathbb{F}$  will be used during the optimization of the number of robots and their base

placements.

### 4.1.2 Discrete optimization problem

The pre-processing step turns the continuous problem (presented in Equation 4.1) into the discrete optimization problem given by Equation 4.2. The search space of the optimization problem is reduced to  $\mathbb{F}$ . The notations used in this discrete problem are the ones created after the pre-processing step. Hence, the discrete optimization problem is formulated as follows:

$$\begin{aligned}
 & \min_{\tilde{N}, \tilde{\mathbb{T}} \subset \mathbb{F}} \quad \tilde{N} \\
 & \text{Subject to} \quad g(\tilde{N}, \tilde{\mathbb{T}}, \tilde{\mathbb{C}}) = 0 \\
 & \forall l, m \in [1, \tilde{N}] \quad h_{lm}(\tilde{\mathbb{T}}) \leq 0
 \end{aligned} \tag{4.2}$$

With:

- $\tilde{N}$ : the minimal number of robots,
- $\tilde{\mathbb{T}}$ : the set of optimal poses of the robots such that  $\tilde{\mathbb{T}} = \{\tilde{\mathbf{T}}_i \in \text{SE}(3), 1 \leq i \leq \tilde{N}\}$ ,
- $\tilde{\mathbb{C}}$ : the set of reachable part of the surface from each pose  $\tilde{\mathbf{T}}_i \in \tilde{\mathbb{T}}$  such that  $\tilde{\mathbb{C}} = \{\tilde{\mathbf{C}}_i, 1 \leq i \leq \tilde{N}\}$ ,
- $g(\tilde{N}, \tilde{\mathbb{T}}, \tilde{\mathbb{C}})$ : function to test if  $\lambda\%$  of the surface is covered,
- $h_{lm}(\tilde{\mathbb{T}})$ : the set of additional constraints.

Eventually,  $\tilde{\mathbb{T}} \subset \mathbb{F} \subset \mathbb{C} \subset \mathbb{P}$ . A surface is considered  $\lambda\%$  covered if  $g(\tilde{N}, \tilde{\mathbb{T}}, \tilde{\mathbb{C}}) = \bigcup_{l=1}^{\tilde{N}} \tilde{\mathbf{C}}_l - \lambda S = 0$ . One can solve the proposed problem for a total coverage by initializing  $\lambda$  to 1. A collision avoidance between robots can be considered through the constraint  $h_{lm}(\tilde{\mathbb{T}})$  when a multi-robot system is used. In this case, the distance between any two adjacent robots should be greater than a predefined threshold  $\delta$  that is defined with respect to the dimension of the robot workspace, e.g.  $h_{lm}(\tilde{\mathbb{T}}) = \|\tilde{\mathbf{T}}_l - \tilde{\mathbf{T}}_m\| - \delta$ . This distance is added as a constraint in order to reduce the overlapping of the workspace of two adjacent robots, and to reduce the collision risk between two adjacent robots.

Since the discretization step may influence on the results, we propose a global optimization algorithm that solves the flowchart (Figure 4.2) for a given discretization step. Then, if the percentage of the covered surface is lower than  $\lambda$ , a finer discretization step is considered and the flowchart is solved

again based on the finer discretization step. The optimization algorithm (called in line 6) will be one step of the global algorithm developed in Algorithm 2. This global algorithm is the representation of the flowchart in the algorithmic language. Thus, the volume around the 3D surface is discretized into

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**Algorithm 2:** Global algorithm
 

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**Input** : Object mesh to be covered, Robot model

**Output:** A set of robot base placements

- 1: Discretization of the volume around the surface: a set of robot base positions  $\mathbb{P}$  such as  $k \in \{1, \dots, N_{total}\}$
  - 2: **for**  $k \in \{1, \dots, N_{total}\}$  **do**
  - 3:   Find  $S_k$  such as  $S_k = C(P_l)$
  - 4: **end for**
  - 5: Find the favourite robot base positions  $\mathbb{F}$
  - 6: Optimization algorithm to find  $\tilde{N}$
  - 7: **if** Covered ratio  $< \lambda$  **then**
  - 8:   Perform finer discretization
  - 9:   **go to 6**
  - 10: **end if**
  - 11: **return**  $\tilde{N}$  and their positions;
- 

a set of robot base poses  $\mathbb{P}$  (line 1). A constraint projection on the surface is applied for each pose  $\mathbf{P}$  in  $\mathbb{P}$  (line 3). Based on this evaluation, some discretized poses are discarded due to their proximity, others due to their low coverage: a set of favourite robot base positions is defined  $\mathbb{F}$  (line 5). After that, the optimization algorithm is run in order to find the minimal number of robots base positions based on the favourite base poses  $\mathbb{F}$  (line 6). We supposed that in this optimization algorithm, we add a threshold for the number of robots: if the number of robots found during the optimization algorithm is greater than a given threshold and the percentage of the covered surface is lower than  $\lambda$ , the optimization is interrupted. After that, a finer discretization is called (line 8) if the covered ratio of the total surface is lower than the specified threshold  $\lambda$ . Though, the optimization algorithm will start again. Otherwise, the algorithm returns the optimal number of robots  $\tilde{N}$  with their optimal base placements.

Finding the optimal number of robot base placements can be solved using a Binary Integer Programming strategy (BIP). However, our problem is a NP-hard problem: an exact solution of the minimum number of robots is hard to compute using a Binary Integer Programming. Though, we propose a novel combination between three algorithms: Greedy, Genetic, and Simulated Annealing algorithms in Section 4.2.

## 4.2 Hybrid optimization algorithm

In this Section, we present our hybrid optimization algorithm that merges the advantages of Greedy, Simulated Annealing and Genetic algorithms. The hybrid optimization algorithm is called in line 6 of the global algorithm. Greedy algorithm is used to find the optimal number of robots. Simulated Annealing and Genetic algorithms are usually used to optimize the positions of a given number of robots. Let's start by briefly presenting those algorithms.

### 4.2.1 Greedy Algorithm

It is perceptively used to find the optimal number of variables required to respect an optimization function. It makes a local optimal choice at each iteration, hoping to find a global optimum at the end of the algorithm. This algorithm reduces complexity during an exhaustive search: it has  $O(n)$  complexity instead of  $O(n^K)$  where  $K$  is the optimal number of robots. Greedy algorithm does not intend to find the best solution, but it terminates in a reasonable number of steps; finding an optimal solution to such a complex problem typically requires unreasonably many steps.

Greedy algorithm is developed in Algorithm 3. The algorithm intends to add a robot at each iteration (line 5), until the percentage of the covered surface is greater than the threshold  $\lambda$ . The coverage test is included in the constraint  $g(\tilde{N}, \tilde{T}, \tilde{C})$  in line 2. One can initialize  $\lambda = 1$ , if we want a surface totally covered. The added robot is chosen randomly in such a way that it maximizes the coverage of the surface, while the previous robot poses are not updated (line 5). This stability of robot poses leads to local optimal solutions. The coverage of the surface is considered maximized when the added robot ensures a total coverage greater than the total coverage of the previous iteration. As we will see in the simulation and results section, Greedy algorithm is not efficient for solving our optimization problem. Though, we decide that Greedy algorithm will be the fundamental base: instead of adding randomly a robot at each iteration without changing the positions of the existing robots, a combination between Simulated Annealing and Genetic algorithm is used to optimize this defined number of robot poses.

---

**Algorithm 3:** Greedy algorithm

---

**Input** : The set of favourite robot poses  $\mathbb{F}$ , the surface to be covered  $S$ **Output:** Optimal number of robots  $\tilde{N}$  and their poses  $\tilde{\mathbb{T}}$ 

- 1: Set  $\tilde{\mathbb{T}} = \emptyset$ ,  $\tilde{N} = 0$ ,  $c = \emptyset$
  - 2: **while**  $g(\tilde{N}, \tilde{\mathbb{T}}, \tilde{\mathbb{C}}) \neq 0$  **do**
  - 3:    $c = \mathbf{F}$  that maximizes the coverage of the surface  $S$  in such a way that  $c \notin \tilde{\mathbb{T}}$ ;
  - 4:    $\tilde{\mathbb{T}} = \tilde{\mathbb{T}} \cup \{c\}$ ;
  - 5:    $\tilde{N} = \tilde{N} + 1$ ;
  - 6: **end while**
  - 7: **return**  $\tilde{N}$  and  $\tilde{\mathbb{T}}$ ;
- 

## 4.2.2 Simulated Annealing

Simulated Annealing (SA) is a probabilistic technique for approximating the global optimum of a given function. It is often used when the search space is discrete which is our case [58]. For problems where finding an approximate global optimum is more important than finding a precise local optimum in a fixed amount of time, Simulated Annealing may be preferable to alternatives such as gradient descent. The name and inspiration come from annealing in metallurgy, a technique involving heating and controlled cooling of a material to increase the size of its crystals and reduce their defects.

Simulated Annealing can be used to generate a solution for combinatorial optimization problems. A temperature variable is used to simulate the heating process. A high initial value is assigned to the temperature and then slowly decreases as the algorithm runs. Simulated Annealing avoids the local optimum by using the temperature procedure [15]: the chance of accepting worse solutions reduces as long as the temperature decreases. This acceptance decision is made by using an *Acceptance* function that depends on the temperature and the percentage of the covered surface using the different robot poses. The algorithm jumps out of any local optimums by using this *Acceptance* function, and it focuses on an area of the search space where an optimal solution could be found.

Simulated Annealing shows robustness and flexibility for global search methods, it can deal with highly non-linear problems and non-differentiable functions as well as functions with multiple local optima. SA is suited for stochastic and non-stochastic optimization [49]. SA is also one of the fastest and universal probabilistic local procedures [16]. Statistically, Simulated Annealing does not guarantee a global optimal solution, and it gives a good solution. However, it tries to avoid a large

number of local minima and it often yields a better solution than local optimization [58]. However, it could not be used alone to find the optimal number of robots: it could optimize the positions of a given number of robots.

### 4.2.3 Genetic Algorithm

Genetic Algorithm is a method of search often applied to optimization problems or machine learning. Genetic Algorithms are part of evolutionary computing, they use an evolutionary analogy, “survival of the fittest” [120]. Instead of a single point generation at each iteration, Genetic Algorithm generates a population of points. After that, the best points are chosen as the optimal solution. It is more efficient than the traditional methods and provides a list of good solutions instead of a single solution. Thus, Genetic Algorithm increases the likelihood of finding the global optima.

In order to well understand Genetic Algorithm, we must explain some basic terminologies. The **population** is a subset of all the encoded solutions to the given problem. Each element of this population is considered as one chromosome. A **chromosome** is one solution to the given problem and it is represented by a vector of genes. The **gene** is one element position of a chromosome. The **allele** is the value a gene takes for a particular chromosome. In our application, the chromosome is a vector of  $m_i$  elements where each element is an index of one favourite base placement in the vector of favourite base placements  $\mathbb{F}$ . The gene is an index of one element in the chromosome. The allele refers to value inside the gene, so it refers to the index of the robot position in  $\mathbb{F}$  vector. Figure 4.7 makes clearer the definition of population, chromosomes, gene and allele. For each iteration in Genetic Algorithm, we will have a population composed of  $N_{pop}$  chromosomes. Each chromosome is composed of  $m_i$  elements; where  $m_i$  is the number of robots in each iteration  $i$ .

The basic structure of Genetic Algorithm is presented in Figure 4.8. Genetic Algorithm starts by a population initialization. After that, for each chromosome in this population, a fitness function is evaluated. After that, we choose two chromosomes and we generate two children by applying crossover and mutation (or one of them). Then, the children replace their parents, and the loop is repeated until the termination criteria is reached: in our case when the percentage of the covered surface reaches  $\lambda\%$ .

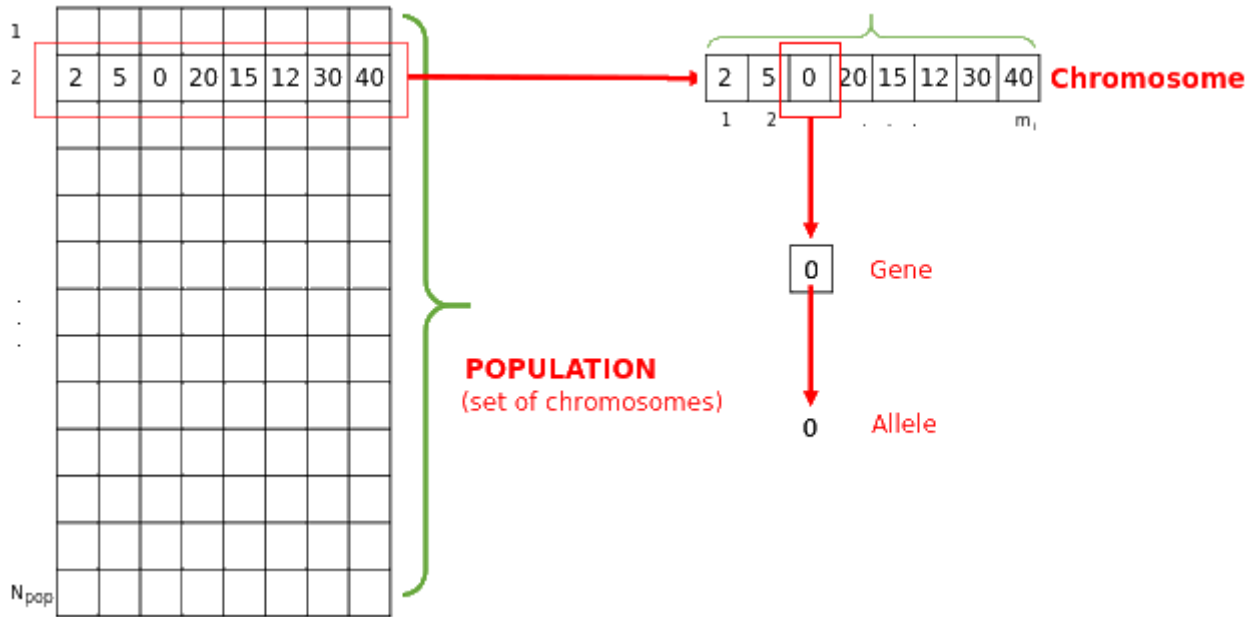


Figure 4.7: Basic terminology of Genetic Algorithm

#### 4.2.4 Proposed hybrid algorithm

The structure of our hybrid algorithm is inspired from Greedy algorithm in order to find the optimal number of robots. A combination between Simulated Annealing and Genetic algorithms is used to benefit from their advantages and to get the optimal base placements of a given number of robots. The speed of Simulated Annealing with the variety of possible solutions of Genetic Algorithm are combined to get better results. Algorithm 4 describes our hybrid optimization algorithm. The algorithm returns the number of robots  $\tilde{N}$  with their optimal poses  $\tilde{\mathbb{T}}$ . The number of robots increases until the surface is totally covered (line 2). For each iteration of Greedy algorithm, the optimisation of robot poses is accomplished using a combination between Simulated Annealing and Genetic algorithms. Genetic Algorithm starts by a generation of a population of robot poses. In the initialization of the population, the generation of the chromosomes is accomplished randomly. Moreover, during the generation of all the elements of a chromosome, we consider one constraint which is the distance between two adjacent robots  $l$  and  $m$ , e.g. the constraint  $h_{lm}(\tilde{\mathbb{T}}) \leq 0$ . Hence, a population  $Pop$  is initialized (line 4). Then, for each generation of  $Pop$ , two children are created from the two elements of  $Pop$  having the maximum coverage of the surface (line 8): those two elements are considered as the parents. The decision of replacing the worst two elements of the population by two generated children is made in line 11 using the *Acceptance* function of Simulated Annealing algorithm:



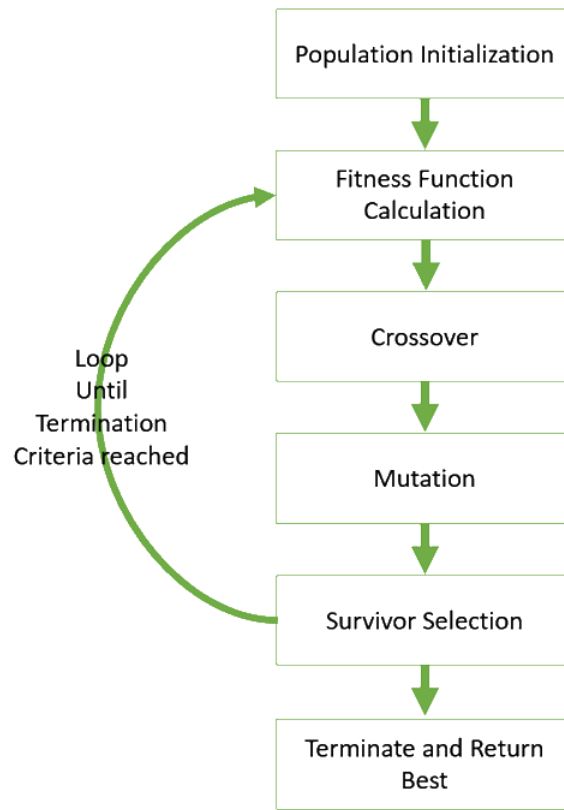


Figure 4.8: Basic structure of Genetic Algorithm

$\exp((f1-f2)/ats)$ ). Those three steps are repeated until the maximum number of generation  $N_{gen}$  is reached (line 6) or the ending temperature  $t_e$  is attended (line 15). The element of  $Pop$  covering the larger part of the surface is chosen:  $Rpn$  (line 19). If all the robots in  $Rpn$  covers  $\lambda\%$  of the surface, the loop is broken. Otherwise, the number of robots increases until  $\lambda\%$  of the surface is covered.

### 4.3 Improved hybrid optimization algorithm

In this section, we propose an improvement of the hybrid optimization algorithm. After that, a comparison between the hybrid optimization algorithm and the improved hybrid optimization algorithm is presented. This comparison is based on different types of robot workspaces. The improved hybrid algorithm consists in dividing the favourite base placements into  $\tilde{N}$  zones. Each zone will contain one robot and the optimization algorithm searches for the best position of each robot in each zone. At the end, instead of having one huge zone for searching for the optimal positions of  $\tilde{N}$  robots, we will have  $\tilde{N}$  different zones. This technique gives solutions closer to the optimal solutions. To attend this

**Algorithm 4:** Hybrid optimization algorithm

---

**Input** : The set of favourite robot poses  $\mathbb{F}$ , the surface to be covered  $S$ , the coverage threshold  $p$ , the maximum number of iterations  $N_{iter}$ , the initial temperature  $t_s$ , the ending temperature  $t_e$ , the maximum number of generations  $N_{gen}$ , the maximum number of populations  $N_{pop}$

**Output:** Optimal number of robots  $\tilde{N}$  and their poses  $\tilde{\mathbb{T}}$

- 1: Set  $U = \mathbb{F}$ ,  $V = \emptyset$ ,  $Rpn = \emptyset$ ,  $W = S$ ,  $\tilde{\mathbb{T}} = \emptyset$ ,  $\tilde{N} = 0$ ,  $Pop = \emptyset$ ;
- 2: **while**  $g(\tilde{N}, \tilde{\mathbb{T}}, \tilde{\mathbb{C}}) \neq 0$  **do**
- 3:    $U = \mathbb{F}$ ;
- 4:    $Pop =$  a population initialized using  $U$ ;
- 5:    $ats = t_s$ ;
- 6:   **for**  $k \in \{1, \dots, N_{gen}\}$  **do**
- 7:      $\{m_1, m_2\} \leftarrow$  Two elements of  $Pop$  with the highest coverage, such as  $m_1 \neq m_2$ ;
- 8:      $\{\rho_1, \rho_2\} \leftarrow$  Generatechildren( $m_1, m_2$ );
- 9:      $\{\sigma_1, \sigma_2\} \leftarrow$  Two elements of  $Pop$  with the lowest coverage, such as  $\sigma_1 \neq \sigma_2$ ;
- 10:     Let  $f1 = \text{Coverage}(\sigma_i)$  and  $f2 = \text{Coverage}(\rho_i)$ ;
- 11:     **if**  $(f2 \geq f1) \parallel (f2 < f1 \ \& \ \text{random}(0,1) \leq \exp((f1-f2)/ats))$  **then**
- 12:       Replace  $\sigma_i$  by  $\rho_i$  in the initial population;
- 13:     **end if**
- 14:      $ats = ats - \text{coolrate}$ ;
- 15:     **if**  $ats < t_e$  **then**
- 16:       break;
- 17:     **end if**
- 18:   **end for**
- 19:    $Rpn \leftarrow$  The element of  $Pop$  having the maximum coverage;
- 20:    $V \leftarrow$  The correspondent coverage set of  $Rpn$ ;
- 21:    $\tilde{N} = \tilde{N} + 1$ ;
- 22: **end while**
- 23:  $\tilde{\mathbb{T}} = Rpn$ ;
- 24: **return**  $\tilde{N}$  and  $\tilde{\mathbb{T}}$ ;

---

goal, the centre of mass of the object  $\eta$  is computed, and it is projected on the ground  $\eta_0$ . The line  $(\eta\eta_0)$  is the intersection line between the different planes dividing the set of favourite base placements into  $\tilde{N}$  different zones. The zone division is accomplished at the beginning of each iteration of the hybrid algorithm. It should be respected during the generation of population and the generation of children (two steps of Genetic Algorithm). In the generation of population step, each element contains  $\tilde{N}$  robots where each robot is located in one zone. By doing that, we are trying to simplify or speed-up the hybrid optimization algorithm to the optimal solution. This is reached by adding an initial "guess" of the optimal solutions after "considering the "symmetry" of the surface. Moreover, in the children generation step, the robot that should change his location must stay in the same zone. Only one constraint is considered during this study: the distance between two robots must be also

taken into account. Figure 4.9 shows 5 robots with their 5 different zones. The center of mass  $\eta_0$  is represented by the point  $O$ . Since 5 robots should be positioned, 5 planes A, B, C, D, and E separated by  $72^\circ$  are shown in Figure 4.9. It is clear that only one robot is positioned in each zone.

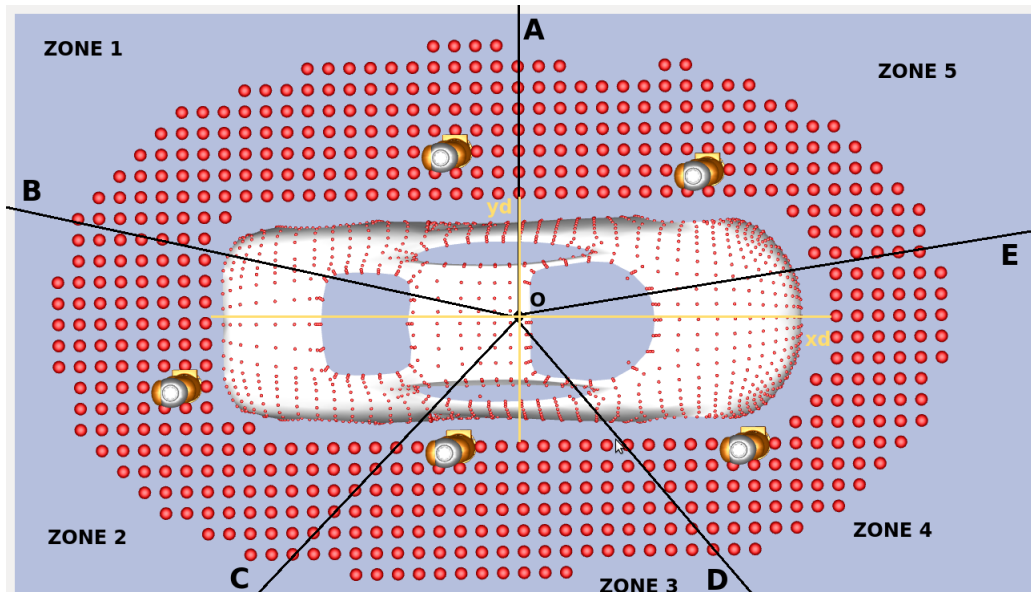


Figure 4.9: Discretization of the ground around the action car

To test the efficiency of the improved hybrid optimization algorithm with respect to the hybrid optimization algorithm, we propose to test them on three types of surfaces: a cylinder, a hemisphere, and an action car. More details could be found in the next section.

## 4.4 Tests and results

In this section, we present the results for the hybrid and the improved hybrid optimization algorithms. Due to lack of time, we test the hybrid optimization algorithm on an air-plane. The test of an improved hybrid optimization algorithm on an air-plane is a future work.

### 4.4.1 Proposed hybrid optimization algorithm

2D test is used to evaluate four optimization algorithms: Greedy algorithm, Simulated Annealing Greedy algorithm, Genetic Greedy algorithm and Hybrid optimization algorithm. Those four algo-

rithms come from some combinations between Greedy algorithm on one side, and Simulated Annealing and Genetic algorithms on the other side. Hence, those combinations lead to Simulated Annealing Greedy and Genetic Greedy algorithms. Furthermore, Greedy, Simulated Annealing Greedy, Genetic Greedy and Hybrid optimization algorithms are compared on a reachability problem using a 2D-robot as it is explained in Section 4.4.1.1. This 2D test is the initial proof of the efficiency of the hybrid optimization algorithm. Moreover, Hybrid optimization algorithm is tested on 3D surfaces and the results are compared to the results given by Greedy algorithm in Section 4.4.1.2.

#### 4.4.1.1 2D test

A test on a 2D-robot with  $n$  degrees of freedom and a 2D-surface is applied: the goal is to test the performance of the four algorithms on simple problems.

Algorithms Number of robots	Greedy	Simulated Annealing/ Greedy	Genetic/ Greedy	Hybrid
2	19	1	70	81
3	12	23	30	19
4	8	51	–	–
5	10	24	–	–
6	8	1	–	–
7	7	–	–	–
8	18	–	–	–
9	11	–	–	–
10	7	–	–	–
Total	100	100	100	100

Table 4.1: The optimal number of robots found using the four optimization algorithms

Algorithms	Greedy	Simulated Annealing/ Greedy	Genetic/ Greedy	Hybrid
Computation time average/ (in s)	0.4172738	0.3998774	1.090686	0.5934172

Table 4.2: Average of computation time (in seconds) for the four optimization algorithms

We consider a 2D robot with 3 degrees of freedom and a 2D polygon in order to evaluate those algorithms. The task of the robot is to cover the whole perimeter of this polygon considering that

the robot's workspace is a circle (no need for the discretization along  $\theta$ ): only the total coverage of the surface is considered as an optimization criteria ( $\lambda = 1$ ). The three links of the robot have the following lengths: 0.2, 0.3 and 0.15 m (spherical workspace of a radius= 0.65 m), and the 2D polygon has a perimeter of 10.02 m. The 2D polygon can be englobed by a box of size  $4 \times 4.7$ . The discretization step along  $x$  and  $y$  axis is 0.02 m. The object to be covered and the robot are shown in Figure 4.10. We can see that the dimensions of the object are greater than the dimension of the robot: the robot should move between different poses to cover the surface. We did the test 100 times for each algorithm and we did not consider the collision constraints since we want to compare the different algorithms within the minimal number of constraints. The surface is supposed totally covered if the red border of the surface is inside the union of the different robot workspaces found during the optimization algorithm. Table 4.1 presents the results for the four optimization surface on the polygon surface using the 2D robot. Those tests prove that the hybrid algorithm gives the best results in terms

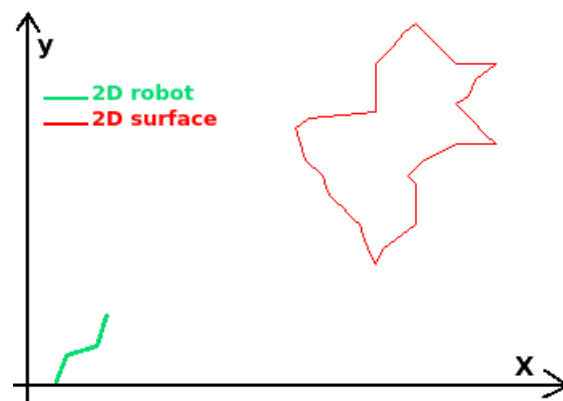


Figure 4.10: Two dof Robot with a 2D surface that should be totally covered.

of efficiency and robustness. For instance, using the hybrid optimization algorithm, we have two different values to cover the whole surface: 2 robots (81%), and 3 robots (19%). Moreover, Genetic Greedy Algorithm gives two possible solutions, but a different distribution of the solutions is noticed: 2 robots (70%), and 3 robots (30%). The results of Simulated Annealing Greedy Algorithm are worse than the results of the above algorithms, but they are better than the results of Greedy Algorithm. For instance, Simulated Annealing Greedy Algorithm gives five possibilities of solutions: 2, 3, 4, 5 and 6 robots with 1%, 23%, 51%, 24% and 1% respectively. Greedy Algorithm gives 9 possibilities of solutions: from 2 to 10 robots with 19%, 12%, 8%, 10%, 8%, 7%, 18%, 11% and 7% respectively. In parallel, we can conclude using Table 4.2 that Simulated Annealing/ Greedy algorithm is faster

than Genetic/ Greedy Algorithm. However, Genetic/ Greedy algorithm gives better results than

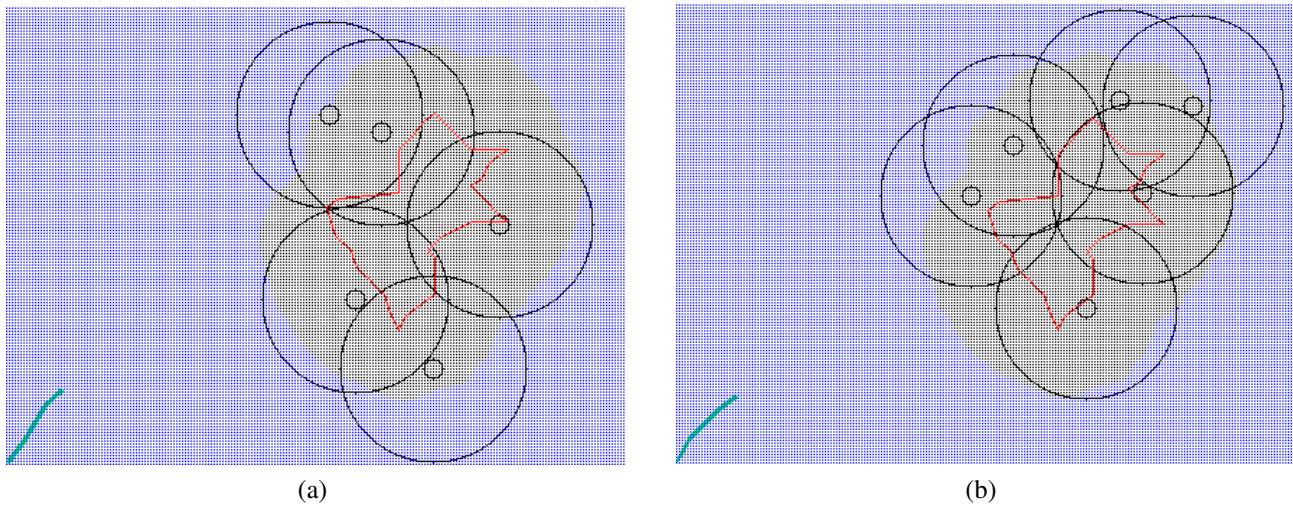


Figure 4.11: The number of 2D-robots required to cover the whole 2D-surface using Greedy Algorithm (red: surface, blue: possible robots positions, yellow: favourite robot positions, small black circle: en-globes the robots position, big black circle: the workspace of the robot from the given position).

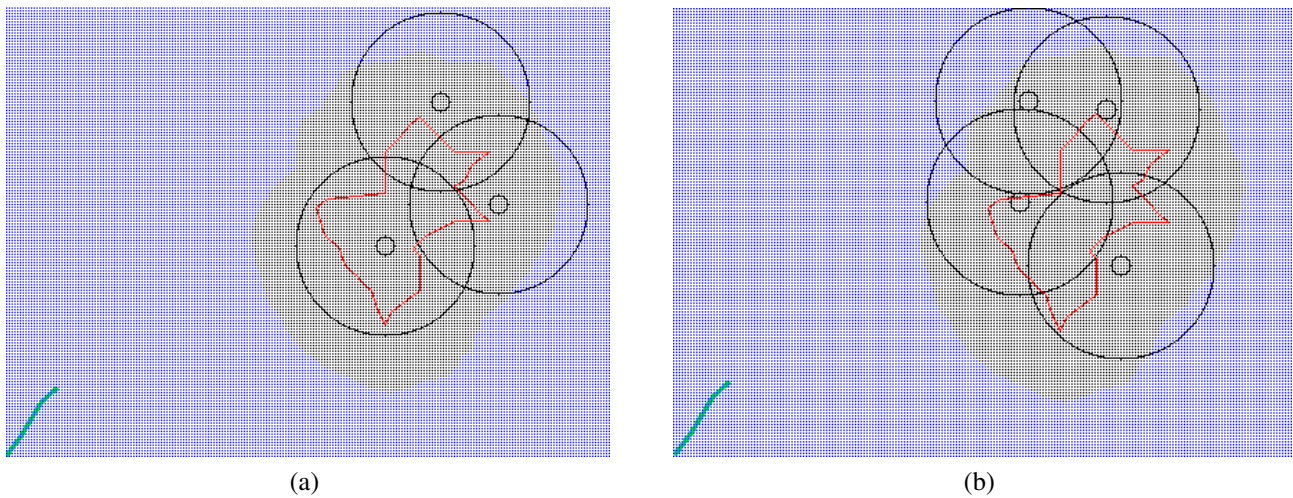


Figure 4.12: The number of 2D-robots required to cover the whole 2D-surface using Simulated Annealing Greedy Algorithm.

Simulated Annealing/ Greedy algorithm. Moreover, By combining those two algorithms, we get the best algorithm: Hybrid optimization algorithm. This algorithm has the speed of Simulated Annealing algorithm and the accuracy of Genetic Algorithm.

Figures 4.11, 4.12, 4.13, and 4.14 show the results of Greedy, Simulated Annealing Greedy, Genetic Greedy and the hybrid optimization algorithms respectively. So as a conclusion, it is clear that the

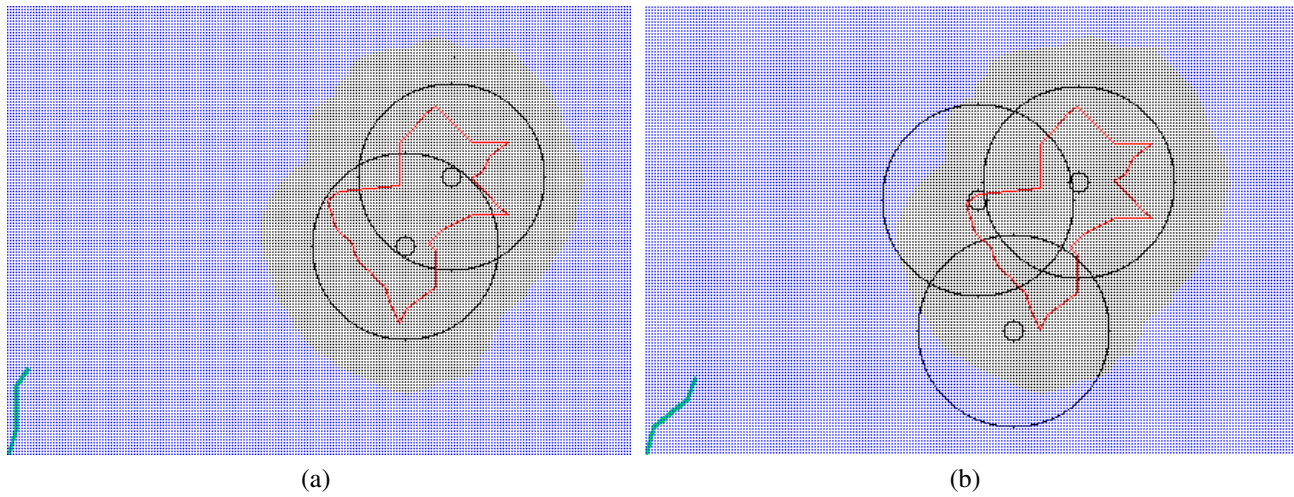


Figure 4.13: The number of 2D-robots required to cover the whole 2D-surface using Greedy Genetic Algorithm.

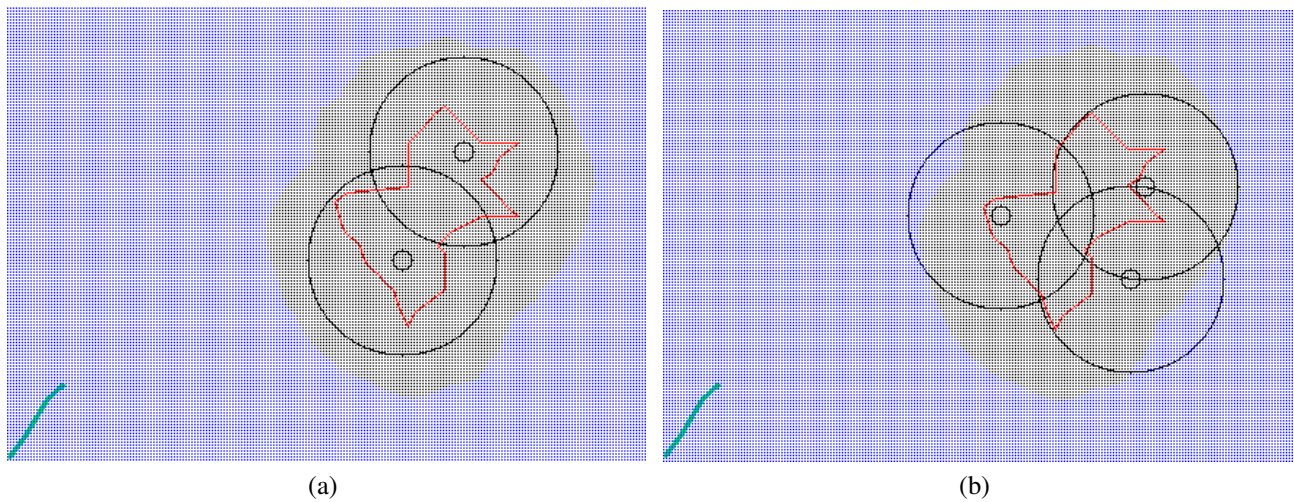


Figure 4.14: The number of 2D-robots required to cover the whole 2D-surface using Hybrid Algorithm.

hybrid Optimization Algorithm is the best optimization algorithm and this algorithm will be extended to 3D robots with 3D surfaces in the following section.

#### 4.4.1.2 3D test

Our hybrid optimization algorithm is assessed on standard surfaces, e.g. a cylinder and a hemisphere, as well as on a complex surface, e.g. a car using KUKA Light Weight Robots (LWRs) having 7 degrees of freedom. Furthermore, the hybrid optimization algorithm is compared to Greedy algorithm. It should be compared to Simulated Annealing Greedy algorithm, and to Genetic Greedy algorithm.

This comparison is one of our future works. The comparison with Greedy algorithm is considered since it is the basic algorithm to find the optimal number of robots to cover a whole surface. The inputs of both optimization algorithms are the different surface models  $S$  and the favourite robot poses  $\mathbb{F}$ .

In this application, we consider a spherical workspace with a radius of 1 around the base of the robot, e.g. the discretization along  $\theta$  is not necessary. Other types of workspaces will be tested later on. Hence, the reachable part of the surface from a given position is considered as the intersection between the spherical workspace and the surface to be covered.

A 3D discretization is considered in this example. The discretization step is set to 0.1 during the pre-processing step. This step is chosen since it is almost 10% of the highest point in the KUKA workspace (1.1785m). Each discretized point avoiding collision with the surface and allowing to reach more than  $t = 15\%$  of the surface is considered as a favourite robot pose. We found 398 favourite robot poses for the cylinder, 375 for the sphere and 397 for the action car represented as the red spheres in Figure 4.15. For sake of simplicity, we used the point cloud to compute the percentage

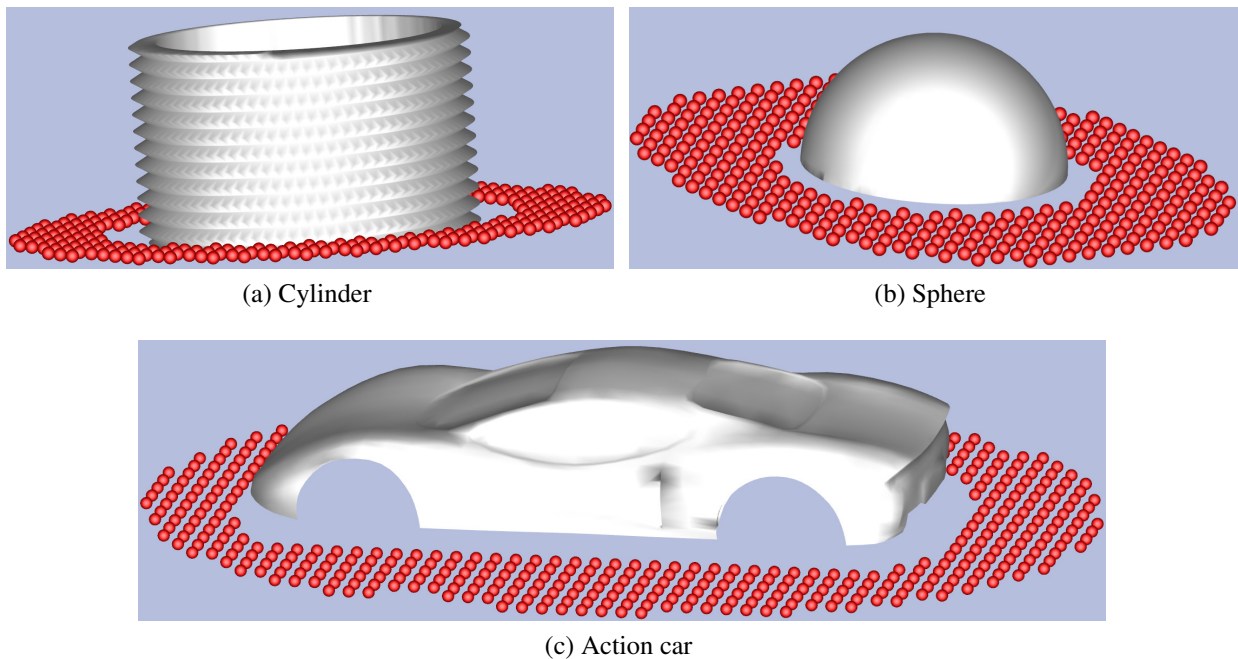


Figure 4.15: Surfaces to be covered by robots with the favorite base position (red spheres)

of the covered surface. In global, we consider a convex feasible set, hence if the three points of a triangle are reachable, this triangle is supposed reachable. Those triangles are inside the reachable set



of the surface. Each reachable point of the point cloud is represented by a red point on the surface as it is clear in Figures 4.16 and 4.17. Greedy algorithm asserts that 6 robots are needed to totally cover

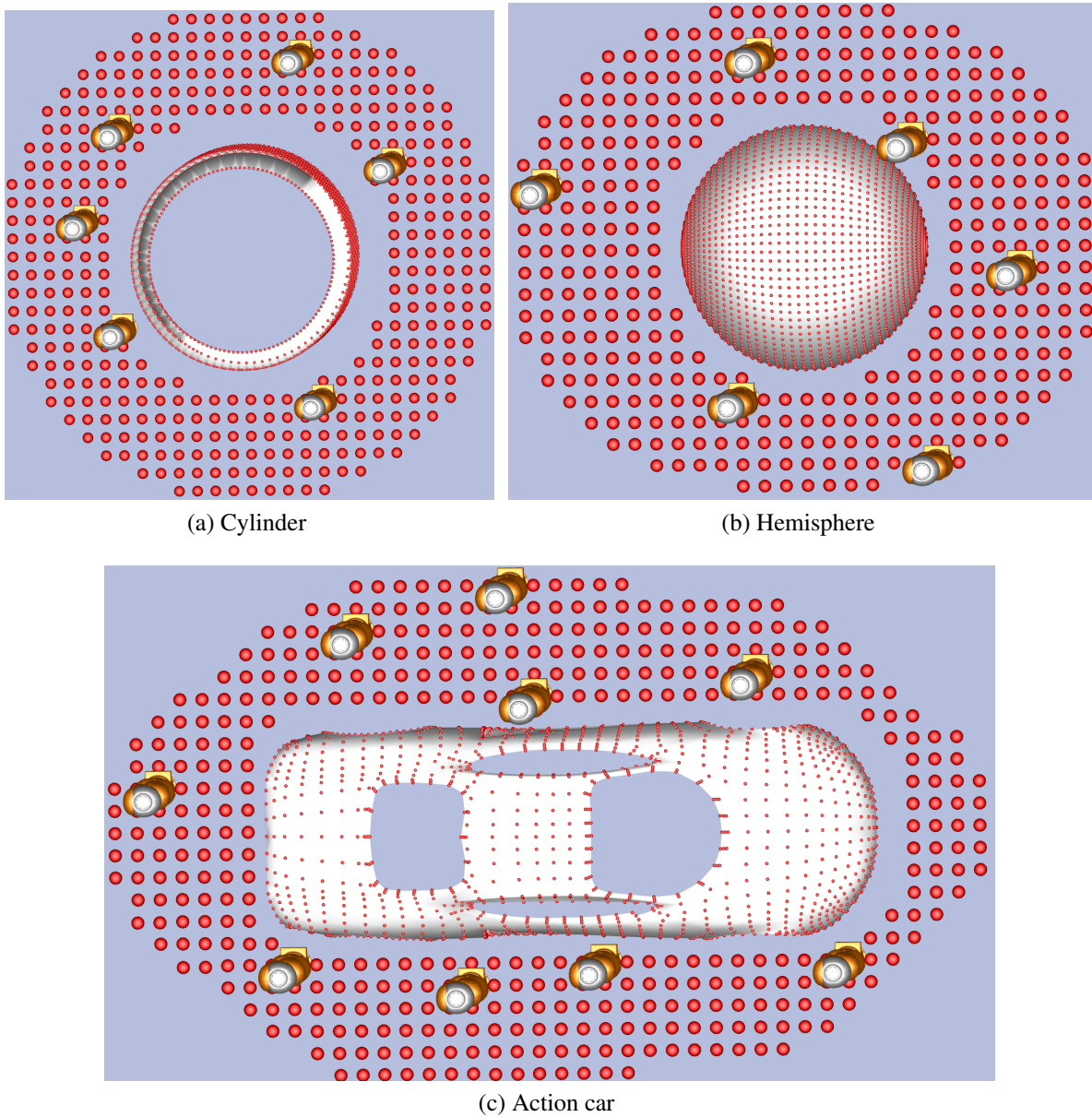


Figure 4.16: Optimal base placements of different surfaces (greedy algorithm)

the cylinder (Figure 4.16a), 6 poses to totally cover the hemisphere (Figure 4.16b), and 9 robots to cover the whole action car (Figure 4.16c). However, by applying our hybrid optimization algorithm, we find that 5 robots are sufficient to totally cover the cylinder (Figure 4.17a), 2 robots to cover the whole hemisphere (Figure 4.17b) and 6 robots are enough to totally cover the action car as shown in Figure 4.17c. We did 15 tests to evaluate the behaviour of both algorithms.

### Comparison

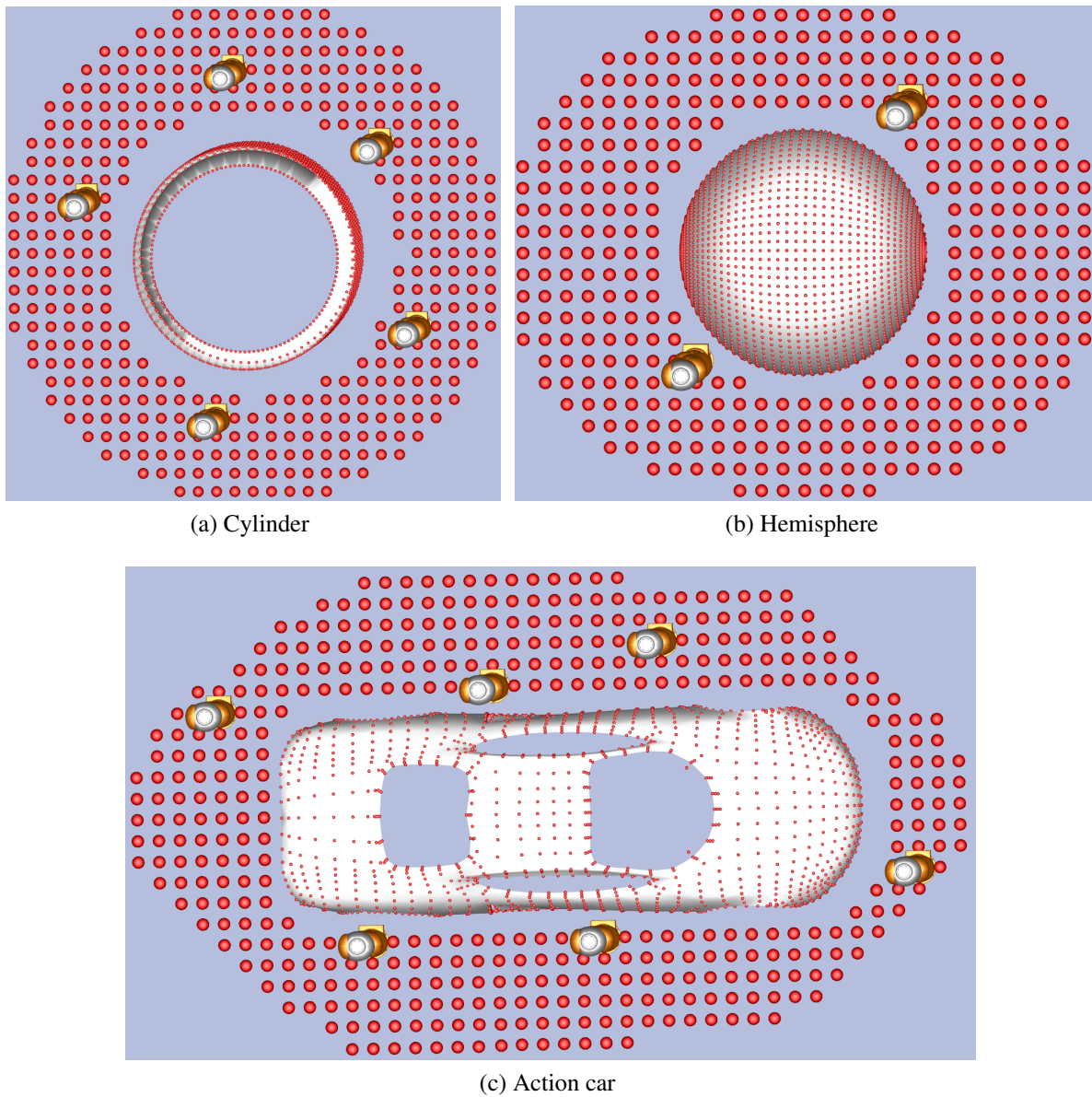


Figure 4.17: Optimal base placements of different surfaces (hybrid optimization)

As shown in Figures 4.16 and 4.17, the hybrid optimization algorithm gives less number of robots needed to cover the whole surface. It is clear that the distribution of robot poses around the surface is more homogeneous when we use our hybrid optimization algorithm. This point could be an advantage if the number of robots composing the multiple robotic system is lower than the optimal number of robots required to cover the surface. Furthermore, the more the distribution of robot poses is homogeneous around the surface the more the cycle time is reduced in the most cases, and the less the collision is between robots. That is because the distance between any two adjacent robot poses is almost equal: the time required to move the robots of the multi-robot system from one pose to the adjacent pose is optimized. To assess the behaviour of the proposed algorithm, we ran the code several

times for each surface type. Figures 4.18, 4.19, and 4.20 compare both optimization algorithms by showing the average of the optimal number of robots (blue columns) and the margin of this number (red line) required to totally cover the cylinder, the sphere and the action car respectively. We can notice that for all surface types, the average of the optimal number of robots obtained using the proposed optimization algorithm is lower than the average of the optimal number of robots found using the greedy algorithm. Additionally, the margin of the optimal number of robots obtained using the hybrid optimization algorithm is tighter than the margin computed using the greedy algorithm. Furthermore, we can deduce that the proposed optimization algorithm is closer to the global optimal solutions for all surface types.

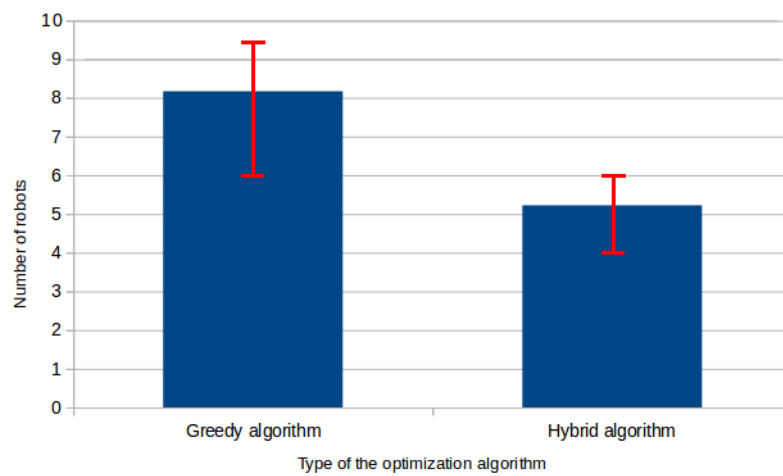


Figure 4.18: The number of robots required to cover the whole cylinder using greedy algorithm and the proposed hybrid optimization algorithm.

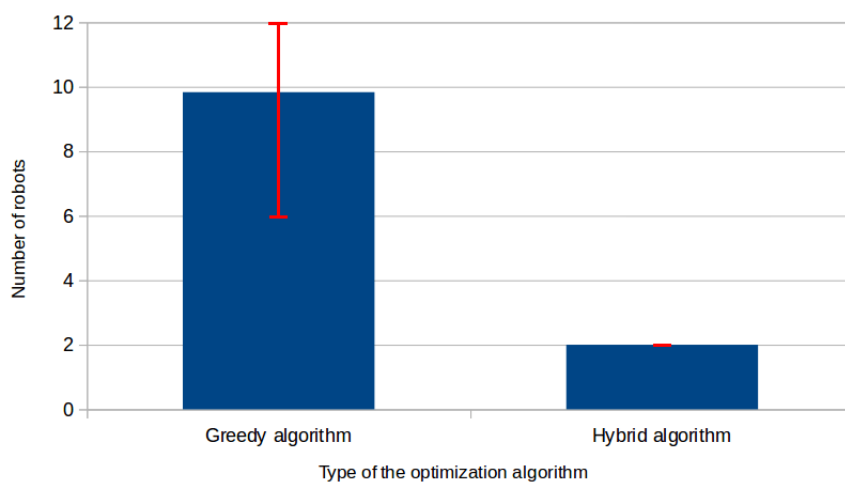


Figure 4.19: The number of robots required to cover the whole sphere using greedy algorithm and the proposed hybrid optimization algorithm.

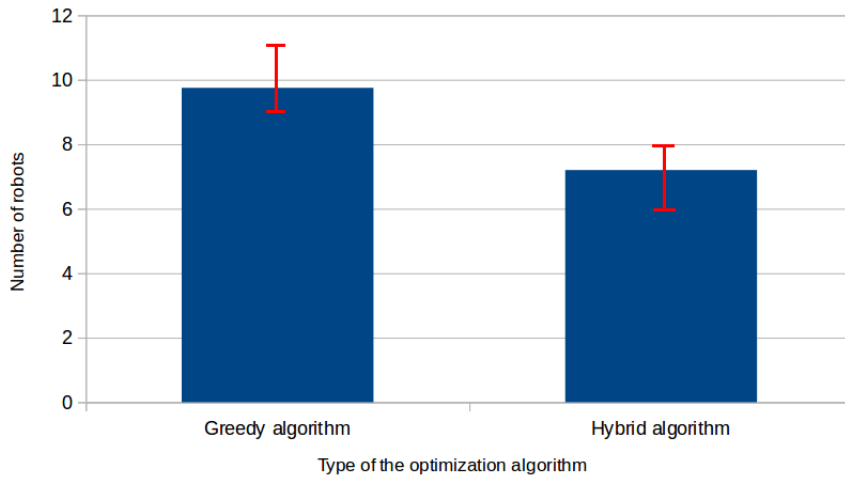


Figure 4.20: The number of robots required to cover the whole action car using greedy algorithm and the proposed hybrid optimization algorithm.

#### 4.4.2 Improved hybrid optimization algorithm

Surface \ Workspace	Workspace		
	Spherical	Semi-spherical	Elliptical
Cylinder	416	416	166
Hemisphere	580	580	270
Car	692	692	307

Table 4.3: 3D discretization: the number of favourite robot poses for a cylinder, a hemisphere and a car using different types of workspaces

Surface \ Workspace	Workspace		
	Spherical	Semi-spherical	Elliptical
Cylinder	3414	3414	1378
Hemisphere	2750	2750	785
Car	4906	4906	1960

Table 4.4: 4D discretization: the number of favourite robot poses for a cylinder, a hemisphere and a car using different types of workspaces

In this part, we considered the same surfaces and the same robots already used in Section 4.4.1: a cylinder, a hemisphere, a car and a KUKA Light Weight Robot (LWRs). However, we used three different types of robot's workspace: a spherical, a semi-spherical and an elliptical workspace. In addition, we test the hybrid optimization algorithm and the improved hybrid optimization algorithm using 3D and 4D discretizations for each surface type. The discretization step is 0.1 for 3D and 4D discretizations. The discretization of  $\theta$  is not considered when the robot's workspace is a sphere. A discretized point is considered a favourite robot pose if it avoids collision with the surface and allows

to reach more than  $t = 15\%$  of the cylinder,  $t = 9\%$  of the sphere and  $t = 9\%$  of the car respectively. The threshold is chosen depending on the mesh refinement: finer is the mesh, smaller is the threshold. Tables 4.3 and 4.4 show the number of favourite robot poses for a cylinder, a hemisphere and a car using a spherical, a semi-spherical and an elliptical workspaces for each type of surfaces using 3D and 4D discretizations respectively. It is clear that the number of favourite poses using a spherical and a semi-spherical workspace for all the surface types is the same: it is a proof that the  $\theta$  discretization works perfectly.

Our algorithms were programmed using the C++ language and executed on the following hardware and software: CPU Intel(R) Xeon(R) E5-2670, 6.4 GHz, Cache 8 Mo: CentOS Linux release 7.5.1804 (Core) 64 bits. This hardware is a cluster and I would like to thanks Mésocentre Clermont Auvergne, the owner of this cluster, for their support. Before presenting the results for 3D and 4D discretizations, we should know that the cluster gives 24 hours to solve an execution code. If the 24 hours finish, the execution will be interrupted and we will satisfy with the achieved results.

#### 4.4.2.1 3D discretization

We launch 100 times the hybrid and the improved hybrid optimization algorithms on the cylinder, the hemisphere and the car using three types of workspace for each surface: the elliptical, the semi-spherical and the spherical workspaces. The goal of both algorithms is to find the minimum number of robots with their optimal base placements required to cover the whole surface using the correspondent workspace.

Hence, we present using pie charts the percentage of the minimum number of robots for each case. Moreover, the results for each surface using the different kind of workspaces and the two versions of the algorithms are shown in Figure 4.21, 4.22 and 4.23. The pie charts on the left column present the results for the hybrid optimization algorithm, and the results for the improved hybrid optimization algorithm are shown in the right column.

After the interpretation of Figure 4.21, 4.22 and 4.23, we can confirm that the improved hybrid optimization algorithm gives better results, and even the number of possible solutions is less than the

possible solutions given by the hybrid optimization function: the standard deviation of the optimal number of robots found using the improved hybrid optimization algorithm is less than the standard deviation of the optimal number of robots found using the hybrid optimization algorithm. One case is an exceptional case where the standard deviation of the optimal number of robots given by the improved optimization algorithm is greater than the standard deviation of the optimal number of robots given by the hybrid optimization algorithm: it is the case when the surface is a car and the workspace is a semi-spherical shape (Figure 4.23b and 4.23c). However, even if the standard deviation is greater, the minimum number of robots is closer to the optimal solutions: 7, 8 and 9 robots could be found using the improved hybrid optimization algorithm but 9 and 12 robots are found using the hybrid optimization algorithm.

Besides, it is clear that the improved hybrid optimization algorithm is slower than the hybrid optimization algorithm in terms of computation times for any surface types and any workspaces as it is shown in Figure 4.28. However, the standard deviation of the computation time taken by the improved hybrid optimization algorithm is smaller than the standard deviation of the computation time taken by the hybrid optimization algorithm (see Table 4.5).

Algorithm	Workspace Type			
	Surface	elliptical	semi-spherical	spherical
Hybrid	cylinder	2310.326	1373.818	1095.508
	hemisphere	903.843	26.401	0.052
	car	15815.768	6487.729	1554.951
Hybrid Improved	cylinder	56.841	1408.119	527.98
	hemisphere	448.421	3.935	0.898
	car	—	2814.569	736.044

Table 4.5: 3D discretization: the standard deviation of the computation time (in seconds) of Hybrid optimization algorithm and Improved Hybrid optimization algorithm applied on different type of surfaces using different type of workspace

#### 4.4.2.2 4D discretization

In the 4D discretization, we proceed with same strategy of 3D discretization. However, the number of possible solutions which are the number of favourite robot poses is higher in this case. In addition, we test the algorithm using different shapes of workspace: the spherical workspace which covers a huge

part of the surface, the semi-spherical workspace which covers a normal part of the surface and the elliptical workspace which covers a small part of the surface. Though, we can say that this methodology gives better evaluation of the hybrid and the improved hybrid optimization algorithms. We launch 100 times both optimization algorithms on the cylinder, the hemisphere and the car using three types of workspace for each surface: the elliptical, the semi-spherical and the spherical workspaces.

Moreover, we present using pie charts the percentage of the minimum number of robots for each simple case. Those results are shown in Figure 4.25, 4.26 and 4.27.

Based on Figure 4.25, 4.26 and 4.27, we can say that in all cases the improved hybrid optimization algorithm gives results that are more optimal than the results of the hybrid optimization algorithm with a smaller standard deviation. Concerning the computation time, it is the same situation as we have in 3D discretization methodology: the improved hybrid optimization algorithm is slower than the hybrid optimization algorithm (see Figure 4.28). However, the standard deviation of computation time for the improved hybrid optimization algorithm is smaller than the computation time for the hybrid optimization algorithm as it is detailed in Table 4.6. Comparing the results of 3D discretization and 4D discretization, we can say that the improved hybrid optimization algorithm is more suitable than the hybrid optimization algorithm when the number of possible solutions increases. Concerning the computation time, this calculation should be accomplished off-line, and one calculation is enough for each surface with a given workspace. Hence, the execution time of the improved hybrid optimization algorithm can not be considered as a disadvantage in all cases, because the algorithm could find a solution in a reasonable time.

Algorithm	Workspace Type			
	Surface	elliptical	semi-spherical	spherical
Hybrid	cylinder	3838.177	328.131	388.146
	hemisphere	1060.099	271.394	59.809
	car	5035.356	2240.024	1078.814
Hybrid Improved	cylinder	1795.463	55.039	177.869
	hemisphere	69.915	184.286	11.002
	car	—	576.028	328.026

Table 4.6: 4D discretization: the standard deviation of the results of Hybrid optimization algorithm and Improved Hybrid optimization algorithm applied on different type of surfaces using different type of workspace

### 4.4.3 Application on the airplane

The hybrid optimization algorithm is tested on an airplane using a KUKA manipulator. This airplane is shown in Figure 4.29, its length is 14 m, its wingspan is 10 m and its height is 9 m. We chose a 3D discretization (discretization along  $x$ ,  $y$  and  $z$  axes): the discretization is not required in this application since the workspace is spherical. The discretization step  $s = 0.4$  m along  $x$ ,  $y$  and  $z$  axes. A discretized pose is considered favourite if its coverage score is greater than  $t = 0.09\%$ . Using the hybrid optimization algorithm, we find that 9 robots are required to cover 60% of this airplane as it is clear in Figure 4.30. The computation time is almost 3 days. We can see that there are some robot positions where the surface is considered reachable but it does not. Those robot positions are the ones having black circles on the top of the robots. This problem is normal since we are doing the intersection between the robot workspace and the surface. It will be solved when the constraint projection on the surface is applied instead of doing the intersection process (the collision constraint between the robot body and the surface should be considered).

In order to evaluate the algorithm and to reduce the computation time, we test it on an airplane where the length and the wingspan are 4 m and the height is 4 m. We compute the favourite robot base placements of this airplane without the wings. In this application, we considered the same values of parameters as in the previous test (3D discretization where the discretization step  $s = 0.4$  m along  $x$ ,  $y$  and  $z$  axes). Using the hybrid optimization algorithm, we find that 6 robots are required to cover 80.6% of the airplane as it is clear in Figure 4.31.

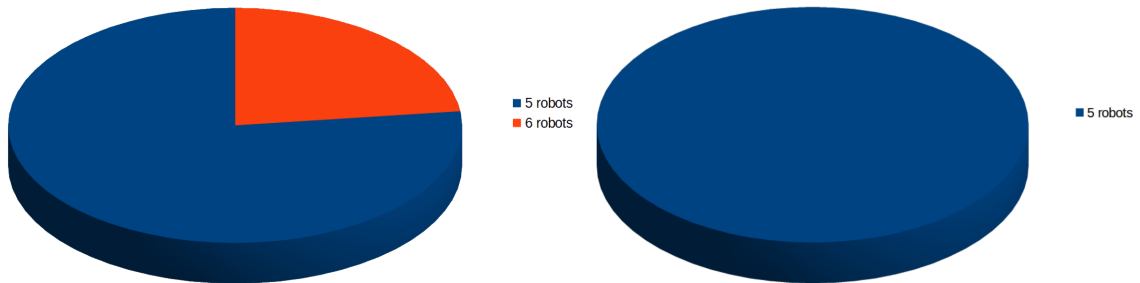
## 4.5 Conclusion

In this chapter, a new approach to distribute tasks between robots of multi-robot systems has been presented. It aims to find the optimal number of robots and their optimal poses required to cover large and complex surfaces. An hybrid optimization algorithm is proposed, it combines three optimization algorithms: greedy, genetic, and simulated annealing. It has been proved that this method returns the number of robots required to equally cover the surface. After that, we proposed an improved hybrid



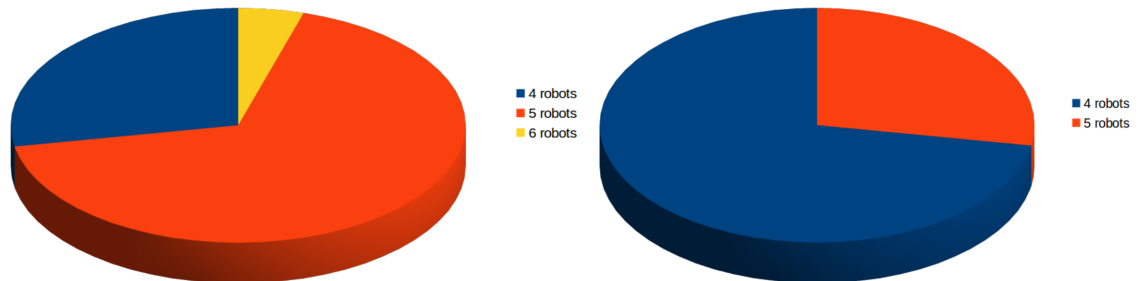
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optimization algorithm that deals with more complicated problems where the number of possible solutions is very huge. Those algorithms have been assessed on regular surfaces (hemisphere, cylinder) and on complex surfaces (car, airplane).



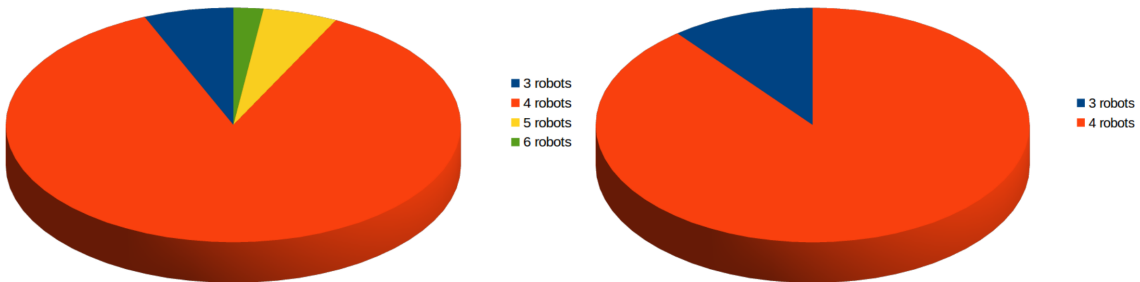
(a) Results of Hybrid optimization algorithm in order to cover a cylinder using a robot having an elliptical workspace

(b) Results of Improved Hybrid optimization algorithm in order to cover a cylinder using a robot having an elliptical workspace



(c) Results of Hybrid optimization algorithm in order to cover a cylinder using a robot having a semispherical workspace

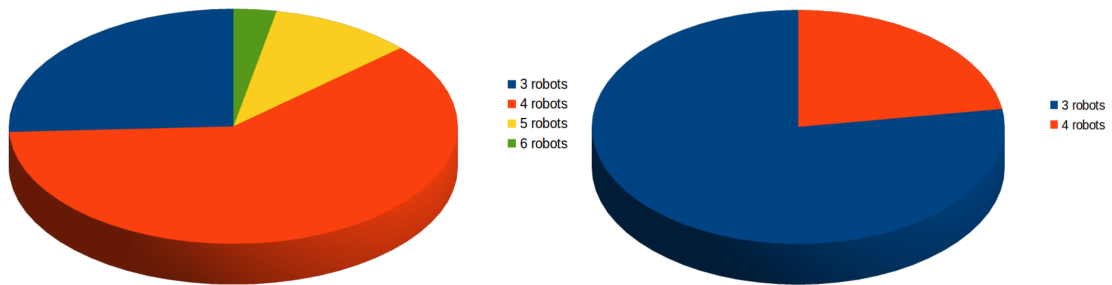
(d) Results of Improved Hybrid optimization algorithm in order to cover a cylinder using a robot having a semispherical workspace



(e) Results of Hybrid optimization algorithm in order to cover a cylinder using a robot having a spherical workspace

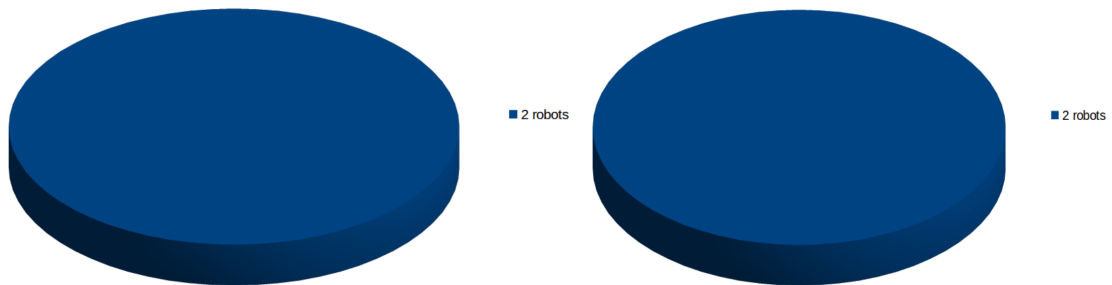
(f) Results of Improved Hybrid optimization algorithm in order to cover a cylinder using a robot having a spherical workspace

Figure 4.21: 3D discretization: comparison of the results of Hybrid optimization algorithm and Improved Hybrid algorithm on a cylinder using the different workspaces



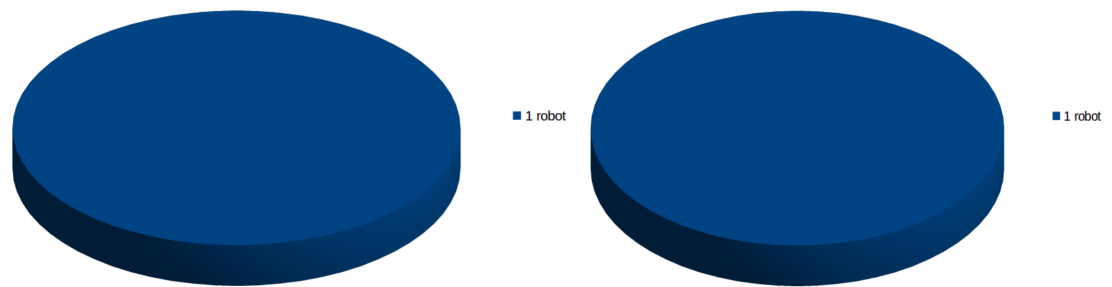
(a) Results of Hybrid optimization algorithm in order to cover a hemisphere using a robot having an elliptical workspace

(b) Results of Improved Hybrid optimization algorithm in order to cover a hemisphere using a robot having an elliptical workspace



(c) Results of Hybrid optimization algorithm in order to cover a hemisphere using a robot having a semispherical workspace

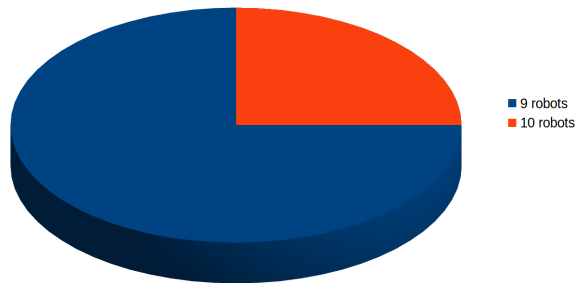
(d) Results of Improved Hybrid optimization algorithm in order to cover a hemisphere using a robot having a semispherical workspace



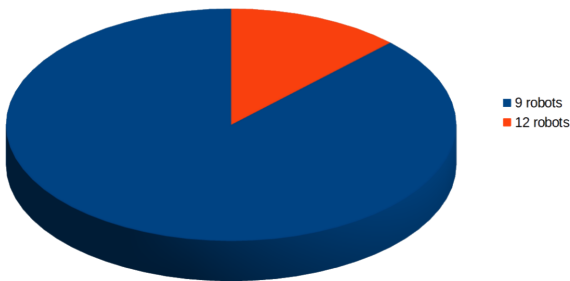
(e) Results of Hybrid optimization algorithm in order to cover a hemisphere using a robot having a spherical workspace

(f) Results of Improved Hybrid optimization algorithm in order to cover a hemisphere using a robot having a spherical workspace

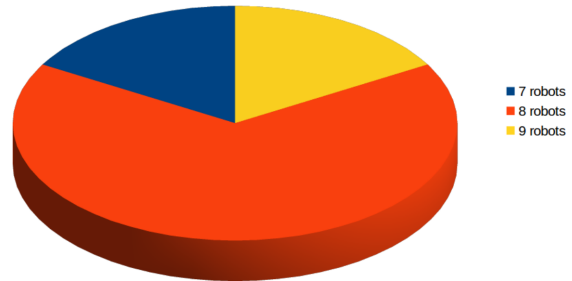
Figure 4.22: 3D discretization: comparison of the results of the Hybrid optimization algorithm and Improved Hybrid optimization algorithm on a hemisphere using the different workspaces



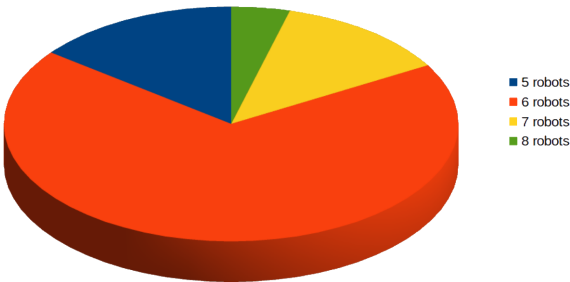
(a) Results of Hybrid optimization algorithm in order to cover a car using a robot having a elliptical workspace



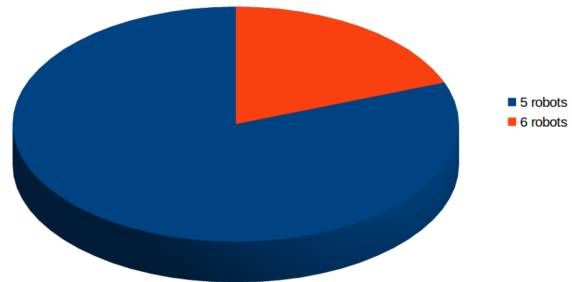
(b) Results of Hybrid optimization algorithm in order to cover a car using a robot having a semispherical workspace



(c) Results of Improved Hybrid optimization algorithm in order to cover a car using a robot having a semispherical workspace

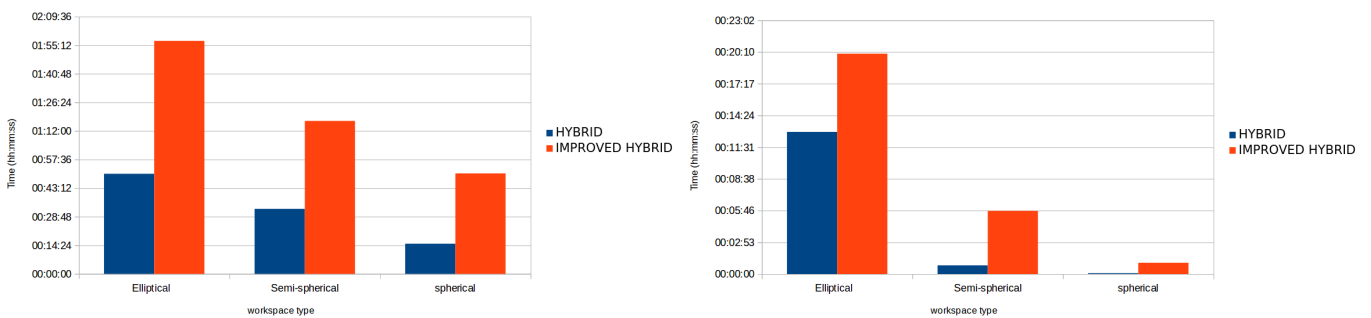


(d) Results of Hybrid optimization algorithm in order to cover a car using a robot having a spherical workspace



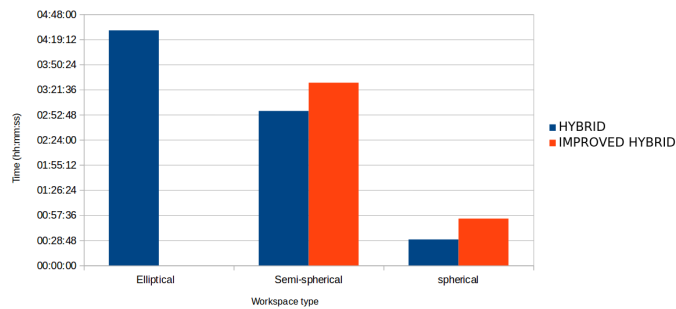
(e) Results of Improved Hybrid optimization algorithm in order to cover a car using a robot having a spherical workspace

Figure 4.23: 3D discretization: comparison of the results of Hybrid optimization algorithm and Improved Hybrid optimization algorithm on a car using the different workspaces



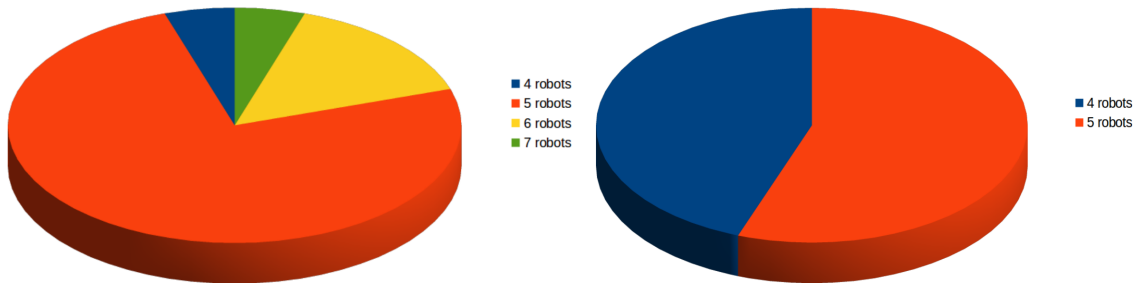
(a) Time required to find the optimal number of robots required to cover a cylinder using different type of workspaces

(b) Time required to find the optimal number of robots required to cover a hemisphere using different type of workspaces



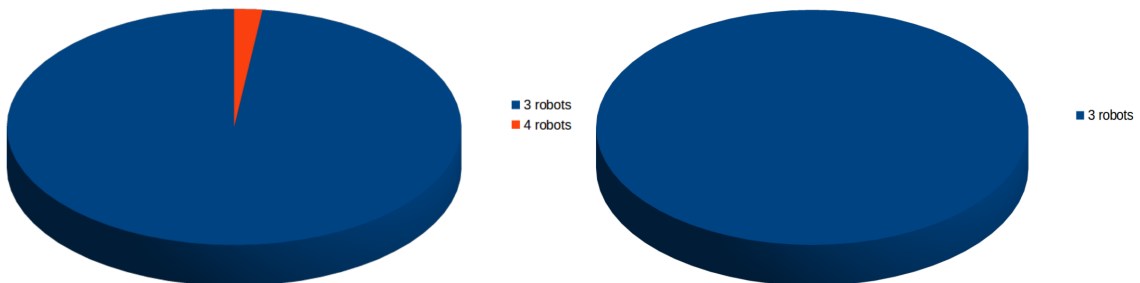
(c) Time required to find the optimal number of robots required to cover a car using different type of workspaces

Figure 4.24: 3D discretization: comparison between the execution time of Hybrid optimization algorithm and Improved Hybrid optimization algorithm



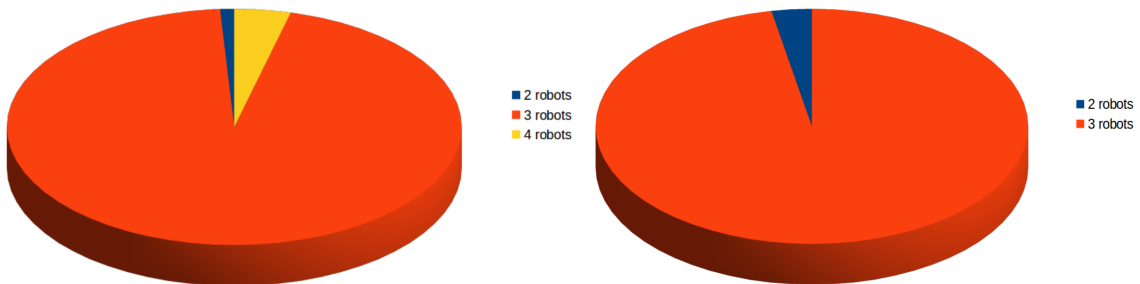
(a) Results of Hybrid optimization algorithm in order to cover a cylinder using a robot having an elliptical workspace

(b) Results of Improved Hybrid optimization algorithm in order to cover a cylinder using a robot having an elliptical workspace



(c) Results of Hybrid optimization algorithm in order to cover a cylinder using a robot having a semispherical workspace

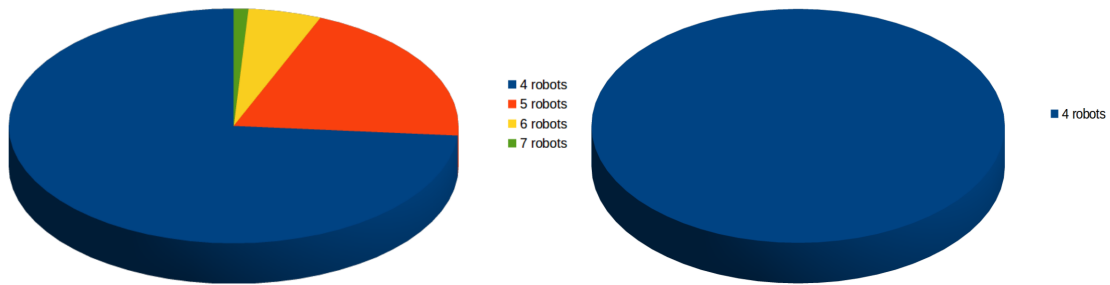
(d) Results of Improved Hybrid optimization algorithm in order to cover a cylinder using a robot having a semispherical workspace



(e) Results of Hybrid optimization algorithm in order to cover a cylinder using a robot having a spherical workspace

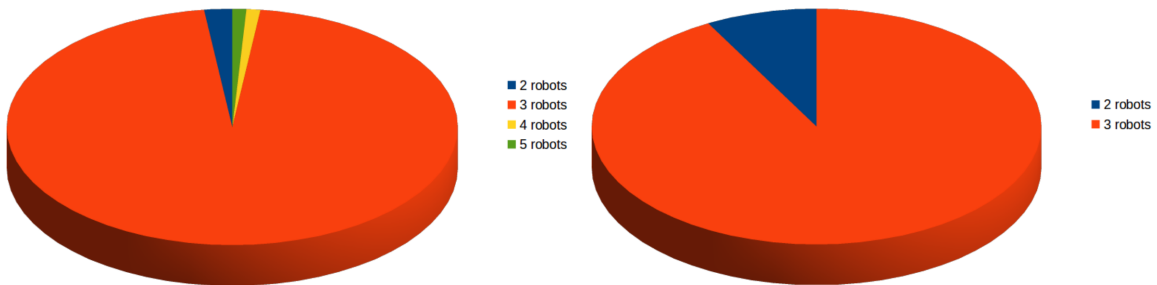
(f) Results of Improved Hybrid optimization algorithm in order to cover a cylinder using a robot having a spherical workspace

Figure 4.25: 4D discretization: comparison of the results of Hybrid optimization algorithm and Improved Hybrid optimization algorithm on a cylinder using the different workspaces



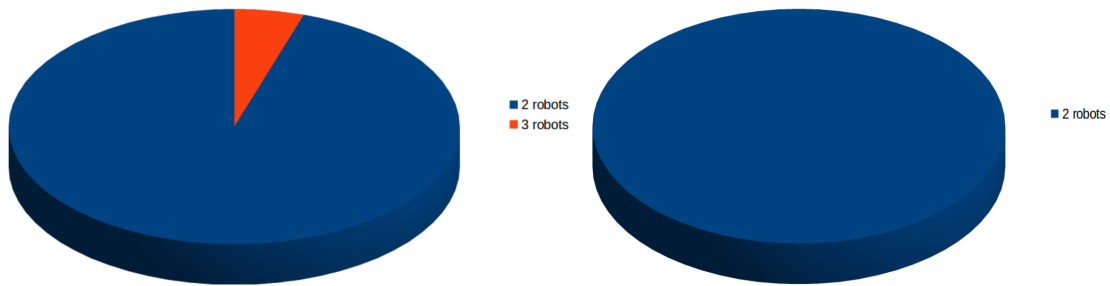
(a) Results of Hybrid optimization algorithm in order to cover a hemisphere using a robot having an elliptical workspace

(b) Results of Improved Hybrid optimization algorithm in order to cover a hemisphere using a robot having an elliptical workspace



(c) Results of Hybrid optimization algorithm in order to cover a hemisphere using a robot having a semispherical workspace

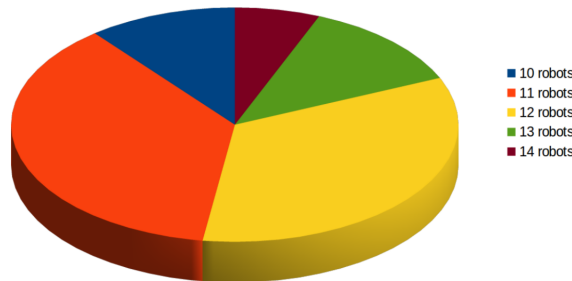
(d) Results of Improved Hybrid optimization algorithm in order to cover a hemisphere using a robot having a semispherical workspace



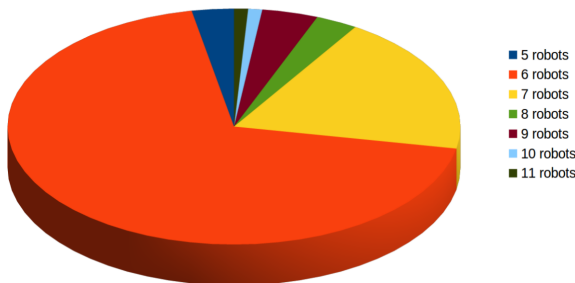
(e) Results of Hybrid optimization algorithm in order to cover a hemisphere using a robot having a spherical workspace

(f) Results of Improved Hybrid optimization algorithm in order to cover a hemisphere using a robot having a spherical workspace

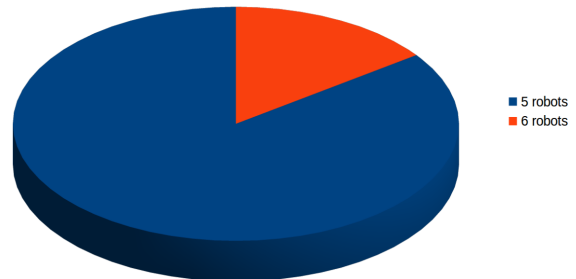
Figure 4.26: 4D discretization: comparison of the results of Hybrid optimization algorithm and Improved Hybrid optimization algorithm on a hemisphere using the different workspaces



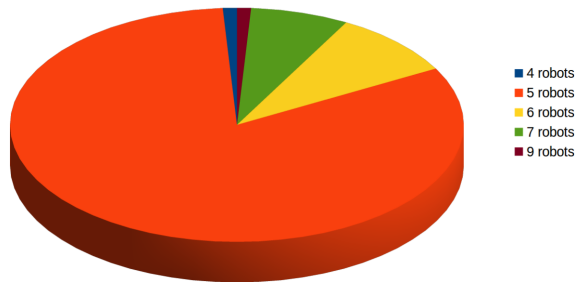
(a) Results of Hybrid optimization algorithm in order to cover a car using a robot having an elliptical workspace



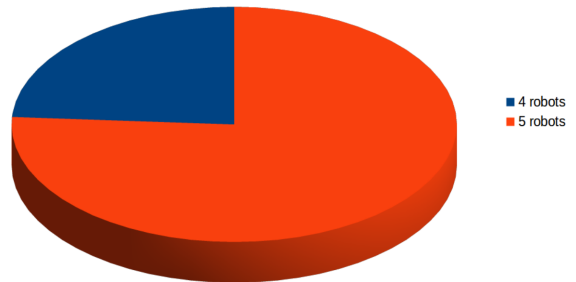
(b) Results of Hybrid optimization algorithm in order to cover a car using a robot having a semispherical workspace



(c) Results of Improved Hybrid optimization algorithm in order to cover a car using a robot having a semispherical workspace



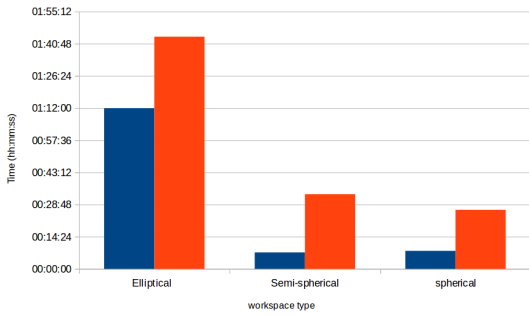
(d) Results of Hybrid optimization algorithm in order to cover a car using a robot having a spherical workspace



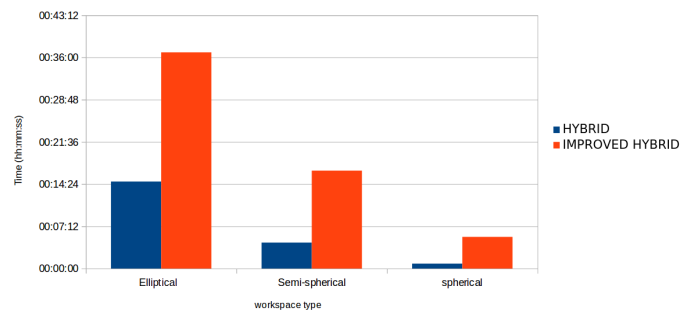
(e) Results of Improved Hybrid optimization algorithm in order to cover a car using a robot having a spherical workspace

Figure 4.27: 4D discretization: comparison of the results of Hybrid optimization algorithm and Improved Hybrid optimization algorithm on a car using the different workspaces

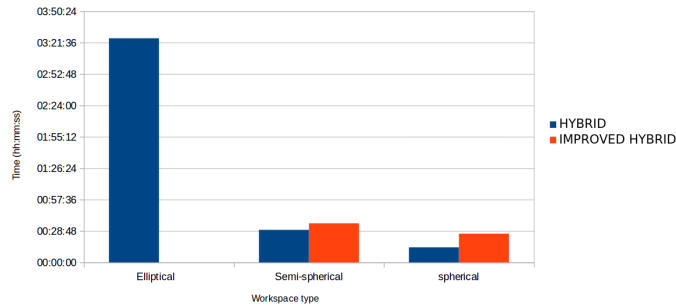




(a) Time required to find the optimal number of robots required to cover a cylinder using different type of workspaces

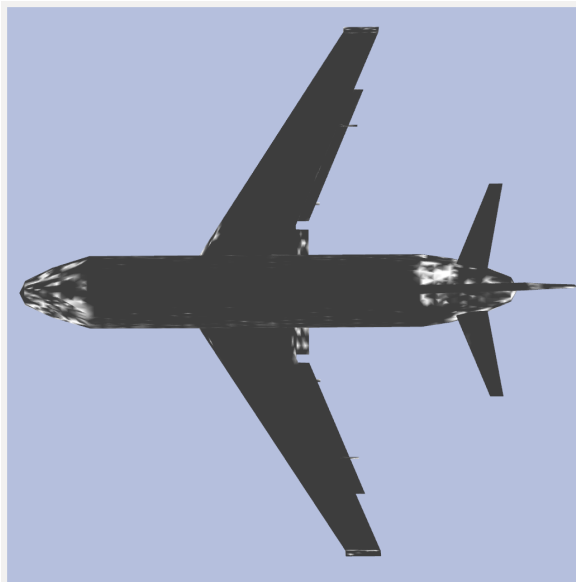


(b) Time required to find the optimal number of robots required to cover a hemisphere using different type of workspaces

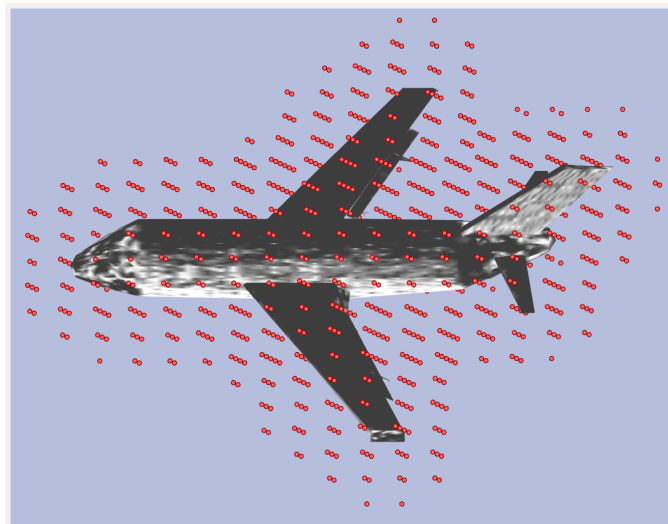


(c) Time required to find the optimal number of robots required to cover a car using different type of workspaces

Figure 4.28: 4D discretization: comparison between the execution time of Hybrid optimization algorithm and Improved Hybrid optimization algorithm



(a) The air-plane model



(b) The air-plane model with the set of favourite robot base placements

Figure 4.29: The representation of the air-plane with the set of favourite robot base placements (red circle = favourite robot base placement)

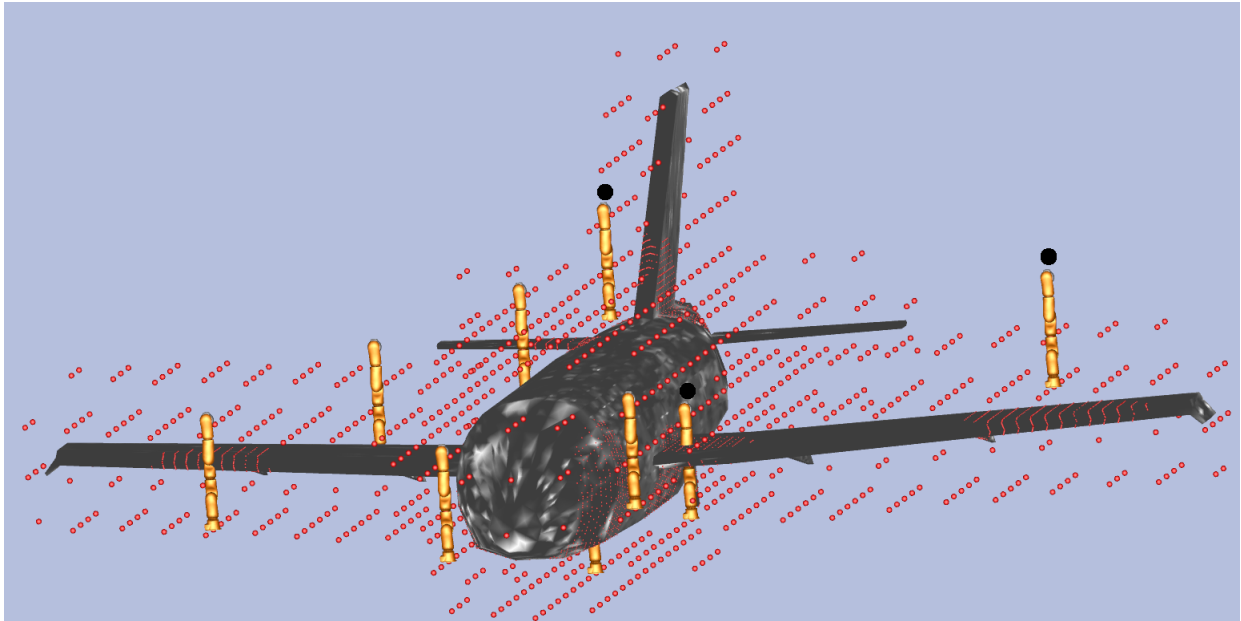
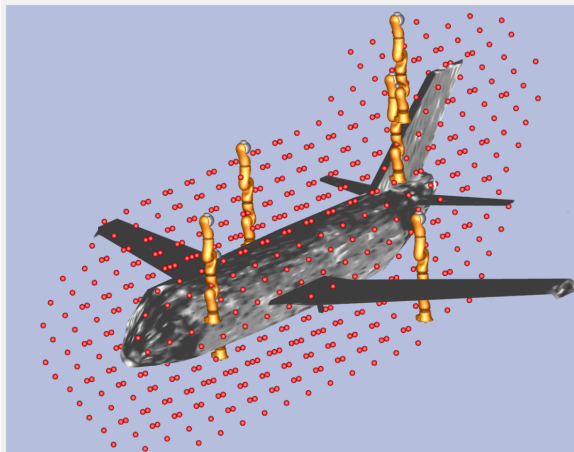
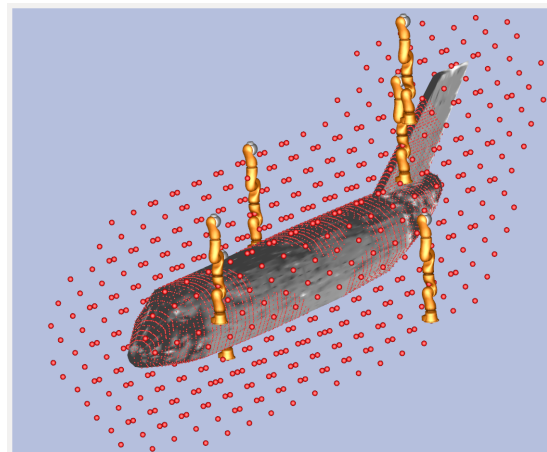


Figure 4.30: Optimal base placements to cover airplane using the hybrid optimization algorithm



(a) The optimal robot base placements



(b) The covered surface of the airplane (without the wings) from the optimal base placements

Figure 4.31: The results of the hybrid optimization algorithm on the airplane (the surface should be at least 80% covered without the wings)

# Chapter 5

## Conclusion

### 5.1 Summary of Thesis Achievements

The automation is now prominent in different fields. In this thesis, we dealt with the automation in coverage tasks, and more precisely in stripping procedures. The considered application consists in stripping complex surfaces using a multiple-robotic system composed of mobile platforms: cranes. The stripping tool is connected to the crane via an industrial robot manipulator. The mobile platform is moved manually, and the stripping process is stopped during the robot movement. The goal of this PhD is to optimize the poses of the whole robotic systems in order to optimally strip the whole surface. The optimal robot poses must take into account the physical limits of the robotic systems such as joint position and torque limits, collision and self-collision avoidance.

Our first contribution is the proposition of a general framework to estimate the optimal number of robots and the related optimal base placement. The general framework has the object mesh to be covered, and the robot model as inputs and generates the optimal number of robots with their optimal base placements and the trajectories of each robot of the multiple robotic system between those poses as outputs. The general framework consists in starting by a pre-processing step that provides a set of discrete favourite base placements. Those base placements are the set of poses that do not collide with the object mesh, and that cover at least  $t\%$  of the object. After that, this set of favourite base placements is used as input of the optimization algorithm in order to find the optimal number of robots

$\tilde{N}$  and their optimal base placements  $\tilde{\mathbb{T}}$ . After that, the trajectories of the end-effector on the object from each optimal pose are computed. Finally, a distribution of the robots that composes the multiple robotic system is treated by doing robots scheduling and assignments. Though, the movement of the robots between their assigned positions is controlled.

The second contribution is related to pessimism in Interval Analysis. We propose to use some properties of the BSplines and the Kronecker product to reduce the pessimism induced during the evaluation of mathematical functions in Interval Analysis. We prove that our contribution deals with more complex optimization problems and decreases the computation time. Interval Analysis is used to compute the set of joint angles that allows a robot to reach a surface from a given position respecting a set of constraints.

The third contribution is the proposed hybrid optimization algorithm. The main goal of this optimization algorithm is to find the optimal number of robots with their optimal poses required to cover an entire surface. An hybrid optimization algorithm is proposed, it combines the three optimization algorithms: greedy, genetic, and simulated annealing. We proved that our hybrid optimization algorithm is more efficient than Greedy optimization algorithm. In addition, we demonstrate that our hybrid optimization algorithm gives better results in terms of robustness but it requires a little bit more time. After that, we proposed an improved hybrid optimization function that is more robust when the problem gets more complicated. However, the improved hybrid optimization function is slower than the hybrid optimization function.

## 5.2 Future Works

Despite the huge effort on the thesis, I still have interesting works to accomplish, and other techniques to test. Though, we count our future works.

- The bisection during solving CSPs or COPs using the proposed inclusion function could be improved: the control points information could be used in order to guide the bisection process in an effective way.

- The use of multi-threading will also be investigated to decrease the computation time during the evaluation of the bounds of a given function using the improved proposed inclusion function.
- The proposed inclusion function will be tested on more complex optimization problems such as robotic motion optimization. It should be also tested on 3D robots taking into account additional constraints such as kinematic constraints, collision and self-collision avoidance, singularity avoidance or more dynamic constraints such balance or torque limits.
- The generated workspace using IA method should be used as an input for the hybrid optimization algorithm instead of testing it on regular workspace (spherical, semi-spherical, and elliptical shapes).
- The system could have different types of robots, so different robot workspace in the same stripping process: this variety of robots should be considered in the program.
- The improved hybrid optimization algorithm could reach better results by changing the technique of children generation. The defined angle based on the number of robots should not be the only criteria to define the border of a zone, but the number of favourite positions in each zone should be considered during the zone generation.
- A new technique should be tested by considering that we can assign an "area" on the ground plane instead of a "single position" to each mobile manipulator to operate around an object. Here the analogy is again with human operators, who can move and cover an area (I am thinking about the example of having several human operators stripping a big air-plane, and how these human operators would behave to do the task. One could assign an "area" to each operator). You can try to optimize this "area" according to some cost function. Or maybe simply exploit the fact that the optimization becomes much less expensive computationally (instead of dealing with many positions of the bases, we would be working only with a few "areas"). This could be an interesting fact in itself: it allows to take into account the uncertainty.
- We can also use Interval Analysis in order to take into account the uncertainty of base placement: instead of getting a specific value for a base placement, we will get a box.
- In case the number of robotic systems is limited (the number of optimal robot poses is greater than the number of robots of the multiple robotic system), the robots will be redistributed based on new optimal path planner that considers the different systems constraints. This step is the

solution of the particular problems presented in Chapter 1. We propose to use the Hungarian algorithm to solve those assignment problems.

- We can assume the planning of the end effector motion from a given position of the mobile base can be done with an existing method (CPP, or methods for solving the "lawn-mower problem"...) so we can maybe focus on the base placements and not the end effector-trajectory planning.
- The goal of this thesis was to find the optimal number of robot positions required to cover a surface. The algorithm used to attend this goal should be adapted to find the optimal positions of crane around the surface to be covered.

# Appendix A

## Kronecker product

### A.1 Definition

The Kronecker product was firstly studied in the nineteenth century [111]. The Kronecker product of two matrices  $A \in \mathbb{R}^{n_1, m_1}$  and  $B \in \mathbb{R}^{n_2, m_2}$  is the matrix  $A \otimes B \in \mathbb{R}^{n_1 \times n_2, m_1 \times m_2}$  defined as follows:

$$A = \begin{pmatrix} a_{1,1} & \dots & a_{1,n_1} \\ \vdots & \vdots & \vdots \\ a_{m_1,1} & \dots & a_{m_1,n_1} \end{pmatrix} \quad A \otimes B = \begin{pmatrix} a_{1,1} \times B & a_{1,2} \times B & \dots & a_{1,n_1} \times B \\ a_{2,1} \times B & a_{2,2} \times B & \dots & a_{2,n_1} \times B \\ \vdots & \vdots & \vdots & \vdots \\ a_{m_1,1} \times B & a_{m_1,2} \times B & \dots & a_{m_1,n_1} \times B \end{pmatrix} \quad (\text{A.1})$$

### A.2 Properties of the Kronecker Product

More properties of the Kronecker product can be found in [84]. Here we focus on the associative (Eq. A.2) and the invertible (Eq. A.3) properties :

$$A \otimes (B \otimes C) = (A \otimes B) \otimes C \quad (\text{A.2})$$

$$(A \otimes B)^{-1} = A^{-1} \otimes B^{-1} \quad (\text{A.3})$$

Using Equation (A.3), Equations (3.35) and (3.36) can be turned into :

$$P = (\mathbf{B}_1^{-1} \otimes \mathbf{B}_2^{-1} \otimes \dots \otimes \mathbf{B}_n^{-1}) X \quad (\text{A.4})$$

Assuming  $\mathbb{B} \in \mathbb{R}^{x \times x}$ , with  $x = \prod_{k=1}^n m_i$ , the complexity of the inversion of the matrix  $\mathbb{B}$  in Equation (3.35) is  $\mathcal{O}(x^3)$ . Using the invertible property, the complexity decreases to  $\mathcal{O}(x \sum_n m_i^2)$  where  $x = \prod_n m_i$ , that will allow faster computation. Hence, Equation (A.4) is used to compute control points.

One can consider the following property relating matrix/vector multiplication and the Kronecker product:

$$A.X = (I \otimes A)X \quad (\text{A.5})$$

Where  $A$  is a matrix and  $X$  is a vector. Equation (A.5) is used to reduce computation time for the proposed inclusion function.

### A.3 Recursive Inverse Kronecker Product

Using Equation (A.5), Equation (3.37) can be written as following:

$$\begin{aligned} P &= (\mathbf{B}_1^{-1} \otimes \mathbf{B}_2^{-1} \otimes \dots \otimes \mathbf{B}_n^{-1}) X_n \\ &= (\mathbf{B}_1^{-1} \otimes \dots \otimes \mathbf{B}_{n-1}^{-1}) (I \otimes \mathbf{B}_n^{-1}) X_n \\ &= (\mathbf{B}_1^{-1} \otimes \dots \otimes \mathbf{B}_{n-1}^{-1}) X_{n-1} \end{aligned} \quad (\text{A.6})$$

$$\text{With: } X_{n-1} = (I \otimes \mathbf{B}_n^{-1}) X_n$$

This equation can be used recursively to reduce the computation time. Regarding the low dimension of the matrix  $\mathbf{B}_i$ , the inversion is done numerically. However, future works will address this issue as presented in Section 3.7. As an example, consider two matrices  $A \in \mathbb{R}^{2 \times 2}$  and  $B \in \mathbb{R}^{2 \times 2}$ , and



$X = [X_1, X_2] \in \mathbb{R}^4$  with  $\{X_1, X_2\} \subset \mathbb{R}^2$ , the following product can be computed such as:

$$(A \otimes B)X = \begin{bmatrix} a_{1,1} \cdot B \cdot X_1 + a_{1,2} \cdot B \cdot X_2 \\ a_{2,1} \cdot B \cdot X_1 + a_{2,2} \cdot B \cdot X_2 \end{bmatrix} \quad (\text{A.7})$$

Despite the multi-occurrence of  $B \cdot X_1$  and  $B \cdot X_2$ ,  $B \cdot X_1$  and  $B \cdot X_2$  are calculated only once. Though, the computation time is decreased.

# Appendix B

## Evaluating an equation using different inclusion functions

In this section, we present two examples: one dimensional and two dimensional examples. The 1-dimensional example is used to compare the efficiency of the different inclusion functions. The 2-dimensional example is shown to make clear how we can compute the interval of a function using BSplines and Kronecker product properties.

### B.1 1-dimensional example

For instance, consider the function  $f$  defined by  $f(x) = x^2 + \sin(x)$  and the intervals  $[x_1] = [\frac{2\pi}{3}, \frac{4\pi}{3}]$  and  $[x_2] = [\frac{99\pi}{100}, \frac{101\pi}{100}]$ . We will compare the approximations of  $f(x_1)$  and  $f(x_2)$  obtained by using the natural, the centred, Taylor of order two, Chebyshev of order five, Bernstein inclusion function, BSplines and minimal inclusion functions, which will be  $[f]_n$ ,  $[f]_c$ ,  $[f]_{T2}$ ,  $[f]_{C5}$ ,  $[f]_B$ ,  $[f]_{Bs}$  and  $[f]^*$

respectively.

$$[f]_n([x]) = [x]^2 + \sin([x])$$

$$[f]_c([x]) = f(\pi) + ([x] - \pi)[f']([x])$$

$$[f]_{T2}([x]) = f(\pi) + ([x] - \pi)[f']([\pi]) + \frac{([x] - \pi)^2}{2}[f'']([x])$$

$$[f]_{C5}([x]) = \frac{1}{2}f_0 + [-1, 1] \sum_{i=1}^5 |f_i|$$

$$[f]^*([x]) = [\underline{x}^2 + \sin(\underline{x}), \bar{x}^2 + \sin(\bar{x})]$$

with  $f'(x) = 2x + \cos(x)$  and  $f''(x) = 2 - \sin(x)$  and  $f_i = \frac{2}{\pi} \int_0^\pi f(\cos(x))\cos(ix)dx$ .

	$[x_1] = [\frac{2\pi}{3}, \frac{4\pi}{3}]$		$[x_2] = [\frac{99\pi}{100}, \frac{101\pi}{100}]$	
$[f]$	$[f]([x_1])$	$\Delta([f]([x_1]))$	$[f]([x_2])$	$\Delta([f]([x_2]))$
$[f]_n$	[3.52046, 18.41199]	3.46410	[9.64178, 10.09940]	0.12564
$[f]_c$	[1.62022, 18.11899]	5.07134	[9.70163, 10.03758]	0.00397
$[f]_{T2}$	[4.33706, 16.97362]	1.20913	[9.70362, 10.03659]	0.00099
$[f]_{C5}$	[4.15463, 16.68117]	1.09911	[9.70362, 10.03657]	0.00098
$[f]_B$	[5.25251, 16.67994]	0	[9.70461, 10.036673]	0.000083
$[f]_{Bs}$	[5.25251, 16.67994]	0	[9.70461, 10.0366]	0.00002
$[f]^*$	[5.25251, 16.67994]	0	[9.70461, 10.03658]	0

Table B.1: Comparing inclusion functions

The results are presented in Table B.1. Before analysing the data, we should add that  $\Delta([f]([x]))$  stands the value of  $\omega([f]([x])) - \omega(f([x])) = \omega([f]([x])) - \omega([f]^*([x]))$ . As we can see, the natural inclusion function remains competitive for the large intervals. However, the centred, Taylor and Chebyshev inclusion functions are more efficient than the natural inclusion function for the small intervals. Besides, Taylor and Chebyshev inclusion functions bring noticeable improvement compared to the natural and the centred inclusion functions even for large intervals. Moreover, the best two inclusion functions are Bernstein expansion and Bsplines inclusion functions. However, it is clear that Bsplines inclusion function remains the best inclusion function for large and small intervals: the results are almost the real intervals.

## B.2 2-dimensional example

As an example of the use of BSplines to reduce pessimism, let us consider

$$f(q_1, q_2) = 1 - 3q_1 - 2q_2 + 4q_1q_2$$

with  $q_1, q_2 \in [-10, 10]$ . Thus, we have  $X = [1, -3, -2, 4]^T$ . We should compute  $\mathbb{B}$ .  $f$  contains two variables  $q_1$  and  $q_2$  each one of degree  $n = 1$ . Hence, we have  $n + 1 = 1 + 1 = 2$  basis functions for each variable:  $B_1^1(q_1) = a_1 + b_1q_1$ ,  $B_2^1(q_1) = c_1 + d_1q_1$ ,  $B_1^1(q_2) = a_2 + b_2q_2$ ,  $B_2^1(q_2) = c_2 + d_2q_2$ .

Based on Equation 3.25 we can have those two equations:  $B_1^1(q_1) + B_2^1(q_1) = 1$  and  $B_1^1(q_2) + B_2^1(q_2) = 1$ . Adding to those two equations the fact that  $q_1, q_2 \in [-10, 10]$ , we obtain a system of equations

where the solution is  $a_1 = \frac{-\bar{q}_1}{\underline{q}_1 - \bar{q}_1}$ ,  $b_1 = \frac{1}{\underline{q}_1 - \bar{q}_1}$ ,  $c_1 = \frac{\underline{q}_1}{\underline{q}_1 - \bar{q}_1}$ ,  $d_1 = \frac{-1}{\underline{q}_1 - \bar{q}_1}$ ,  $a_2 = \frac{-\bar{q}_2}{\underline{q}_2 - \bar{q}_2}$ ,  $b_2 =$

$\frac{1}{\underline{q}_2 - \bar{q}_2}$ ,  $c_2 = \frac{\underline{q}_2}{\underline{q}_2 - \bar{q}_2}$ ,  $d_2 = \frac{-1}{\underline{q}_2 - \bar{q}_2}$ . Consider that  $\mathbf{B}_1 = \begin{bmatrix} a_1 & c_1 \\ b_1 & d_1 \end{bmatrix}$  and  $\mathbf{B}_2 = \begin{bmatrix} a_2 & c_2 \\ b_2 & d_2 \end{bmatrix}$ . The

latter equations lead to  $a_1 = \frac{1}{2}$ ,  $b_1 = \frac{-1}{20}$ ,  $c_1 = \frac{1}{2}$ ,  $d_1 = \frac{1}{20}$ ,  $a_2 = \frac{1}{2}$ ,  $b_2 = \frac{-1}{20}$ ,  $c_2 = \frac{1}{2}$ ,  $d_2 = \frac{1}{20}$ .

Though:  $\mathbf{B}_1 = \mathbf{B}_2 = \begin{bmatrix} 0.5 & 0.5 \\ -0.05 & 0.05 \end{bmatrix}$ . We can deduce that  $\mathbf{B}_1^{-1} = \mathbf{B}_2^{-1} = \begin{bmatrix} 1 & -10 \\ 1 & 10 \end{bmatrix}$ .

$$\mathbf{B}_1^{-1} \otimes \mathbf{B}_2^{-1} = \begin{pmatrix} 1 \times \begin{bmatrix} 1 & -10 \\ 1 & 10 \end{bmatrix} & -10 \times \begin{bmatrix} 1 & -10 \\ 1 & 10 \end{bmatrix} \\ 1 \times \begin{bmatrix} 1 & -10 \\ 1 & 10 \end{bmatrix} & 10 \times \begin{bmatrix} 1 & -10 \\ 1 & 10 \end{bmatrix} \end{pmatrix} = \begin{bmatrix} 1 & -10 & -10 & 100 \\ 1 & 10 & -10 & -100 \\ 1 & -10 & 10 & -100 \\ 1 & 10 & 10 & 100 \end{bmatrix} \quad \text{We can com-}$$

pute the equivalent control point using:

$$P = (\mathbf{B}_1^{-1} \otimes \mathbf{B}_2^{-1}) X = [451, -409, -389, 351]^T \quad (\text{B.1})$$

Thus using our method, we can deduce that  $f \in [-409, 451]$ . However, using the natural inclusion functions we obtain  $f \in [-449, 451]$ . That is because  $f(q_1, q_2) = 1 - 3q_1 - 2q_2 + 4q_1q_2 = 1 - 3[-10, 10] - 2[-10, 10] + 4[-10, 10][-10, 10] = 1 + [-30, 30] + [-20, 20] + [-400, 400] = 1 +$

$[-450, 450] = [-449, 451]$ . Using a 3D plot of  $f$ , we can deduce that  $f$  is limited between  $-409$  and  $451$  as it is clear in Figure B.1.

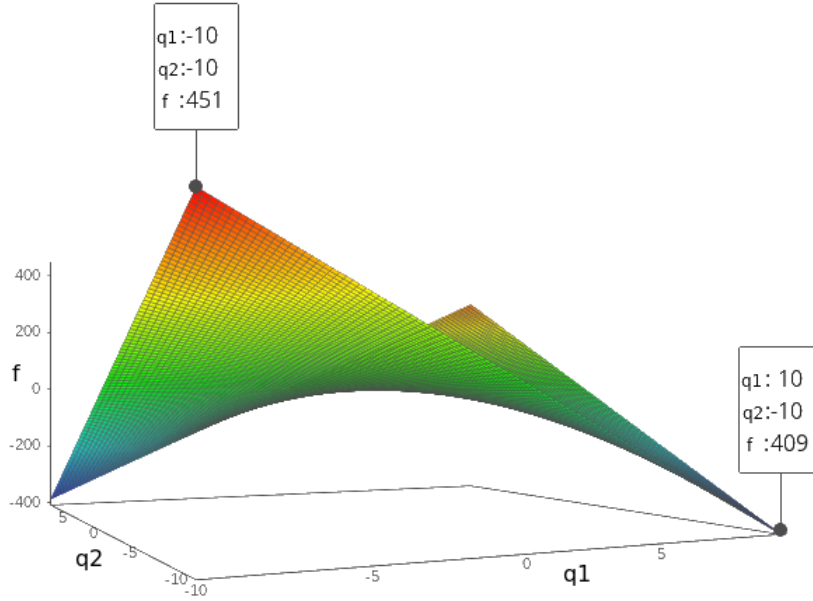


Figure B.1: 3D plot of  $f(q_1, q_2) = 1 - 3q_1 - 2q_2 + 4q_1q_2$

By applying Horner schemes, we got two different expressions of  $f$ :  $f = 1 + q_1(-3 + 4q_2) - 2q_2$  and  $f = 1 - 3q_1 - 2q_2(1 - 2q_1)$ . For those two different expressions we got two intervals  $[-449, 451]$  and  $[-449, 451]$ . Once can deduce that our method reduces pessimism in this example. Horner scheme works for  $n = 2$  and gives the same results, but his behaviour becomes more critical when  $n$  increases. Hence, the proposed method allows to avoid or at least reduce pessimism for  $n = 2$ . Moreover, if the value of  $n$  increases, then the pessimism will be more reduced: the multi-occurrence increases once  $n$  increases.

Let us apply the inputs normalization on this example. After normalization of the inputs  $q_1$  and  $q_2$  using those equations  $q_1 = 10q_{1ref}$  and  $q_2 = 10q_{2ref}$ , we can deduce that  $f(q_{1ref}, q_{2ref}) = 1 - 30q_{1ref} - 20q_{2ref} + 400q_{1ref}q_{2ref}$ . Thus, we have  $X = [1, -30, -20, 400]^T$  and  $\mathbf{B}_1 = \mathbf{B}_2 = \begin{bmatrix} 0.5 & 0.5 \\ -0.5 & 0.5 \end{bmatrix}$ .

Hence,  $\mathbf{B}_1^{-1} = \mathbf{B}_2^{-1} = \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix}$ .

$$\mathbf{B}_1^{-1} \otimes \mathbf{B}_2^{-1} = \left( \begin{array}{cc} 1 \times \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} & -1 \times \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} \\ 1 \times \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} & -1 \times \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} \end{array} \right) = \begin{bmatrix} 1 & -1 & -1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 1 & -1 \\ 1 & 1 & 1 & 1 \end{bmatrix} \quad \text{We can compute}$$

the equivalent control point using:

$$P = (\mathbf{B}_1^{-1} \otimes \mathbf{B}_2^{-1}) X = [451, -409, -389, 351]^T \quad (\text{B.2})$$

Thus, using our method, we can deduce that  $f \in [-409, 451]$  which is the same interval obtained without inputs normalization.

Finally, let consider that  $q_1 = q_2 \in [-1, 1]$  for the same equation

$$f(q_1, q_2) = 1 - 3q_1 - 2q_2 + 4q_1q_2$$

. Thus, we have  $X = [1, -3, -2, 4]^T$  and  $\mathbf{B}_1 = \mathbf{B}_2 = \begin{bmatrix} 0.5 & 0.5 \\ -0.5 & 0.5 \end{bmatrix}$ . We can compute the equivalent control point using:

$$P = (\mathbf{B}_1^{-1} \otimes \mathbf{B}_2^{-1}) X = [10, -4, -2, 0]^T \quad (\text{B.3})$$

Thus, using our method, we can deduce that  $f \in [-4, 10]$ . However, using the natural inclusion functions we obtain  $f \in [-8, 10]$ . That is because  $f(q_1, q_2) = 1 - 3q_1 - 2q_2 + 4q_1q_2 = 1 - 3[-1, 1] - 2[-1, 1] + 4[-1, 1][-1, 1] = 1 + [-3, 3] + [-2, 2] + [-4, 4] = 1 + [-9, 9] = [-8, 10]$ . Using a 3D plot of  $f$ , we can deduce that  $f$  is limited between  $-4$  and  $10$ . And using the two different Horner schemes already defined we can deduce that  $f \in [-8, 10]$ . Hence, we can deduce that our method reduces pessimism for large and tight intervals.

# Appendix C

## How did we get the linearisation Equation using Taylor theorem

Taylor's theorem

*Proof.* Consider  $k \geq 1$  an integer and the function  $f: \mathbb{R} \rightarrow \mathbb{R}$  which is  $k$  times differentiable at the point  $a \in \mathbb{R}$ . Then, it exists a function  $h_k: \mathbb{R} \rightarrow \mathbb{R}$  such that

$$f(x) = f(a) + f'(a)(x-a) + \frac{f''(a)}{2!}(x-a)^2 + \dots + \frac{f^{(k)}(a)}{k!}(x-a)^k + h_k(x)(x-a)^k$$

and  $\lim_{x \rightarrow a} h_k(x) = 0$ . This is called the Peano form of the remainder. □

The polynomial appearing in Taylor's theorem is the  $k$ -th order Taylor polynomial of the function  $f$  at the point  $a$ :

$$P_k(x) = f(a) + f'(a)(x-a) + \frac{f''(a)}{2!}(x-a)^2 + \dots + \frac{f^{(k)}(a)}{k!}(x-a)^k \quad (\text{C.1})$$

By applying Equation C.1 on  $\cos(x)$  and  $\sin(x)$ , we get the following equations

$$\begin{aligned} \cos(x) &= \cos(a) - \sin(a)(x-a) - \frac{1}{2}\cos(a)(x-a)^2 + \frac{1}{6}\sin(a)(x-a)^3 + \dots \\ \sin(x) &= \sin(a) + \cos(a)(x-a) - \frac{1}{2}\sin(a)(x-a)^2 - \frac{1}{6}\cos(a)(x-a)^3 + \dots \end{aligned} \quad (\text{C.2})$$

Consider  $x$  is  $q_i$ , let apply the normalization, written in Equation 3.43, on a 2-th order Taylor polynomial by considering  $a=m_i$ , we got

$$\begin{aligned} \cos([q_i]) &= \cos(m_i) - \sin(m_i)\frac{d_i}{2}[q_i^{ref}] + \dots \\ \sin([q_i]) &= \sin(m_i) + \cos(m_i)\frac{d_i}{2}[q_i^{ref}] + \dots \end{aligned} \quad (\text{C.3})$$

Hence, we got Equation 3.44.

For instance, consider the function  $\cos(x)$  for  $x = [-4, 4]$ :  $\cos(x)$ , its 3-th and its 5-th order Taylor polynomials are shown in Figure C.1. Find the error  $\varepsilon_s$  for the approximation of  $\cos(x)$  up to  $O(x^3)$  and up to  $O(x^5)$ . Using the below Figure, we can deduce that  $\varepsilon_s(x^3) = [0 ; 6.3464 ; 6.3464]$  and  $\varepsilon_s(x^5) = [0 ; -4.3209 ; -4.3209]$ .

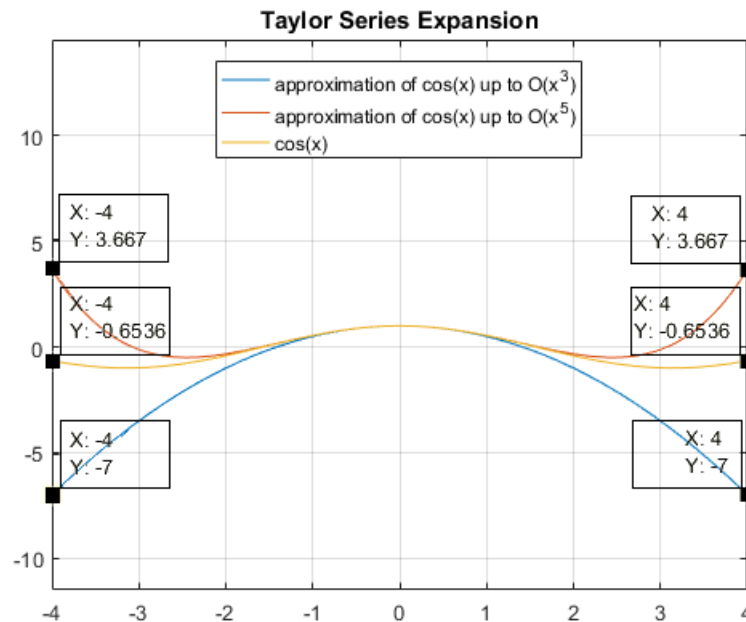


Figure C.1: Taylor Series Expansion of  $\cos(x)$



# Appendix D

## Simulation and results for the first implementation version of the proposed inclusion function:

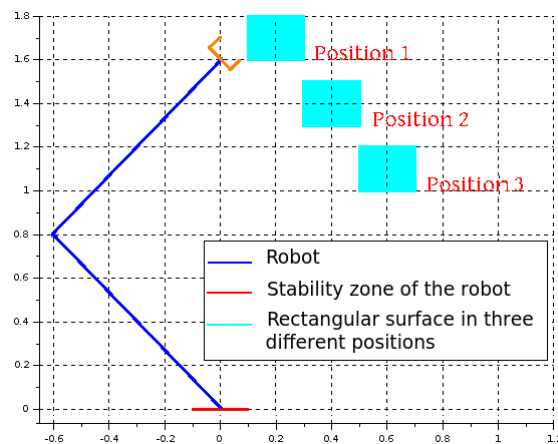


Figure D.1: Two dof Robot with a square surface in three different positions and a robot stability margin

We tested our BSplines IA algorithm on 2D-robots with  $n$  degrees of freedom. The feasible space of the joint values is computed using our method. Hence, a set of joints boxes, i.e. intervals, of the robot respecting a set of constraints is defined. In this application, the end-effector must be inside a square surface while the quasi-static balance of the robot is guaranteed through the projection of the center of mass. We show the results of the implementation of the proposed method for three different positions

of the square surface as presented in Figure D.1, with a robot of 2, 3, 4 and 5 degrees of freedom for each position. We consider the 2D robot with a total length of 2, with all the segment of equal values ( $2/n$ ) and equal mass, a root position in (0,0) and with all joint limits of  $[-1.5, 1.5]$ . The size of the desired square surface is 0.2 with two feasible positions (0.2; 1.7), (0.4; 1.4) and one infeasible position (0.6; 1.1) (due to balance constraint). The balance of the robot is considered by ensuring that the projection of its center of mass remains in the interval  $[-0.1, 0.1]$  (considering that the center of mass of each segment is at the middle of the segment). The surface reachability decision is based on the position of the end-effector. This position is computed using a composition of transformation

matrices. The transformation matrix of  $q_i$  is defined by:  $T_{q_i} = \begin{pmatrix} \cos(q_i) & -\sin(q_i) & \beta_i \\ \sin(q_i) & \cos(q_i) & 0 \\ 0 & 0 & 1 \end{pmatrix}$  Where  $\forall i > 1, \beta_i = \frac{l}{n}$  and  $\beta_0 = 0$ , since the initial robot position is along y axis. Hence the position of the end-effector  $P_f = (T_f(0,2), T_f(1,2))$  Where  $T_f = T_{\pi/2} T_{q_1} \dots T_{q_i} \dots T_{q_n}$ .

We assign 0.01 to the box threshold during the bisection process. We compare three methods: the classic contraction with the bisection (Solver 1), the BSplines contraction of the monomial that are composed only of input variables with the bisection (Solver 2), and the BSplines contraction of all the monomials in the constraints equations with the bisection (Solver 3). The number of iterations and the computation time required to find the feasible workspace are shown in Figures D.3a and D.3b respectively, for a threshold of 0.01 and in Figures D.2a and D.2b respectively, for a threshold of 0.1. The computing time required to find the feasible workspace for a robot of 5 dof using a precision of 0.01 was very huge: more than one week. Hence, we show the results of a robot with 1, 2, 3, and 4 dof for a precision of 0.01. For both thresholds, the number of iterations using the BSplines contraction with the bisection (Solver 2, 3) is smaller than the number of iterations in the state-of-the-art method (Solver 1). Though, BSplines IA uses less number of bisections to find the robot feasible workspace. Hence, our method decreases pessimism. A comparison between the two solvers using the BSplines contraction (Solver 2 and 3) shows that (Solver 3) has lower number of iterations than (Solver 2). Though, the contraction of each monomials of the constraint equation helps to reduce pessimism. However, (Solver 3) is slower than (Solver 2), since doing better contractions requires more computation time (see Figure D.3b, D.2b). It is clear that while increasing the accuracy (decreasing

the threshold), our method (Solver 2) becomes faster than the classic method (Solver 1). Hence the BSplines contraction/ bisection reduces pessimism, and it is faster than the contraction/ bisection for high accuracy. We proposed two solvers using BSplines contraction: Solver 2 is faster than Solver 3 and 1, but Solver 3 reduces the pessimism more than Solver 2. Tables D.1 and D.2 show the number of iterations and the computing time required to find the feasible space of our different problems for a precision of 0.01.

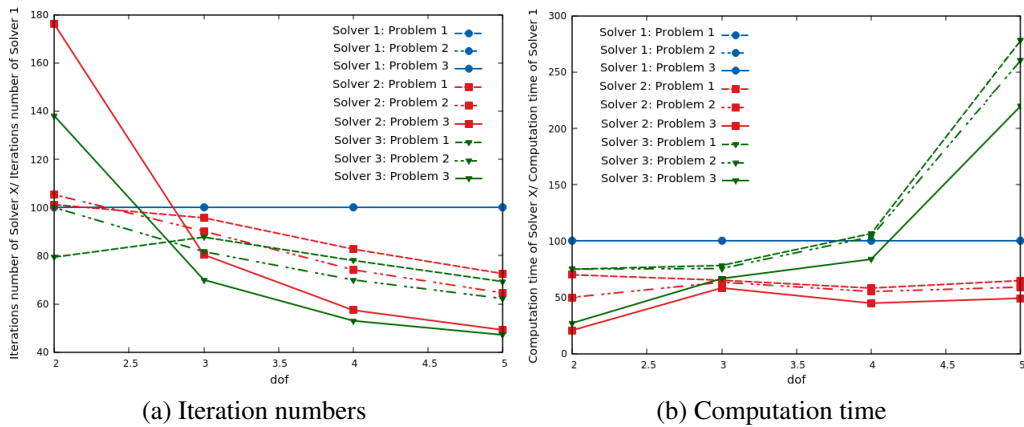


Figure D.2: Iteration numbers and computation time of the three different solvers compared to Solver 1 for a precision of 0.1

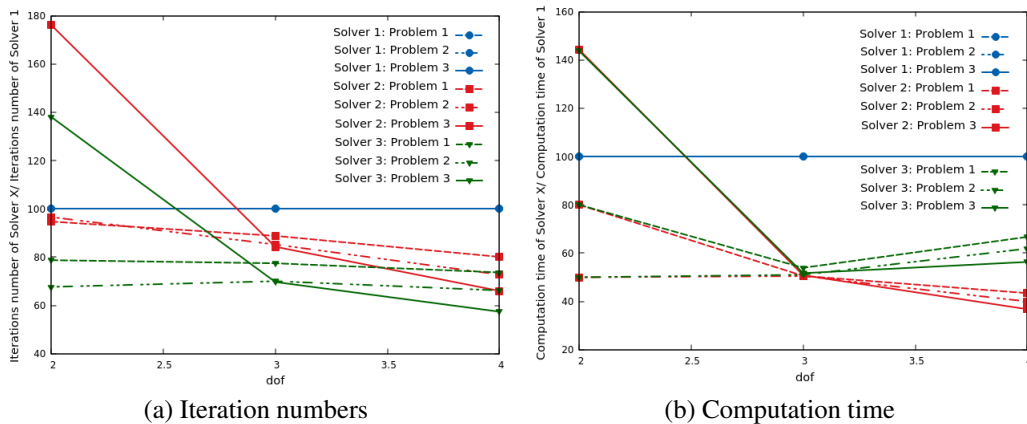


Figure D.3: Iteration numbers and computation time of the three different solvers compared to Solver 1 for a precision of 0.01

Position	DOF		2	3	4
	Solver				
1	1		1975	2288339	1356748167
	2		1871	2032347	1086632883
	3		1555	1771783	999374305
2	1		705	466451	737351907
	2		681	397469	536515897
	3		477	326615	488179609
3	1		21	74895	231273567
	2		37	63129	152641419
	3		29	52201	132694819

Table D.1: The number of incrementation required to solve Position 1 problem using Solver 1, Solver 2 and Solver 3/ PRECISION 0.01

Position	DOF		2	3	4
	Solver				
1	1		0 / 00 : 00 : 0.05	0 / 00 : 03 : 39	4 / 14 : 39 : 57
	2		0 / 00 : 00 : 0.04	0 / 00 : 01 : 51	2 / 00 : 02 : 09
	3		0 / 00 : 00 : 0.04	0 / 00 : 01 : 58	3 / 01 : 50 : 30
2	1		0 / 00 : 00 : 0.02	0 / 00 : 00 : 43	2 / 12 : 11 : 18
	2		0 / 00 : 00 : 0.01	0 / 00 : 00 : 21	1 / 00 : 02 : 35
	3		0 / 00 : 00 : 0.01	0 / 00 : 00 : 22	1 / 13 : 14 : 06
3	1		0 / 00 : 00 : 0.0005	0 / 00 : 00 : 07	0 / 19 : 08 : 45
	2		0 / 00 : 00 : 0.0007	0 / 00 : 00 : 03	0 / 07 : 02 : 04
	3		0 / 00 : 00 : 0.0007	0 / 00 : 00 : 035	0 / 10 : 47 : 06

Table D.2: The time (in days / HH:MM:SS) required to solve Position 1 problem using Solver 1, Solver 2 and Solver 3/ PRECISION 0.01

# Appendix E

## Assignment and Scheduling

The assignment problem, in the *robots scheduling, assignment and control* step of the general framework, selects which optimal poses should correspond to each robot in order to generate their trajectories from their initial positions. This type of problems can be solved using different algorithms such as The Multiple Traveling Salesman Problem (mTSP), Hungarian algorithm, the primal simplex algorithm and the auction algorithm. We have chosen the Hungarian algorithm since it is fast and simple and it is suitable for all the problems which can be described as an integer programming [141]. In this appendix, we present the required formulation for the Hungarian application to our assignment problem.

The assignment problem can be broken into two sub-problems which can be considered as two successive Hungarian problems. The first one assigns  $N_{sys}$  robots to the previously computed  $N$  optimal poses. The second assignment problem computes the necessary trajectories for each robot to reach the desired poses. Usually  $N_{sys} \leq N$ , so one robot should visit several poses. During the assignment, several goals should be taken into consideration: the robots do not cooperate between them which mean that each robot must have a separate zone to strip, the cycle time of moving the robots should be minimized and the distribution of the robots around the surface should consider the collision avoidance between them.

Both problems can be formulated using the following formulation: let  $\mathbb{S} = \{\mathbf{S}_i \in SE\{3\}, 1 \leq i \leq N_{sys}\}$  be the set of initial positions of the robots composing the multi-robot system,  $\mathbb{T} = \{\mathbf{T}_j \in SE(3), 1 \leq$

$j \leq N\}$  be the set of optimal robot poses.

For the first problem, let  $c_{ij} = \text{distance}(\mathbf{S}_i \mathbf{T}_j)$  be the cost of moving a robot from its current position  $\mathbf{S}_i$  to the  $j$ -th optimal pose  $\mathbf{T}_j$ .

$c_{ij}$  is computed using the weighted cost function  $C : \mathbb{S} \times \mathbb{T} \rightarrow \mathbb{R}$ .

Define the variable  $x_{ij} = \begin{cases} 1 & \text{if we assign the element } j \in \mathbb{T} \text{ to the element } i \in \mathbb{S} \\ 0 & \text{if not} \end{cases}$

The problem is

$$\begin{aligned} & \text{Find a bijection } f : \mathbb{S} \rightarrow \mathbb{T} \\ & \text{Such that } \sum_{i=1}^{N_{\text{sys}}} \sum_{j=1}^N c_{ij} x_{ij} \text{ is minimized} \\ & \text{Subject to } \sum_{j=1}^N x_{ij} = 1 \quad \forall i = 1, \dots, N_{\text{sys}} \end{aligned} \quad (\text{E.1})$$

$\sum_{j=1}^N x_{ij} = 1$  means that each optimal pose is assigned to one and only one robot.

For the second problem, once the first Hungarian algorithm has solved  $\mathbb{ST} = \{\mathbf{S}_1 \mathbf{T}_1, \mathbf{S}_1 \mathbf{T}_2, \dots, \mathbf{S}_{r_s} \mathbf{T}_1\} = \{\mathbf{ST}_{1,1}, \mathbf{ST}_{1,2}, \dots, \mathbf{ST}_{r_s,1}\}$ , which represents the set of assigned optimal poses to the different robots.

For instance,  $\mathbf{ST}_{1,1}$  means that the optimal pose  $\mathbf{T}_1$  is assigned to the robot  $\mathbf{S}_1$ . Let be  $u_{ij,kl} = \text{distance}(\mathbf{ST}_{ij}, \mathbf{ST}_{kl})$  the distance between two different robots and their assigned tasks ( $i \neq k$ ).  $u_{ij,kl}$  is computed using the weighted cost function  $U : \mathbb{ST} \times \mathbb{ST} \rightarrow \mathbb{R}$ .

Define the variable  $m_{ij,kl} = \begin{cases} 1 & \text{if we assign the element } ij \in \mathbb{ST} \text{ to the element } kl \in \mathbb{ST} \\ 0 & \text{if not} \end{cases}$

The problem is

$$\begin{aligned} & \text{Find a bijection } f : \mathbb{ST} \rightarrow \mathbb{ST} \\ & \text{Such that } \sum_{i=1}^{N_{\text{sys}}} \sum_{j=1}^N \sum_{k=1}^{N_{\text{sys}}} \sum_{l=1}^N u_{ij,kl} m_{ij,kl} \text{ is maximized such as } i \neq k \end{aligned} \quad (\text{E.2})$$

In this case the cost function has to be maximized to avoid collisions between the robots.

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